

1 ***"This is the peer reviewed version of the following article: Vollset, Knut Wiik; Krontveit, Randi***
2 ***Ingebjørg; Jansen, Peder A; Finstad, Bengt; Barlaup, Bjørn Torgeir; Skilbrei, Ove Tommy; Krkošek,***
3 ***Martin; Romundstad, Pål Richard; Aunsmo, Arnfinn; Jensen, Arne Johan; Dohoo, Ian. Impacts of***
4 ***parasites on marine survival of Atlantic salmon: a meta-analysis. *Fish and Fisheries* 2016 ;17:3;714-730,***
5 ***which has been published in final form at***
6 **<http://onlinelibrary.wiley.com/doi/10.1111/faf.12141/epdf>.**

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9 **Impacts of parasites on marine survival of Atlantic salmon: a**
10 **meta-analysis**

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19 **Running title: Parasites and marine survival of salmon**

20 ***Abstract***

21 Parasites can, in theory, have large impacts on the survival of fish populations. One method to
22 evaluate such impacts on anadromous species is to apply manipulative field experiments in which
23 parallel groups of anti-parasitically treated and non-treated fish are simultaneously released and
24 then subsequently recaptured as returning adults. A systematic review and meta-analysis on all
25 such Norwegian studies on *Salmo salar* provided a dataset for the time period 1996 to 2011 on
26 118 release groups comprising 657 624 fish released and 3 989 recaptured. The overall risk ratio
27 (RR), calculated as the probability of being recaptured in the treated group divided by the
28 probability of being recaptured in the control group, was estimated to be 1.18 (95 % CI: 1.07-
29 1.30). The effect varied strongly between groups, quantified by Higgins measure of heterogeneity
30 ($I^2 = 40.1\%$). Over 70% of this heterogeneity could be explained by the release location, time
31 period and baseline survival. The most important predictor variable was baseline survival. In
32 groups with low recapture in the control group (low baseline survival), the effect of treatment
33 was high (RR = 1.7), while in groups with high recapture in the control group (high baseline
34 survival), there was no effect of treatment (RR ~ 1.00). The most prevalent parasite in the region
35 affected by the drugs administered was *Lepeophtheirus salmonis*. Hence, the meta-analysis
36 supports the hypothesis that anti-parasitic treatment protects *S. salar* smolts from *L. salmonis*
37 during outward migration. However, the effect of treatment was not consistent, but was evidently
38 strongly modulated by other risk factors. The results suggest that the population level effects of
39 parasites cannot be estimated independently of other factors affecting the marine survival of
40 *Salmo salar*.

41 Keywords: salmon louse, emamectin benzoate, substance EX, *Lepeophtheirus salmonis*, fish
42 farming, parasite

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70 **Introduction**

71 Domestication of marine fishes is relatively new compared to terrestrial food production, and the
72 recent expansion in marine farming now provides 15.6 % of the global fish supply (FAO 2014).
73 Aquaculture growth reflects the large and growing market demand for seafood and the stagnation
74 of wild fishery landings. In recent years, the debate regarding the role of farmed marine fish as
75 hosts and reservoirs for diseases and parasites has spurred the debate about the sustainability of
76 net pen farming and its effects on wild fish populations (Costello, 2006, Torrissen et al., 2013).
77 At the core of this debate is the role of farmed Atlantic salmon (*Salmo salar*, Salmonidae) as

78 hosts of parasites – typically the ectoparasitic copepod salmon lice (*Lepeophtheirus salmonis*,
79 Caligidae) – and the possible effects of this role on wild salmonids. Farmed Atlantic salmon are
80 mostly produced in open-net pen installations in coastal areas within the natural range of wild
81 salmonids. These locations often overlap with the migration paths of young wild salmon smolts
82 migrating to the sea, and the main concern is therefore whether the additional farm-generated
83 production of diseases and parasites, such as salmon lice, will inflict additional mortality during
84 this vulnerable life stage (Krkošek et al., 2013).

85 The role of parasites in regulating host populations has been the subject of a longstanding debate
86 (Anderson and May, 1978, May and Anderson, 1978). While estimating the effects of parasites
87 on populations is technically possible, in reality there are several difficulties related to
88 quantifying such effects. This difficulty is perhaps especially the case for marine fish populations,
89 where survival is highly variable and strongly linked to variations in environmental conditions
90 during early life stages (Cushing, 1975, Hjort, 1914). For example, the recruitment of different
91 stock complexes of Atlantic salmon has been shown to vary with different climate indices (e.g.
92 Atlantic Multidecadal Oscillation (Friedland et al., 2014)). The sublethal effects of salmon lice
93 likely interact with other components of survival, such as competition or predation risk (Godwin
94 et al., 2015), making it difficult to use observational data to separate the role of the parasite from
95 other effects. One alternative approach is to study the effects of parasites on host fitness in a
96 controlled laboratory environment (Bjoern and Finstad, 1998, Finstad et al., 2000, Wells et al.,
97 2006, Wagner et al., 2008), but extrapolating results from these studies to natural systems is often
98 questioned. Another method is to perform experimental field trials with releases of control groups
99 and groups treated with an anti-parasitic agent and compare the subsequent recaptures of adults in
100 the two groups (randomized control trials, RCT). Such field experiments have become

101 increasingly popular with researchers studying salmon lice and Atlantic salmon in recent years,
102 as they are believed to give unequivocal results regarding the relative role of the parasites on the
103 marine survival of salmon (Gargan et al., 2012, Jackson et al., 2013, Skilbrei et al., 2013, Vollset
104 et al., 2014, Krkošek et al., 2013).

105 Since the 1990s in Norway, numerous trials have been conducted to evaluate the effect of anti-
106 parasitic treatments applied to hatchery produced salmon smolts on survival to recruitment after
107 one, two or more years at sea. In each trial, smolts have been tagged and assigned to one of two
108 groups: control or anti-parasitic treatment. Two different anti-parasitic treatments have been used,
109 emamectin benzoate (with marketing authorization, oral administration via feed or as intra-
110 peritoneal injection) and Substance Ex (without marketing authorization, chitin synthesis
111 inhibitor, topical bath treatment –(Skilbrei et al., 2015)). Because individual fish in each trial are
112 tagged, recovery programs for recruits can then identify these fish and calculate the difference in
113 survival between the control and treatment groups. The hypothesis has been that long-acting anti-
114 parasitic treatment would protect salmon smolts predominantly from salmon lice during outward
115 migration, increasing post-smolt survival and, consequently, the number of returning adult
116 salmon.

117 Studies conducted in Norway, Ireland, and Scotland (Gargan et al., 2012, Jackson et al., 2013,
118 Skilbrei et al., 2013, Vollset et al., 2014, Krkošek et al., 2013) indicate that treatment of salmon
119 smolts prior to release into the river or the fjord generally increases the number of recaptured
120 returning adult fish. However, treatment effects have been highly variable. A positive effect of
121 anti-parasitic treatment on the length and weight of Atlantic salmon has also been reported
122 (Skilbrei et al., 2013, Skilbrei and Wennevik, 2006). Recently, Vollset et al. (2014) also
123 demonstrated that treated salmon return earlier than untreated salmon indicating a sublethal effect

124 of salmon lice on surviving individuals. Some of the Norwegian trials have been conducted over
125 a decade in the same river (Skilbrei et al., 2013, Vollset et al., 2014). However, in several trials,
126 the number of recaptured fish has been low, and the power to detect differences has also been
127 low.

