# STOCK PREDICTION USING STOCHASTIC RECRUITMENT NUMBERS WITH EMPIRICAL STOCK-DEPENDENT DISTRIBUTION 

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#### Abstract

Probability distributions for the recruitment, conditional on the spawning stock biomass (SSB) were made using a kernel method. Predictions were made with recruitments according to these distributions for 10 years, using a Monte Carlo procedure, assuming constant weight at age, maturity ogive and natural mortality.

Examples are given for 3 stocks, Western mackerel, North Sea sandeel and North Sea herring. Three management strategies were studied, a fixed $F$, a fixed yearly catch and a regime aiming at stabilizing the SSB.

Due to the variable recruitment, attempts to stabilize the SSB increases the year to year variations in the yield. Attempting to take a fixed catch every year is hazardous, since the appearance of a few poor year classes may detoriate the stock so that the future recruitment suffers.

This simple approach may be a useful tool for evaluating management strategies in terms of risks and possible outcome of the fisheries.


## Introduction

Management advise is commonly given in terms of a single recommended total allowable catch (TAC), which is assumed to represent the highest allowable catch within 'safe biological limits'. The basis for this kind of advice is a projection of the state of the stock, which depends on the starting values for numbers at age in the stock, the mortalities, weight at age, maturity ogive and the recruitment of new year classes. The typical prediction procedure simply transforms values for these parameters into predicted catches and biomasses for the next year or a few years ahead.

These parameters are, however, subject to substantial variation, and may be difficult to predict. For many stocks, the recruitment is the most important uncertain factor. Replacing the fixed value of one or more of these parameters with a statistical probability distribution, gives a prediction in terms of probability distributions of the outcomes, which probably is a more realistic representation of the actual state of knowledge. The problem then is to find realistic probability distributions for the input parameters.

When stochastic variables are substituted for fixed parameters, the effect of management strategies can be studied in terms of probabilities. A management strategy may be considered as a set of rules which determines future fishing mortalities, given the present state of the stock. The ultimate goals for the management are often multiple, and may be mutually incompatible. There may be a desire to maximize the yield, both immediately and in the long term. There may also be a need for stability over the years. The aim of the present study is to introduce a procedure for constructing a probability distribution for the recruitment, which takes the biomass of the spawning stock (SSB) into account, and then apply this to some simple management rules.

A Monte Carlo routine is used to transfer the recruitment distribution into distributions of catches and biomasses, assuming that the other input parameters are constant. The results are evaluated both in terms of expectation values for yield and biomass, and risks of selected events. This is done for three stocks: The Western mackerel stock, the North Sea herring, and the North Sea sandeel.

The mackerel has no apparent relation between stock and recruitment, although the recruitment seems to become more variable at lower SSB's (Fig. 1). The sandeel has apparently a strong connection between stock and recruitment in most years (Fig. 2). The herring also seems to have some stock dependence in its recruitment, at least at very low stock levels (Fig. 3). This is the only of these stocks where the data cover a stock collapse.

## Methods.

Constructing an SSB-dependent recruitment probability distribution function.

The present strategy is to keep as close to the historical experience as posssible. The basis for the construction is the historical SSB - recruitment pairs ( $\mathrm{S}_{1}, \mathrm{R}_{1}$ in year i) taken from ICES Working Group assessments. The recruitment in the prediction year is then assumed to take on one of the historical values $R_{1}$, with a probability for each $R_{1}$ which depends on how far the corresponding $S_{1}$ is from the actual SSB ( $=S_{\mathrm{a}}$ ). More formally, this can be expressed by:
$\operatorname{Prob}\left\{\mathrm{R}_{\mathrm{a}}=\mathrm{R}_{1}\right\}=\varphi\left(\mathrm{S}_{\mathrm{a}}, \mathrm{S}_{1}\right) / \sum_{k} \varphi\left(\mathrm{~S}_{\mathrm{a}}, \mathrm{S}_{\mathrm{k}}\right)$
where $\varphi$ is a weighting function. Essentially, one assumes that the recruitment in the prediction year most likely will be one of those which were generated by an SSB close to the present one. This approach is commonly called a kernel method. A convenient form of the weighting function 9 is that of a probability function with expectation value at $S_{1}$. The lognormal probability density function is used in the examples in the present study. This is used because it gives a region of influence for each $S_{1}$ which is proportional to $S_{1}$. Other functions (Cauchy functions, boxcar functions etc. ) have been used by others for a similar purpose (Evans and Rice, 1988).