128 A meta-analysis is a statistical method in which data derived from a systematic review are
129 weighted (in proportion to the amount of evidence provided by the study) when computing an
130 overall estimate of the effect (Borenstein et al., 2010). The objectives of the present study were to
131 perform a meta-analysis of all available material, both published and non-published, on anti-
132 parasitic treatment trials in Norway to obtain an overall estimate of the effect of treatment on the
133 survival of Atlantic salmon across studies and to explore the role of study- and trial-level
134 covariates on the treatment effect size by the use of subgroup analyses and meta-regression. A
135 secondary goal was to evaluate whether trial-level variation in treatment effect (i.e.,
136 heterogeneity) was related to variations in sea lice infection pressure from salmon farms situated
137 along the migration routes of the smolts. The systematic review was therefore limited to Norway
138 because of the availability of counts of salmon lice from fish farms and thus the ability to
139 evaluate the contribution of salmon lice from fish farms. The systematic review resulted in a
140 dataset of 118 release groups in the time period 1996 to 2011, comprising 657 624 fish released
141 and 3 989 recaptured.

142 **Materials and methods**

143 **Systematic review**

144 A systematic review of all published and non-published studies using anti-parasitic agents on
145 release groups of Atlantic salmon smolts was conducted to identify Norwegian studies that could

146 be defined as randomized control trials (RCTs). All details of the systematic review are provided
147 in the supplemental material (S1), including a list of variables extracted from all of the studies. In
148 short, the review consisted of (1) a workshop with experts within the field of salmon lice ecology,
149 epidemiology and biostatistics, (2) a standardized literature search of relevant databases (Aquatic
150 Sciences and Fisheries Abstracts and CAB abstracts) and (3) a letter to all potential research
151 institutions inquiring whether any non-published data were missed. A list of all the trials
152 identified with the corresponding data is given in the supplementary data (S2).

153 **Salmon lice exposure from fish farms**

154 As part of our analysis, we sought to evaluate whether trial-level variation in treatment effect was
155 related to variation in sea lice infection pressure from salmon farms situated along the migration
156 routes of the smolts. In Norway, it is mandatory to monitor and report monthly data on salmon
157 lice abundance, total number of fish on the farms and mean fish weight. From 2002 to 2011,
158 farmers were instructed to report the highest abundance of sea lice encountered during each
159 month (Jansen et al., 2012). These data are available from 2002 onwards and formed the basis for
160 infection pressure modeling along the Norwegian coast in different months. Infection pressure
161 estimates for the given month were calculated by multiplying adult female lice abundance by the
162 reported number of fish per farm. To derive an expression for the intensity at all locations along
163 the coast, lice numbers were interpolated by kernel density functions in ArcGIS, Spatial analyst.
164 Two variants of the kernel density interpolations were undertaken, using search radii of 50 and
165 200 km. No data exists that can inform the exact migratory route of smolt from the different
166 release points. Acoustic studies has shown that smolt migrate relative fast outwards toward saline
167 waters upon release (Thorstad et al., 2012). Therefore, the shortest path to the open sea was
168 estimated and used as an objective method to define the migratory route. Furthermore, statistics

169 for this pathway intersecting the grid-layers on adult female lice were extracted. These statistics
170 consisted of the accumulated sum of grid-cells intersected, the mean or the maximum of grid
171 cells. The method is described in greater detail in (Jansen et al., 2012). These data were then used
172 as a proxy for the exposure of migrating salmon smolts to salmon lice of farm origin. The method
173 was also used to estimate temperature exposure along the migration route based on measurements
174 at the same fish farms.

175 **Statistical analysis**

176 Meta-analysis was selected as the most appropriate method for combining evidence from the
177 numerous trials which had been conducted. A summary of the analyses conducted is provided
178 here, with details of all steps provided below.

- 179 • Outcomes (treatment effects) to be evaluated were identified
- 180 • Random effects meta-analyses using standard procedures were carried out
- 181 • Heterogeneity (variance in estimates of treatment effect across studies) was quantified
- 182 • Standard meta-regression techniques were used to evaluate factors which might have
183 contributed to the variation in results across studies. This was initially done by evaluating
184 unconditional associations (one factor at a time) and subsequently by building a
185 multivariable model (simultaneous evaluation of multiple factors)
- 186 • One factor – baseline survival (proportion of fish recaptured in the non-treated fish) -
187 deserved special attention because standard meta-regression techniques would provide a
188 biased estimate of the effect of this factor. An alternative approach to evaluation of this
189 factor was adopted, first replicating the multivariable model developed in the proceeding

190 step and subsequently evaluating it on its own in order to provide a graphic representation
191 of its effect.

192 • Factors that influenced baseline survival were evaluated using standard univariable and
193 multivariable regression techniques

194 • The potential impact of publication bias, information bias and selection bias were all
195 evaluated

196 • The impact of treatment in terms of additional recaptures attributable to treatment was
197 computed as an attributable fraction (AF)

198 Several outcomes of interest were computed. First, the number of released fish and the number of
199 recaptured fish were used to calculate the risk ratio (RR) of treatment in each release group. Risk
200 ratio (RR) is defined as the probability of being recaptured in the treated group divided by the
201 probability of being recaptured in the control group. In addition, weight and length data were
202 available from a smaller subset of releases from Vosso, Dale, Matre, Eira, Årdal, Imsa and
203 Halsev. For these releases, the mean weights and lengths of the treatment and control fish were
204 computed to obtain an estimate of the weighted mean difference in weight and length by
205 treatment group. Descriptive statistics for all variables were computed, and a histogram of the RR
206 was generated.

207 Each of the three main outcomes was evaluated using random effects meta-analyses. RR values
208 were compared on the log scale, and the treatment effect was exponentiated to return to the RR
209 scale. Mean differences were computed and compared separately for fish of different age classes
210 (one, two or three winters at sea).

211 Random effects meta-analyses of the described outcomes were performed using the method of
212 DerSimonian and Laird. The estimate of heterogeneity was taken from the inverse-variance of the
213 random-effect model using the metan command in Stata (Borenstein et al., 2010, Dohoo et al.,
214 2010, Sterne, 2009). The metan command in Stata generates an estimate of the Cochran's Q
215 statistic, which tests for differences in effect sizes across studies, an estimate of the variance of
216 effect sizes between studies (τ^2), and Higgins I^2 (hereafter denoted I^2), which is an estimate of the
217 proportion of the observed variance that reflects true differences in effect size (Sterne, 2009,
218 Borenstein et al., 2010):

$$219 \quad I^2 = \left(Q - \frac{d.f.}{Q}\right) \times 100$$

220 where Q is Cochran's Q statistic, and d.f. is the degrees of freedom (number of studies minus 1).
221 If I^2 is close to zero, then the observed variation between studies is assumed to be attributable to
222 random variation, as opposed to variance in the true effect sizes. If I^2 is large, then the reasons for
223 the observed variance should be evaluated (Borenstein et al., 2010, Dohoo et al., 2010, Rothman
224 et al., 2008, Sterne, 2009).

225 Trial-level random effects meta-regression models using the metareg command in Stata were
226 used to evaluate the association between selected variables and the log (RR). Restricted
227 maximum likelihood (REML) methods were used to estimate the between-release group variance
228 (τ^2).

229 Each variable's association with the log (RR) was first evaluated in an unconditional analysis.
230 Some continuous variables were redefined as categorical variables if their relationship with the
231 log (RR) was clearly non-linear (as determined by lowess curves and/or by adding polynomial

232 terms to the regression models). Some groups of categorical variables were combined to avoid
233 very small categories.

234 The variables were first assessed by univariate meta-regression, and variables with p-value <0.20
235 were considered candidates for multivariate meta-regression. In the multivariate analyses, only
236 variables with a p-value < 0.05 were retained (Dohoo et al., 2010). The proportion of variance
237 explained was estimated as

$$238 \quad R^2 = 1 - \frac{\tau^2_{\text{unexplained}}}{\tau^2_{\text{total}}}$$

239 where $\tau^2_{\text{unexplained}}$ was estimated from the model including predictors, and τ^2_{total} was the
240 unexplained between-trial variance from a null model.

241 Baseline risk, i.e., the proportion of recaptured fish in the control group (Dohoo et al., 2007), is
242 defined in the following text as *baseline survival*. The rationale behind not using the more
243 standard term, baseline risk, is that it is counterintuitive that an increased risk would lead to a
244 higher survival estimate. Baseline survival was initially evaluated in the same manner as other
245 potential causes of heterogeneity. However, because there is a structural relationship between
246 baseline survival and the RR for the effect of treatment (the proportion of fish recaptured in the
247 control group is the denominator of the RR for treatment effect), an alternative method of
248 evaluating this specific effect was adopted (see below). By including baseline survival as a
249 predictor variable, we assume that the variation in recapture in the control group reflects survival
250 variation between release groups due to unmeasured risk factors affecting the release groups
251 (Dohoo et al., 2007).

252 The meta-regression process was repeated to evaluate factors affecting the mean differences in
253 weight at recapture.

254 **Assessment of potential biases**

255 Begg's and Egger's tests were used in combination with a funnel plot to assess potential
256 publication bias (Borenstein et al., 2010, Dohoo et al., 2010, Sterne, 2009). An influence plot
257 was used to identify any influential trials. Information biases were assessed using a quantitative
258 bias assessment (QBA) with various levels of treatment efficacy (50-90%) assumed. Selection
259 bias was evaluated by allowing recapture rates to differ by 10% between the treatment and
260 control groups. The details of these methods are presented in the supplemental material.

261 As noted above, baseline survival is a component of the RR for treatment effect, and
262 consequently, standard meta-regression techniques will produce biased estimates of the effect of
263 baseline survival on the RR (Dohoo et al., 2007). A model was developed by Sharp and
264 Thompson (2000) of the log odds of recapture, containing two correlated random effects terms to
265 account for variation across studies. The random intercept accounts for variation in recapture
266 rates across studies, and the random slope for treatment allows the effect of treatment to vary
267 across studies. The correlation between these two random terms describes the manner in which
268 baseline survival affects the RR for treatment. This model functions on the log odds scale as
269 opposed to the log risk ratio scale used in the standard meta-regression, but because the recapture
270 rates are so low, the two scales are comparable.

271 Two models were fit. The first replicated the final model determined from the standard meta-
272 regression procedures to confirm that the estimates of effect of predictors other than baseline
273 survival were not affected by the structural bias. Subsequently, a model with treatment as the sole

274 predictor was fit to obtain an overall estimate of the effect of baseline survival on the estimate of
275 treatment effect.

276 **Analysis of factors affecting baseline survival**

277 Because baseline survival appeared to be a very important predictor variable in the meta-
278 regression analyses (see results), it was important to understand what variables affected baseline
279 survival. All variables were first assessed by univariable linear regression, and variables with p-
280 value <0.20 were considered candidates for multivariable linear regression (Table A1). In the
281 multivariable analyses, only variables with a p-value < 0.05 were retained (Table 1).

282 **Evaluation of impact of treatment (Attributable fraction)**

283 The RRs reflect the relative effect of treatment on recapture risk. Attributable fractions (AF)
284 reflect the proportion of additional recaptures that could be attributed to the effect of treatment
285 and were computed as $AF = (RR-1)/RR$ if $RR > 1$ and $1-RR$ if $RR \leq 1$. A weighted average was
286 computed using the same (inverse variance) weights as for the RR.

287 **Results**

288 **Literature review and data processing**

289 From the studies that contained relevant data, four published articles and two editorial
290 comments/responses were excluded because they were from countries other than Norway
291 (Gargan et al., 2012, Jackson et al., 2013, Jackson et al., 2011a, Jackson et al., 2011b, Krkošek et
292 al., 2013, Krkošek et al., 2014). Two releases performed in Norway were excluded because they
293 focused on sea trout (*Salmo trutta*, Salmonidae) rather than Atlantic salmon. Finally, a total of
294 118 smolt releases from 9 rivers and 1 fish farm location over 1996-2011 were identified by the

295 systematic review and included in the study (Table 2 and Fig. 1). These releases were extracted
296 from four published international peer-reviewed scientific papers (84 releases), four national
297 reports (10 releases), and four non-published reports/assignments (26 releases). A listing of all
298 extracted data is provided in the supplemental material.

299 A total of 17 releases had zero recaptured fish in both the treatment and control groups: eight
300 from Vosso, seven from Dale and two from Halselv. These releases provided no information
301 about treatment effect and were consequently excluded from all analyses. Of the remaining 101
302 releases, 14 contained release groups where either the control group or the treated group had zero
303 recaptures. These releases were retained in the final dataset, but 0 was replaced with 0.5 to enable
304 the computation of the log (RR). After exploring the weights of these release groups in the
305 overall meta-analysis, they were all found to have very low weights, and they contributed very
306 little to the final results.

307 Risk ratios across releases varied from 0.167 to 29.0. A histogram of the log (RR) is shown in
308 figure 2.

309 **Meta-analysis**

310 The overall random effects meta-analysis of all the studies, including 101 release groups,
311 estimated an overall RR of 1.18 (95 % confidence interval (CI): 1.07-1.30, $P < 0.001$). However,
312 there was a substantial amount of heterogeneity in the data, as revealed by an I^2 of 40.1% ($Q =$
313 167.04, P -value < 0.001). The estimated between-study variance τ^2 was 0.0719.

314 The meta-analyses of the weight and length measurements of the recaptured fish indicated that
315 treated fish returning after one winter at sea were significantly heavier than the controls
316 (weighted mean difference = 123 grams, 95% CI: 45 - 200, $P = 0.002$), but there were no

317 significant treatment effects on weights in fish returning after two and three winters at sea fish or
318 on length in any of the age groups. There was considerable variation between releases in terms of
319 the mean difference in weights of fish returning after one winter at sea ($I^2 = 78\%$).

320 **Meta-regression**

321 The following variables were significant at a P-value <0.20 and were included in the multivariate
322 analysis: release location, release period, temperature and baseline survival. In the final model,
323 temperature along the migration route was not significant and was not retained. The variables
324 release location, period and baseline survival were all significant (Table 3). Subsequent
325 adjustment for the structural bias between baseline survival and RR (see Section 3.4) produced
326 only minor changes in the coefficients for release location and period. Therefore, the results from
327 the standard meta-regression were used for these factors for ease of understanding.

328 In the final model ($F_{5,97}=7.69$, $p<0.001$), I^2 was reduced to 13.9%, and the three retained variables
329 explained 70.6% of the between-study variation. Baseline survival was a major predictor, and for
330 a one unit increase in baseline survival, the log (RR) dropped by 0.24 units. However, baseline
331 survival is a function of both actual variation in survival and recapture efforts. To evaluate the
332 impact of recapture effort, we ran a new model including only data from Vosso and Dale, due to
333 the relatively constant recapture effort over the years. This test did not alter the final model
334 ($F_{5,63}=6.04$, $p<0.0001$), except that the I^2 value changed to 28.8 %, and the variance explained
335 was 67.9 %. In short, the effect of baseline survival suggests that the RR is high when survival in
336 the control group is low and low when survival in the control group is high.