With a lognormal p.d.f.

$$
\varphi\left(S_{a}, S_{i}\right)=\frac{1}{\sigma \sqrt{2 \pi}} \frac{1}{S_{a}} \exp \left\{\frac{-\left(\log \frac{S_{a}}{S L}-\frac{\sigma^{2}}{2}\right)^{2}}{2 \sigma^{2}}\right\}
$$

the parameter $\sigma$ has to be chosen. This will determine how far away from $S_{1}$, the $R_{1}$ still will have any appreciable influence on the distribution of $\mathrm{R}_{\mathrm{a}}$. The parameter $\sigma$ was chosen according to the cross validation principle: The $\sigma$ was used which minimized the sum of squared residuals obtained by estimating each $R_{1}$ using all the other $S_{1}, R_{1}$ pairs. In the case of the mackerel, this gave a very small $\sigma$, implying that the recruitment in the pair closest to $S_{a}$ would almost certainly be reproduced. Therefore, a uniform distribution where each $R_{1}$ has equal probability, irrespective of the actual SSB, was applied in this case.

## Prediction.

The starting values for the predictions, as well as the fixed values for weight at age, maturity at age, the natural mortality and the fishing pattern, were taken from the latest available Working Group reports (Anon. 1991a, Anon 1990, Anon. 1991b for mackerel, sandeel and herring respectively). The predictions were run on a quarterly basis for 10 years ahead (except for herring
which was run on a yearly basis), with recruitments drawn randomly according to the SSB dependent distribution. This procedure was repeated 100 times for each scenario.

Three types of management strategies were studied:
1: A fixed fishing level for the whole period. The fishing pattern is not changed, the level is given relative to the status quo
2: A fixed catch was supposed to be taken each year. The fishisng level was determined accordingly at the beginning of the year. A maximum $F$ of 5 times the status quo level was permitted.

3: To aim for a stabile SSB at a given level. For each year the fishing level was adjusted according to
$\mathrm{F}_{\text {act }}=\mathrm{F}_{\mathrm{ata}} *\left(1+\left(\mathrm{SSB}_{\mathrm{act}} / \operatorname{SSB}_{\mathrm{sta}}-1\right) * \mathrm{q}\right)$
where $F_{\text {sta }}$ is the reference value corresponding to the status quo level of exploitation and $\mathrm{SSB}_{\mathrm{sta}}$ is the desired level of SSB. The factor $q$ is a smoothing factor.

## Measures of managemet results.

The present approach gives in principle the results in terms of statistical distributions. For practical purposes, measures containing the information of interest have to be extracted from these distributions. The following are used here:

1. Mean values year by year. These give an idea of the overall effects and the trend over time.
2. Internal $S D$. This is the empirical Standard Deviations in the yearly results within each run, and gives an indication of the stability of the results from year to year. Taken over the 100 runs, this is in itself a stochastic variable.
3. Risk of passing certain levels of catches or SSB's. There are two reasons for considering these risks. One is to see how likely 'unacceptable' values may be. The other pertains to the SSBdependent distributions. Since these are empirical distributions based on historical data, great caution should be excerted in interpreting the results if the SSB comes outside the range of historical experience.

## Results.

## Recruitment distributions.

The historical stock-recruitment pairs and the running mean of the recruitment distribution is shown in figs. 1-3. As noted
previously, a stock - independent distribuiton was preferred for the mackerel. The figure for sandeel shows a common property of the kernel approach. If the historical data indicate a rapid decline in he recruitment towards low SSB's, the kernel procedure tends to give expectation values for the recruitment above most of the observations at low SSB's and vice versa at high SSB's. For the herring, this effect is largely abolished by the large number of observations at very low SSB's during the collapse in the 1970's.

## Mackerel.

With the fixed $F$ regime, increasing $F$ will of course increase the yield and decrease the SSB, as long as the recruitment is unaffected by the state of the stock. As time passes, yield at low $F$ will tend to increase due to the build-up of the underlying stock, while the opposite takes place for the large F's. Unless $F$ is very small, the mean catch towards the end of the 10 year period is little sensitive to the F-level. However, one must expect that the year to year variations in yield become more prominent at higher $F^{\prime}$ s, since the recruitment fluctuations are less effectively buffered. This is reflected in the higher fractions of large internal $C V$. By increasing the $F$ above the present level, there is also a rapid increase in the risk of bringing the SSB below the lowest historical level, i.e. into the region where there is no information in the historical data about the recruitment level.