337 The effect of one outlier with a very high risk ratio (release group in Dale River, 1997, Skilbrei et
338 al. 2013) was tested by running the model excluding this data point. This test did not alter the
339 final result ($F_{5,96}=6.73$, $p<0.0001$, adjusted- $R^2 =68.2$, $I^2=10.6$ %).

340 The RR was highest during the first time period of releases (1996-2003) and then dropped to
341 almost no effect of treatment during the second period (2004-2006), but increased again during
342 the third period (2007-2008) and was almost back to the same level as in first period in the last
343 period (2009-2011). The RR was higher in groups released in the fjord compared to groups
344 released in the river or estuary.

345 The meta-regression of factors contributing to the heterogeneity ($I^2= 78\%$) of the effects of
346 treatment on the mean difference in weights of fish returning after one winter at sea was not very
347 productive. The smolt migration distance was the only significant ($P=0.03$) factor, and it only
348 explained 11% of the unexplained variation.

349 **Bias**

350 Publication bias was not expected, given that we included both published and non-published data
351 in the meta-analyses. Neither tests for publication bias nor the funnel plot showed significant
352 evidence of publication bias. When individual studies were examined, one release group in the
353 Vosso river in a study by Barlaup (2013) did show considerable influence on the overall RR
354 estimate (which would have been higher without this release group: 95 treated vs 142 controls
355 recaptured -> $RR = 0.69$).

356 As the observed RR depended strongly on baseline survival, so did the apparent effect of
357 changing treatment efficacy. Table 4 presents the results of the QBA of possible misclassification
358 of treatment as a result of treatment efficacies less than 100%. In general, lower treatment

359 efficacies were associated with underestimation of the RR for treatment if the baseline survival
360 was low (particularly in the lowest quartile) but exhibited little effect if the baseline survival was
361 high.

362 Selection bias arising from differential recapture rates in the treated and control group did not
363 appear to have much effect on the RR. If the recapture rate in the treated group was 10% higher
364 (or lower) than in the control group, the estimate of the RR also changed by approximately 10%
365 (9-11%).

366 The full model accounting for the structural relationship between baseline survival and the RR
367 (i.e., including release period and location) produced very similar estimates of effects for release
368 location and period (details in supplementary material). However, the coefficient for baseline
369 survival dropped from 0.248 to 0.147, suggesting that approximately 50% of the effect observed
370 in the standard meta-regression was attributable to structural bias. A model with treatment as the
371 sole predictor was used to obtain average treatment effects across years and locations. In this
372 model, the coefficient for baseline survival was 0.105 (per unit log baseline survival). The
373 estimated OR for treatment at low baseline survival (low control group recapture = 0.02%) was
374 1.7, and the estimated OR for treatment at high baseline survival (high control group recapture =
375 2 %) was 0.99 (Fig. 3).

376 **Factors affecting baseline survival**

377 The following variables were significant at a P-value <0.20 and were included in the multivariate
378 analysis: release location (fjord versus river/estuary), river, temperature, release day, lice
379 exposure (sum over 200 km), and distance migrated (distance from release to open ocean).

380 In the final model, lice exposure and release day were not significant and were consequently
381 omitted. Lice exposure became insignificant in the final model due to its correlation with distance
382 ($\rho=0.448$), which was also the case for release location and distance migrated ($\rho=0.72$).
383 Distance was a better predictor of baseline survival than either lice exposure or release location,
384 so these two variables were dropped from the model, leaving a final model that included river and
385 migration distance ($F_{5,83} = 8.56$, adjusted $R^2=0.34$, $P<0.0001$). This model predicted that baseline
386 survival would decrease by 0.04 units (on a log scale) for every km migrated. Thus, groups of
387 non-treated fish released 50 km from the river outlet (i.e., will have to migrate 50 km less to
388 reach the ocean) will have a 7.1 times higher survival rate than non-treated fish released in the
389 river or river outlet.

390 **Attributable fraction**

391 The distribution of AF values is shown in Figure 4, indicating a large variation in AF between
392 studies. The weighted average value was 11.1% (CI: 4.4 – 17.9 %).

393 394 **Discussion**

395 Meta-analysis techniques were selected as the most appropriate method for both combining
396 results from multiple studies and for evaluating why study results differed. In medicine and
397 epidemiology, meta-analysis is generally considered to provide the highest level of evidence as to
398 the effect of a treatment. “Potential advantages of meta-analyses include an increase in power
399 (sic. to detect treatment effects), an improvement in precision, the ability to answer questions not
400 posed by individual studies, and the opportunity to settle controversies arising from conflicting
401 claims” (Higgins and Green, 2011).

402 Overall, the results from this meta-analysis suggest that treatment increases survival in the release
403 groups (mean RR = 1.18, 95% CI: 1.07-1.3). This value is lower than what Krkošek et al. (2013)
404 reported from a meta-analysis (1.39, 95% CI: 1.18 -1.42) based on mostly Irish and some
405 Norwegian studies. Our data included more trials than did previous studies and also exhibited
406 more heterogeneity because our analysis treated the releases as separate observations, while
407 Krkošek et al. (2013) aggregated multiple releases in the same river and year into a single river-
408 year observation. It is important to note that an average RR is an incomplete representation of the
409 effect of treatment on the recapture of returning adult salmon. Consequently, although our main
410 conclusion is that exposure to parasites is a significant contributor to the marine survival of
411 Atlantic salmon, our secondary conclusion is that in some release groups, treatment was very
412 beneficial, while in others, there was clearly no effect. This variation in treatment effect could be
413 explained, in part, by where the fish were released, in what time period they were released and
414 the baseline survival. The baseline survival was by far the most important source of heterogeneity.
415 The most prevalent parasite in the region affected by the drugs administered was salmon louse.
416 Hence, the meta-analysis supports the hypothesis that long-acting anti-parasitic treatment can
417 protect salmon smolts from salmon lice during outward migration and that salmon lice is a
418 contributor to the mortality of salmon.

419 **Effect of baseline survival on estimate of treatment effect**

420 After correcting for the structural dependency between baseline survival and the RR, the
421 estimated RR at low baseline survival was 1.7, while at high baseline survival it was 0.99. This
422 result suggests that if survival in the control group is generally good, then the risk ratio is low,
423 while if survival is poor, the risk ratio is high. There are two main potential hypotheses regarding
424 why we observe this strong relationship with baseline survival: (1) the detrimental effect of lice is

425 exacerbated in situations when the salmon smolts also have to cope with increased pressure from
426 other causes of mortality, and (2) there is large unmeasured variation in the exposure to lice
427 between release groups that is driving variation in both baseline survival and the estimated
428 treatment effect. In the second scenario, release groups with low survival will also be associated
429 with high exposure to lice.

430 The first hypothesis could be explained by an interaction between salmon lice and other risk
431 factors that the salmon encounter. For example, in years where prey conditions are poor, salmon
432 lice can be detrimental for a starving smolt, while in years where prey conditions are good, the
433 smolt will have fewer problems coping with the additional stress posed by the parasite. This
434 explanation is consistent with the study by Connors et al. (2012), who found that the decline of
435 pink salmon could be explained by a synergetic effect of climate, predation and salmon farm
436 exposure. This explanation is also consistent with a recent experimental study by (Godwin et al.,
437 2015), who demonstrated that sockeye salmon heavily infected with salmon lice are inferior
438 competitors to lightly infected salmon. Furthermore, Finstad et al. (2007) showed experimentally
439 that smolts with prior exposure to suboptimal water quality were more affected by salmon lice
440 than smolts without such exposure.

441 The second hypothesis (2) suggests that baseline survival itself may, in part, be driven by salmon
442 lice exposure. This explanation would mean that in release groups with high exposure to salmon
443 lice, survival in the control group would be relatively, low and because lice exposure was higher,
444 treatment effect would also be expected to be higher, and vice versa. If salmon lice exposure is
445 mainly driven by the production of lice in fish farms, we would expect a correlation with baseline
446 survival and lice exposure estimation from fish farms. There was a correlation between salmon
447 lice exposure from fish farms and the log survival in the control group ($\rho=-0.25$), but the

448 salmon lice exposure could not explain the heterogeneity in the risk ratio (see below).
449 Furthermore, lice exposure fell out of the final model when the distance the fish had to migrate to
450 reach the ocean was included. However, it seems reasonable that there is a large variation in
451 exposure between release groups due to spatial and temporal variation in salmon farm
452 management practices (Bjorn et al., 2011) and to physical oceanographic variables important for
453 lice dispersal (Asplin et al., 2014, Johnsen et al., 2014). Statistically, it is not possible to separate
454 these hypotheses without much better data on lice exposure.

455 **Absence of observed effect of sea lice exposures estimated from fish farms**

456 None of the salmon lice exposure estimates from the production of lice from fish farms had any
457 significant effects on the risk ratio estimates. This result could be explained by any of the
458 following possibilities: (1) the additional salmon lice from fish farms do not affect the release
459 groups, (2) the salmon lice exposure estimates do not represent the realized exposure of lice from
460 fish farms, or (3) the efficacy of treatment is reduced for lice from fish farms due to resistance to
461 treatment. The salmon lice exposure estimate based on a density kernel in combination with the
462 assumed migration path of smolts used in this study ignores variation in ocean currents and the
463 stratification of salmon lice according to salinity. Furthermore, the method integrates data on a
464 time scale of months. Consequently, it is not surprising that the method does not precisely
465 replicate the lice exposure for individual release groups. However, similar methods have recently
466 been used to model the development of lice infections in naïve farmed fish from the onset of
467 marine production (Kristoffersen et al., 2014). This study argues that farm production of lice is an
468 important driver of lice transmission to naïve farmed salmon. However, extrapolating this method
469 to the calculate exposure of migrating salmon smolts to farm-origin lice may not be valid. For
470 example, the vertical distribution of smolts (Thorstad et al., 2012) and avoidance of low salinity

471 waters by salmon lice (Heuch, 1995, Heuch et al., 1995) will strongly affect their interaction.
472 Furthermore, while fish farms accumulate salmon lice over a longer time period, the exposure of
473 salmon smolts to salmon lice most likely depends strongly on whether the smolts encounter dense
474 patches of salmon lice (Penston et al., 2008, Penston and Davies, 2009). Using more detailed
475 hydrodynamic models (Johnsen et al., 2014, Asplin et al., 2014) to estimate the spread and
476 patchiness of infectious lice stages in waters of varying salinity could potentially give better
477 explanatory power and should be explored. However, even though an appropriate model of
478 distribution of salmon lice can be constructed, the question of where the salmon smolts migrate
479 and how the release groups are distributed in the fjord system will also need to be determined.
480 Studies on acoustically tagged fish clearly show that the migration patterns of Atlantic salmon
481 smolts are highly variable and depend on both intrinsic and extrinsic factors that are known to
482 vary within and between systems (Thorstad et al., 2012).

483 **Change in effect of treatment over time**

484 The effect of treatment also changed over the years. In the first period from 1996 to 2003, the risk
485 ratio was relatively high, but it fell to almost no effect in the second period from 2004 to 2006. In
486 the last two periods, the risk ratio rose again, and in the last period (2009-2012), it was similar to
487 the first period. The data were divided into quartiles based on the number of release groups, after
488 determining that the temporal trends were non-linear and that it was not possible to include the
489 year as a categorical variable (too little data in many individual years). This impossibility
490 precluded evaluating annual variability. Therefore, the study focused on the variation between
491 larger time periods. The production of salmon lice from fish farms is mainly driven by the
492 number of fish and the number of female lice per fish. During the last 10-15 years, there has been
493 an increased focus on lowering the production of infective stages of salmon lice (copepodites)

494 during the wild Atlantic smolt run in springtime in Norway. A coordinated spring delousing has
495 been implemented and is currently mandatory across all regions in Norway. This development
496 has manifested itself in a decreased abundance of female lice during springtime since 2002
497 (Jansen et al., 2012). Studies from other regions have suggested that spring delousing is an
498 effective tool to protect wild migratory salmon smolts from salmon lice, given that effective
499 treatment is used and sufficiently coordinated (Peacock et al., 2013). Meanwhile, however, the
500 number of farmed fish (and consequently number of hosts) in most regions has increased steadily
501 during the same period. A combination of these two patterns may explain the decreasing risk
502 ratio from the first period to the second period and the subsequent increased risk ratio in the last
503 two periods.

504 **Bias**

505 While studies from RCTs are often thought to give unequivocal answers regarding treatment
506 effects, applying such methods to study the effects of parasites on wild fish is complex. While in
507 traditional RCTs, the treatment efficacy is under scrutiny, the efficacy of treatment in studies
508 with treated and untreated salmon smolts is assumed to be 100%, and any variation in treatment
509 effect is treated as either natural variation or heterogeneity. However, there are several reasons
510 why the results from release groups do not necessarily reflect the mortality patterns in wild fish.
511 Skilbrei et al. (2008) documented that when oral administration of emamectin benzoate is used,
512 the resulting levels in tissue samples are very variable, with a proportion of the fish having levels
513 below the recommended level within one week of administration. Similarly, Gargan et al. (2012)
514 reported that 35 % of the sampled fish had tissue levels below the limit of detection ($9 \mu\text{g}\cdot\text{kg}^{-1}$).
515 This resulted in a change from oral to inter-peritoneal injection (Glover et al., 2010) in the study

516 by Skilbrei et al. (2013). It must therefore be expected that treated groups that were given
517 treatment through oral administration were not 100% protected for the duration of their
518 migration, and more than 50% of the release groups received oral administration.

519 Even when treatment is administered correctly, anti-parasitic agents may still not render 100%
520 protection. Reduced sensitivity in some of the strains of lice collected at various fish farms along
521 the coast were observed during the period of these experiments, i.e., in 2008 and 2009 (Horsberg,
522 2012, Espedal et al., 2013), and have developed further in recent years (Grøntvedt et al., 2015).

523 Whether resistance has affected the results of our study is not known. However, it is assumed that
524 resistance to emamectin benzoate in fish farms was not present at the beginning of the study
525 period and might be more prevalent in the most recent years. This development may explain why
526 some of the largest treatment effects were observed in the beginning of our data series.

527 Another assumption is that the effect of the treatment will last for 6-8 weeks and that this time
528 period will be sufficient to protect smolts from lice (Stone 2000). This assumption requires that
529 most exposure to salmon lice occurs during near-shore migration and that salmon smolts will
530 migrate quickly from the near-shore habitat. However, while the estuary and fjord migration of
531 Atlantic salmon smolts has been documented thoroughly by the use of different tagging
532 equipment (e.g., acoustic transmitters; (Thorstad et al., 2012)), there is little documented
533 information on how the fish migrate after leaving the fjord. One possibility is that the fish follow
534 the coastal current northwards before migrating into the open ocean. In this case, exposure to
535 salmon lice produced in fish farms can be decoupled from the fjord migration, and the treatment
536 effect may not protect the fish during the entire period of exposure. There was a larger estimated
537 effect size for groups released in the fjord compared to groups released in the river or estuary. If
538 exposure to lice is mostly in the outer part of the fjords, and if treatment is most effective during

539 the first period after release, the difference observed between the two groups could be because
540 the release groups in the outer fjord encounter lice when they are effectively protected by the
541 treatment, while release groups in the river encounter lice when they are less protected.