With a regime with a fixed yield, the most important aspect is the risk that the situation gets 'out of hand', i.e. that the SSB declines below the historical low, or that the stock becomes so small that it is impossible to take the planned catch. According to the data here, the stock should sustain a yearly catch of 600000 tonnes quite well. This is close to the actual yearly catch the later years. Problems arise at 750000 tonnes, and 1 million tonnes yearly almost certainly leads to disaster.

The SSB-stabilizing regime reduces the risk of low SSB's, but the effect is not very impressive. The price to be paid is an increase in the risk that the catches occasionally become quite small.

## Sandeel.

Also in this case, where the recruitment is assumed to be quite strongly influenced by the SSB, a higher $F$ level leads to higher catches in the short term. Towards the end of the 10 years period, the mean yield at the highest $F-$ levels are still declining, however, and become lower than the yield at intermediate $F^{\prime} s$. Increasing $F$ also increases the risk that the SSB will fall below the historical minimum of approximately 500000 tonnes.

The regime with a fixed yield works quite well with a yearly yield below 600000 tonnes. Above this level, the risk of reducing the stock to hazardous levels increases rapidly.

The regime attempting to stabilize the SSB at 1 millinon tonnes, gives large fluctuations in the yield in the first years. The 1988 year class, which according to the initial data is very large, enters the spawning stock in 1990 (year 1), and leads to a drastic increase in the fishing mortality this year. Thereafter, the mean SSB is brought effectively down to the target level. With a sufficiently large smoothing factor (q), the risk of reducing the SSB below 500000 tonnes is very small, but the yield becomes quite variable.

The actual fishery in 1989 (year 0) was slightly above 1 million tonnes, while it was only approximately 600000 tonnes in 1990 (year 1). This is quite different from the predicted numbers. The most likely explanation for this is that the 1988 year-class may have been overestimated.

## Herring.

With a fixed $F$, the catches tend to stabilize slightly above the present level. This is indicated by the low frequency of high internal CV and the low risk of getting catches below the low level of 450000 tonnes. The SSB never was below 1 million tonnes with $F$ - values at or below the status quo level. By increasing the fishing mortality above this level, this picture changes dramatically. With an $F$ at 3 times the status quo level, $i$ e. $\mathrm{F}=0.92$, a new collapse seems almost inevitable. This is due both to the exploitation as such, but also to a near $50 \%$ reduction in mean recruitment, compared to the status quo level.

Attempting a fixed yield works well for a yield of 500000 tonnes, but becomes quite dangerous already at 750000 tonnes. In the worst case it becomes impossible to take this catch already in year 3. At year 10, the risk that this happens is $38 \%$. Applying a fixed yield at an even higher level is even worse.

The SSB stabilizing regime was studied for a target SSB of 2000000 tonnes. This led to a stabilisation of the SSB somewhat above the target level, with little risk of reducing the SSB to dangerous levels. The mean catches were about the baseline level, but they were less stable than with the fixed $F$.

## Discussion.

The most important advantage of this kind of approach is that it gives more realistic dynamical properties to the system, since it intoduces a feedback mechanism for the recruitment and takes the stochastic nature of the recruitment into account.

The kernel method, which has been used here, has also been proposed by others for a similar purpose (Evans and Rice, 1988).

The advantage of this approach is that one avoids a priori assumptions about the form of the stock - recruitment relationship. The disadvantage is that the expected recruitment will depend strongly on the available data. Since the expectation value of the recruitment is a weighted mean, it will tend towards the mean recruitment at extreme levels of the SSB. An example of this is seen in the sandeel data.

The management strategies discussed here are simple examples, but illustrate some important points. One is that attempting to stabilize one variable will lead to increased variation in the others. Accordingly, stabilizing the SSB is not promising as a means of stabilizing the yield. Also, a strong emphasis on stabilizing the yield may be dangerous, unless the fixed yield is a good deal below the production potential of the stock.

With a fixed $F$ at a moderate level, the mean yield and SSB tend to stabilize as time passes. If the $F$ is high enough, this does not happen. In this case, a sequence of poor year-classes will bring the SSB down to a level where the likely recruitment is poorer, and the probability of restoring the stock is small, unless the fishery is reduced. Therefore, a higher $F$ implies a higher yield, but also a higher risk of deteriorating the stock.

The results in absolute values in the present examples are highly dependent on the parameter values for weight, maturity ogive and natural mortality, which also may vary from year to year. A natural extension of the model would be to include some or all of these as stochastic variables. In principle, the kernel approach can be applied also for these parameters if there is reason to believe that they may be dependent on variables generated by the prediction. In particular, this applies to modelling natural mortality in multispecies models like MSVPA, and to density - dependent growth and maturity.