542 In theory, anti-parasitic agents may affect parasites other than salmon lice. Emamectin benzoate
543 belongs to the group avermectins, which are broad-spectrum anti-parasitic agents (Jansson et al.,
544 1997). If the smolts encounter other parasites during outward migration, the protection provided
545 by emamectin benzoate may exert a beneficial effect on survival irrespective of salmon lice
546 exposure. For example, sea trout in Scottish waters may have up to 100% prevalence of
547 endoparasites such as parasitic nematodes (*Anisakis* sp., (Urquhart et al., 2010)), which may be
548 affected by avermectins. However, to date, the only prevalent parasite documented in the region
549 is salmon louse, and we therefore find it highly unlikely that the pattern is driven by another
550 parasite. Furthermore, the other anti-parasitic treatment that was used was Substance EX, which
551 is a chitin-inhibitor and is unlikely to affect parasites that do not change a chitin-shell during their
552 life-cycle.

553 **Extrapolating results from cultivated to wild fish**

554 Studies using release groups of cultivated smolts usually attempt to mimic the migration time of
555 wild fish from a river, but in most cases, the time of release is largely controlled by the growth
556 and physiological state of the fish in the hatchery rather than determined by the optimal time to
557 release them. In some studies, multiple releases are performed throughout the season to study the
558 seasonal effect. Skilbrei and Wennevik (2006) demonstrated that the RR was much higher in
559 groups released later in the season. However, salmon smolts are also known to desmoltify
560 (Stefansson et al., 1998), and holding back fish may lead to suboptimal smolt quality, which may

561 lead to an overestimation of the effect of salmon lice. Moreover, cultivated smolts may behave
562 differently from wild fish. Jonsson et al. (1991) concluded that the survival and the ability to cope
563 with different environmental challenges are much lower for cultivated fish than wild fish.
564 Consequently, one source of the large variation in baseline survival may be attributed to variation
565 in the quality of the cultivated smolts and the ability of these smolts to cope with environmental
566 challenges. If the higher survival of wild smolts compared to cultivated smolts is due to the same
567 factors that drive baseline survival, then the results of this study suggest that lice may have a
568 smaller impact on wild smolts than we observe on cultivated smolts.

569 The results are also limited by the fact that most of the data (and hence, the weight of the
570 analysis) come from a limited region just north of Bergen (Vosso, Dale & Matre Research
571 Station). The results are also weighted heavily toward release groups that have been released in
572 the outer region of the fjord because these groups have higher survival (and will therefore have
573 higher weights in the meta-analysis). The high survival in these groups can be partially explained
574 by the fact that these fish avoid predation during the transition through estuaries (Thorstad et al.,
575 2012). Consequently, the weight of the dataset is on release groups with relatively low exposure
576 compared to most large salmon populations in Norway entering the ocean through long fjord
577 arms.

578 **Conclusions**

579 The results of this study are consistent with earlier studies that show significant but, on average,
580 relatively small beneficial effect for the effect of anti-parasitic treatment on the marine survival
581 of Atlantic salmon. However, the finding of a strong relationship between baseline survival and
582 the effect of treatment against salmon lice is novel and underpins the point that average values

583 from such studies are of little interest when attempting to extrapolate the results to potential
584 effects on wild fish. The results of this study thus provide support for the hypothesis that salmon
585 lice contribute to the mortality of salmon. However, the effect was not consistently present and
586 was strongly modulated by other risk factors. Consequently, the results suggest that the
587 population-level effects of salmon lice on wild salmon cannot be estimated independently of the
588 other factors that affect marine survival.

589 **Acknowledgements**

590 The authors would like to thank Hege Folkestad at the library at UiB for help with establishing
591 the search strategy in the systematic review. Thanks to Helge Skoglund and Shad Mahlum for
592 commenting on an earlier version of the manuscript and production of map, and to Henrik Styhn
593 for input related to the correction for systematic bias when using baseline survival. The meta-
594 analysis was financed mainly by the Norwegian Seafood Research Fund (Project nr. 900932). In
595 addition, Knut Wiik Vollset was financed through the Norwegian Research Council during the
596 final editing of the manuscript (project nr. 243912/E50). The experiments were funded by the
597 Norwegian Environment Agency, Uni Research Environment, Institute of Marine Research,
598 Hordaland County Council, The Norwegian Institute for Nature Research, the European
599 Commission DG Fisheries Contract. No. Q5RS-2002-00730 (SUMBAWS), fish farmers
600 contributing to the organization “Vossolaugget”, and the power companies BKK and Statkraft.

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756 **Table 1.** Variables used in meta-regression in a systematic review and meta-analysis of
 757 Norwegian trials/releases estimating the effects of anti-parasitic treatment of smolts on the
 758 marine survival of Atlantic salmon.

| Predictor variable | Grouping/response | Type | Pooling |
|---------------------------|---|-------------|--|
| Publication type | Peer-review, other | Categorical | |
| Release location | Fjord, river/estuary | Categorical | River and estuary releases pooled |
| Release river | Southern rivers (Imsa, Årdal, Suldalslågen), Vosso, Dale, Matre and Northern rivers (Eira, Surna, Orkla, Halselv) | Categorical | Rivers pooled into 5 groups |
| Period | 1996-2003, 2004-2006, 2007-2008, 2009-2012 | Categorical | Release years pooled into four periods (release quartiles) |
| Release day | Days after May 1 st | Continuous | |
| Treatment type | Emamectin in feed, Emamectin injected, Substance EX | Categorical | |
| Lice exposure | Density kernel 50 meter (sum) | Continuous | |
| Lice exposure | Density kernel 50 meter (max) | Continuous | |
| Lice exposure | Density kernel 200 meter (sum) | Continuous | |
| Lice exposure | Density kernel 200 meter (max) | Continuous | |
| Distance | Distance migrated from release to 200 km boarder (m) | Continuous | |
| Temperature | Average temperature in migration path (C°) | Continuous | |
| Release weight | Average weight of smolt group at release (g) | Continuous | |
| Baseline survival | Natural log of percent recaptured in control group | Continuous | |

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764 **Table 2.** Summary of the 118 Norwegian trials/releases used in in the systematic review and
765 meta-analysis of Norwegian trials/releases estimating the effects of anti-parasitic treatment of
766 smolts on the marine survival of Atlantic salmon. (C= Control, T = Treated). * indicates that
767 unpublished data on multiple SW salmon are also included in the analysis that were not reported
768 in publication. n.a. indicates “not available”.

| River | Author | Publication year | Release groups (N) | Smolts released (N) | | Adults recaptured (N) | |
|--------------|-------------------------------|------------------|--------------------|---------------------|------------|-----------------------|------|
| | | | | C | T | C | T |
| Halselv | Hazon et al. 2006* | 2007 | 3 | 6156 | 5958 | 21 | 17 |
| Halselv | Strand og Finstad | 2010 | 1 | 3365 | 4426 | 0 | 0 |
| Orkla | Hvidsten et al. 2007 | 2007 | 2 | 5913 | 5901 | 32 | 62 |
| Surna | Hvidsten et al. 2007 | 2007 | 1 | 2985 | 3000 | 51 | 66 |
| Eira | Jensen et al. 2013 | 2013 | 4 | 12112 | 11796 | 33 | 34 |
| Matre | Skilbrei et al. (Unpublished) | n.a. | 18 | 31965 | 32045 | 98 | 111 |
| Vosso | Barlaup et al. 2013 | 2013 | 37 | 15836 6 | 16082 6 | 947 | 1058 |
| Dale | Skilbrei et al. 2012 | 2012 | 44 | 73068 | 77200 | 498 | 615 |
| Dale | Skilbrei et al. (Unpublished) | n.a. | 3 | 8165 | 8115 | 92 | 125 |
| Suldalslågen | Finstad et al. (Unpublished) | n.a. | 3 | 15995 | 15497 | 1 | 3 |
| Imsa | Hazon et al. 2006* | 2006 | 2 | 6000 | 4000 | 65 | 44 |
| Årdal | Lehmann et al. (Unpublished) | n.a. | 2 | 6385 | 6385 | 13 | 9 |

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770 **Table 3.** Results from the multivariate random effects meta-regression on Norwegian trials
 771 estimating the effects of anti-parasitic treatment of smolts on the marine survival of Atlantic
 772 salmon. Variables and levels are separated by increased indentation. The standard error (SE) of
 773 the risk ratio is indicated in parenthesis. The baseline of the log risk ratio is equal to the intercept.
 774 Baseline survival is a variable in the model equal to the proportion of recaptured fish in the
 775 control group. Note that this model has not considered the structural dependence between the RR
 776 and baseline survival (Dohoo et al., 2007).

| Variable and level | Log risk ratio (SE) | P | 95% confidence interval | |
|----------------------------------|----------------------------|----------|--------------------------------|-------|
| Release location | | | | |
| River/estuary | Baseline | - | - | |
| Fjord | 0.185 (0.09) | 0.036 | .013 | .357 |
| Release year period ^b | | | | |
| 1996-2003 | Baseline | - | - | |
| 2004-2006 | -0.512 (0.16) | 0.002 | -.833 | -.191 |
| 2007-2008 | -0.231 (0.14) | 0.094 | -.502 | .040 |
| 2009-2012 | -0.116 (0.10) | 0.249 | -.315 | .083 |
| Baseline survival ^a | -0.241 (0.05) | <0.00 | -.337 | -.144 |
| Intercept | 0.500(0.10)) | <0.00 | 0.302 | 0.698 |

777 ^a centered at mean value of -5.793; the overall P-value for release year was P=0.0174.

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780 **Table 4** Estimated % change in risk ratio estimates for different assumed treatment efficacies
781 divided into different quartiles of baseline survival (proportion of control group recaptured).
782 “Consensus” was a trapezoidal distribution (50-75-90-98%) based on a consensus opinion about
783 the distribution of efficacy across trials.

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| Treatment efficacy | Quartiles | | | |
|--------------------|-----------|------|------|------|
| | Q1 | Q2 | Q3 | Q4 |
| 100 % | 0.0 | 0.0 | 0.0 | 0.0 |
| 90 % | 9.7 | 6.3 | 0.0 | -1.1 |
| 75 % | 25.8 | 18.8 | 7.7 | -2.1 |
| 50 % | 67.7 | 43.8 | 23.1 | -5.1 |
| Consensus | 16.1 | 12.5 | 7.7 | -2.1 |

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788 **Figure legends**

789 **Figure 1** - Locations of smolt releases along the coastline of Norway. Locations of fish farms
790 (kart.fiskdir.no, accessed 01.10.2014) are indicated with grey dots. The release locations are
791 given symbols according to the pooling in the meta-analysis (circles=Imsa, Suldalslågen & Årdal,
792 squares=Vosso, crosses=Dale, diamonds=Matre, triangles=Eira, Surna, Orkla, Halselv)

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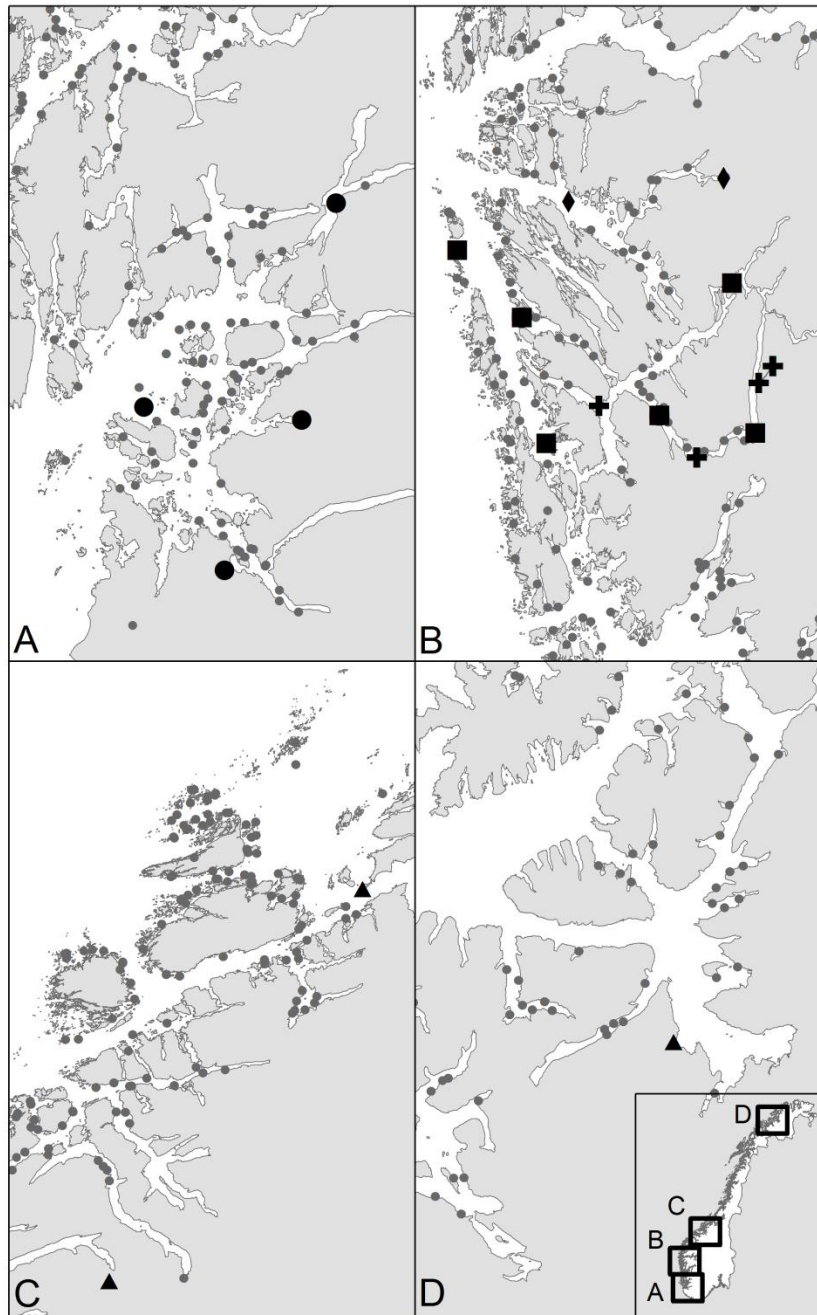
794 **Figure 2** Distribution of log (risk ratios) of treatment trials estimating the effects of anti-parasitic
795 treatment of smolts on the marine survival of Atlantic salmon in Norway from 1996-2011. Values
796 >0 indicate a protective effect of treatment (i.e., enhanced recapture), while values <1 indicate a
797 detrimental effect.

798

799 **Figure 3** Scatter plot of estimates of OR of treatment derived from a model that accounts for the
800 structural association between baseline survival and OR. Points are based on an estimate of OR
801 that includes the random effect for the trial. Line shows relationship between baseline survival
802 and OR. Two outlying data points (OR=2.80, baseline survival=4.71, and OR=2.99, baseline
803 survival=2.53) were omitted from the graph to improve the scale. (Omission had no effect on the
804 line shown.)