## References

Anon. 1991a. Report of the Mackerel Working Group. ICES CM/Assess:19

Anon. 1991b. Report of the Multispecies Assessment Working Group.

ICES CM/Assess:15
Anon. 1991c. Report of the Herring Assessment Working Group for the Area South of $62^{\circ} \mathrm{N}$. ICES CM/Assess:15

Evans G.T. and Rice, J.C. 1988. Predicting recruitment from stock size without the mediation of a functional relation. J. Cons. int. Explor. Mer, 44: 111-122

Destorn mackerel.
Mean yearly yield and sSB as function of relative fiahing mortalityUnit 1000 tonnes.

| $\begin{aligned} & \text { Rel } F=> \\ & \text { Abs.F }(4-8) \end{aligned}$ | $\begin{aligned} & 0.5 \\ & .14 \end{aligned}$ | Mean yield |  |  | 2.0 | 0.5 | Hean SSB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 0.8 | 1.0 | 1.5 |  |  | 0.8 | 1.0 | 1.5 | 2.0 |
|  |  | - 22 | . 28 | . 42 | . 56 | .14 | . 22 | . 28 | . 42 | 56 |
| Year |  |  |  |  |  |  |  |  |  |  |
| 0 | 352 | 544 | 665 | 945 | 1195 | 2340 | 2288 | 2254 | 2172 | 2095 |
| 1 | 420 | 614 | 724 | 942 | 1094 | 2812 | 2617 | 2497 | 2227 | 1996 |
| 2 | 475 | 663 | 758 | 918 | 1002 | 3124 | 2782 | 2582 | 2162 | 1834 |
| 3 | 520 | 693 | 771 | 880 | 915 | 3276 | 2798 | 2533 | 2009 | 1633 |
| 4 | 539 | 693 | 755 | 826 | 835 | 3364 | 2779 | 2468 | 1889 | 1503 |
| 5 | 560 | 698 | 748 | 796 | 795 | 3451 | 2776 | 2433 | 1827 | 1447 |
| 6 | 569 | 694 | 736 | 774 | 775 | 3506 | 2768 | 2407 | 1794 | 1424 |
| 7 | 572 | 686 | 723 | 756 | 758 | 3526 | 2742 | 2371 | 1756 | 1395 |
| 8 | 575 | 680 | 713 | 742 | 743 | 3550 | 2722 | 2340 | 1723 | 1367 |
| 9 | 570 | 670 | 700 | 727 | 729 | 3542 | 2687 | 2301 | 1690 | 1340 |
| 10 | 569 | 662 | 691 | 716 | 717 | 3534 | 2656 | 2269 | 1662 | 1320 |
| Int. CV of yield: |  |  |  |  |  |  |  |  |  |  |
| 10-20: | 81 | 55 | 33 | 59 | 41 |  |  |  |  |  |
| >20 | 10 | 0 | 1 | 15 | 55 |  |  |  |  |  |

Table 1 b
Western mackerel
Risk (t) of yield and SsB below critical levels with constant $P$.

| Rel. $\mathrm{F}=>$ <br> Abs.F(4-8) | Below level at |  | $\begin{aligned} & \text { least } \\ & 1.0 \end{aligned}$ | once |  | Below | level in |  | year 10 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 1.5 | 2.0 | 0.5 | 1.5 |  |  | 2.0 |
|  | . 14 | . 22 |  | . 28 | . 42 | . 56 | . 14 | . 22 | - 28 | . 42 | . 56 |
| Level ( 1000 tonnes):yield: |  |  |  |  |  |  |  |  |  |  |
| 900 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 97 | 93 | 88 |
| 600 | 100 | 100 | 26 | 26 | 37 | 64 | 29 | 21 | 18 | 19 |
| 450 | 100 | 5 | 2 | 4 | 5 | 8 | 2 | 2 | 2 | 2 |
| 200 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SSB: |  |  |  |  |  |  |  |  |  |  |
| 1500 | 0 | 0 | 5 | 54 | 94 | 0 | 0 | 44 | 29 | 77 |
| 1000 | 0 | 0 | 0 | 7 | 24 | 0 | 0 | O | 2 | 11 |

Table 1c
pestern mackerel
Fixed yearly yield

Risk (\%) of yield below target.
Target
$500 \quad 600 \quad 750 \quad 1000$
year

| 0 | 0 | 0 | 0 | 0 |
| :--- | :--- | :--- | :--- | :--- |
| 1 | 0 | 0 | 0 | 0 |
| 2 | 0 | 0 | 0 | 0 |
| 3 | 0 | 0 | 0 | 0 |
| 4 | 0 | 0 | 0 | 6 |
| 5 | 0 | 0 | 0 | 31 |
| 6 | 0 | 0 | 0 | 43 |
| 7 | 0 | 0 | 1 | 49 |
| 8 | 0 | 0 | 3 | 66 |
| 9 | 0 | 0 | 3 | 75 |
| 10 | 0 | 0 | 4 | 83 |