805 **Figure 4** Distribution of estimated attributable fractions from all smolt releases in Norway from
806 1996-2011. Values >0 indicate a protective effect of treatment (i.e., enhanced recapture), while
807 values <1 indicate a detrimental effect.

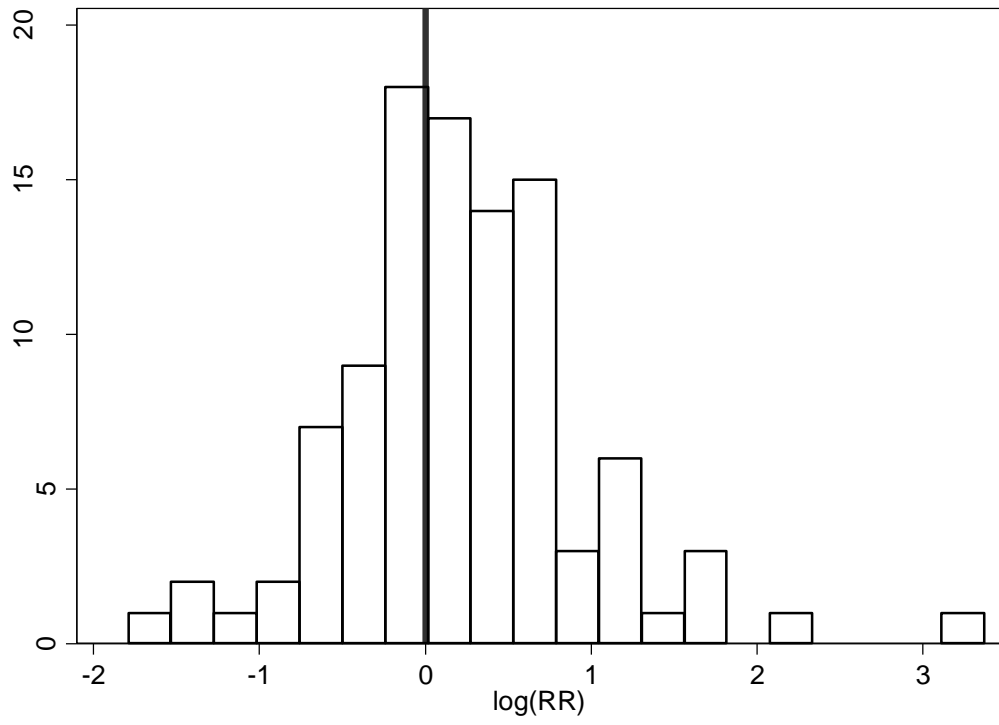
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811 Figure 1

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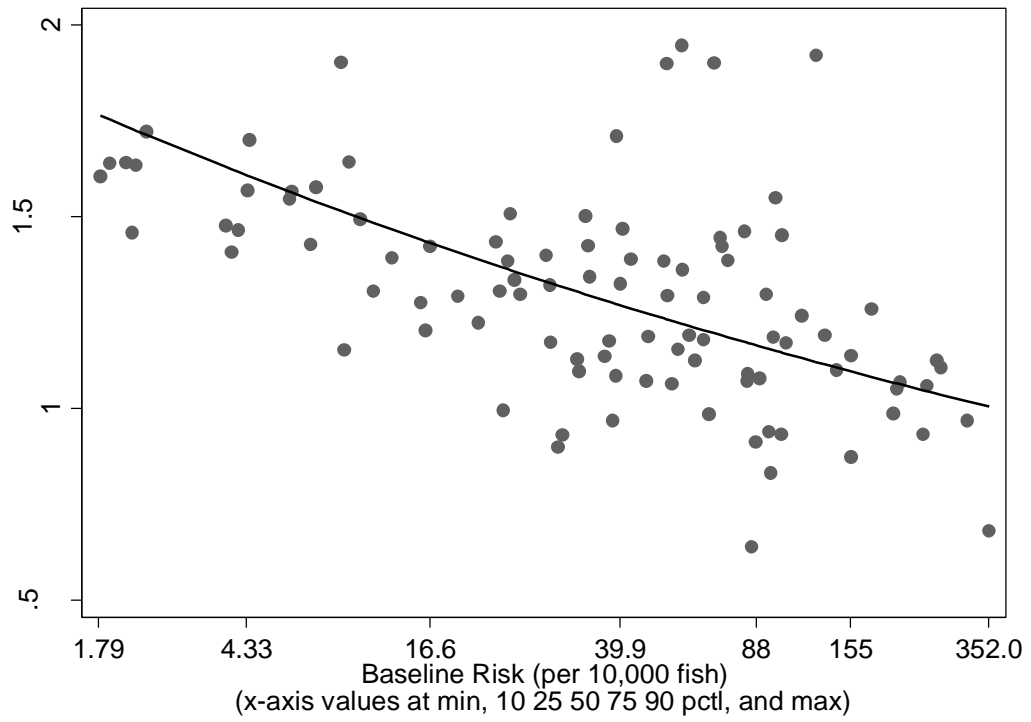
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815 Figure 2

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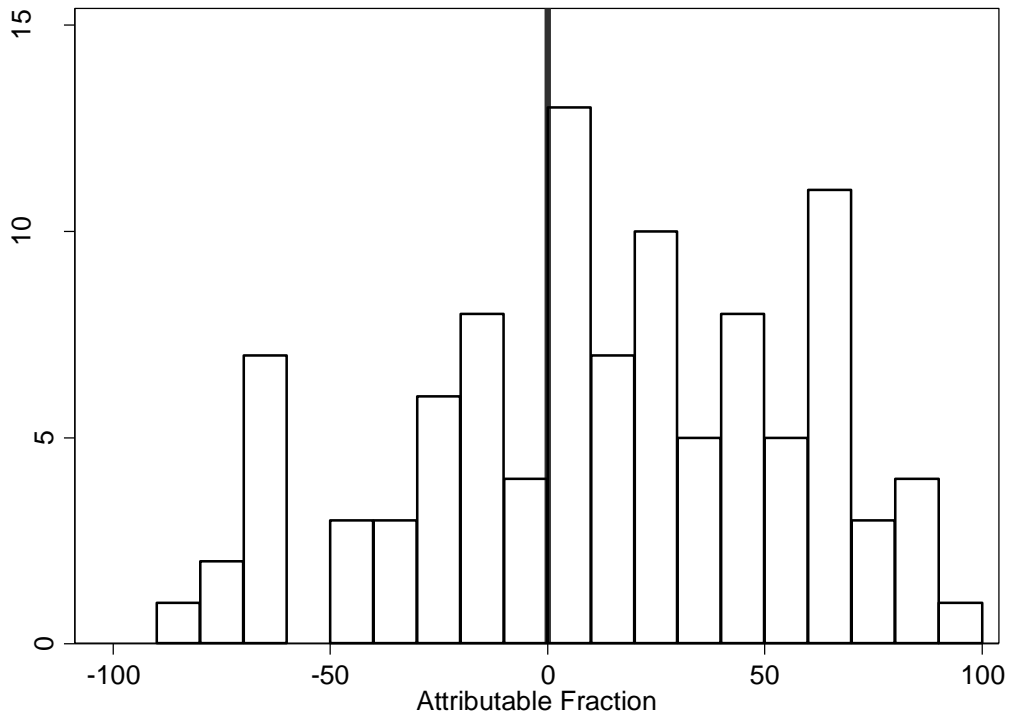


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819 Figure 3

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823 Figure 4

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