Risk of SSB < 1500000 tonnes
$500 \quad 600 \quad 750 \quad 1000$

Table 1d
Mastern mackerel.
Mean yearly yield, SSB and $F$ with a fixed target sSB.
Unit 1000 tonnes.

|  | Mean yiold |  |  | Mean SSB |  |  | Hean | $F(4-8)$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Target SSB = 2000 $9=>$ | 0.5 | 1.0 | 1.5 | 0.5 | 1.0 | 1.5 | 0.5 | 1.0 | 1.5 |
|  |  |  |  |  |  |  |  |  |  |
|  | 704 | 739 | 772 | 2241 | 2228 | 2216 | -31 | . 32 | . 33 |
| 1 | 778 | 821 | 856 878 | 2459 2498 | 2426 2428 | 2396 2369 | .31 | .33 .34 | .33 .36 |
| 2 | 817 | 855 | 878 | 2498 | 2428 |  | -31 | -34 | -36 |
| 3 | 810 | 822 | 821 | 2407 | 2312 | 2241 | -31 | -33 | -34 |
| 4 | 772 | 767 | 754 | 2323 | 2228 | 2165 | - 31 | - 32 | -32 |
| 5 | 754 | 744 | 734 | 2284 | 2199 | 2149 | . 30 | -31 | 31 |
| 6 | 737 | 730 | 724 | 2262 | 2187 | 2147 | -30 | - 31 | -31 |
| 7 | 721 | 716 | 713 | 2232 | 2166 | 2131 | . 30 | -30 | -31 |
| 8 | 710 | 706 | 704 | 2210 | 2151 | 2119 | . 30 | - 30 | -31 |
| 9 | 696 | 692 | 689 | 2181 | 2127 | 2098 | . 29 | -30 | . 30 |
| 10 | 685 | 681 | 679 | 2157 | 2110 | 2084 | . 29 | . 30 | . 30 |
| Int. CV of yield: |  |  |  |  |  |  |  |  |  |
| <10* | 31 | 16 | 12 |  |  |  |  |  |  |
| 10-20: | 56 | 63 | 54 |  |  |  |  |  |  |
| >20\% | 13 | 21 | 34 |  |  |  |  |  |  |

Table 1 e
Western mackerel
Fixed target SSB $=2000000$ tonnes
Risk (t) of yield and ssB below critical levels.
$q \Rightarrow \quad$ Below limit at least once Below limit in year
Limit ( 1000 tonnes):
Yield:
900
600
450
200
SSB:
1500
1000

| 100 | 100 | 100 |
| ---: | ---: | ---: |
| 45 | 54 | 61 |
| 8 | 16 | 22 |
| 0 | 0 | 0 |
|  |  |  |
| 7 | 6 | 5 |
| 0 | 0 | 0 |


| 94 | 90 | 89 |
| ---: | ---: | ---: |
| 25 | 28 | 31 |
| 2 | 7 | 10 |
| 0 | 0 | 0 |
|  |  |  |
| 2 | 2 | 2 |
| 0 | 0 | 0 |

Table 2a
North sea sandeel.
Mean yearly yield and $S B B$ as function of relative fishing mortality.
Unit looo tonnes.


Table 2b
North Sala sanderel.
Risk (\%) of yield and ssB below critical levels.


Tabla $2 c$
North Saa sandeel
Fixed yearly yield

| R1sk <br> Target <br> yield=> | yield below taxget. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 500 | 600 | 750 | 1000 |
| Year |  |  |  |  |
| 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 |
| 2 | 0 | 0 | 5 | 23 |
| 3 | 0 | 2 | 12 | 41 |
| 4 | 0 | 2 | 17 | 51 |
| 5 | 0 | 3 | 25 | 72 |
| 6 | 0 | 5 | 35 | 82 |
| 7 | 0 | 6 | 39 | 88 |
| 8 | 0 | 8 | 48 | 96 |
| 9 | 0 | 8 | 57 | 96 |
| 10 | 0 | 10 | 63 | 98 |


| Risk of | SSB | 500000 |  | tonnes |
| ---: | ---: | ---: | ---: | ---: |
| 500 | 600 | 750 | 1000 |  |
|  |  |  |  |  |
| 0 | 0 | 0 | 0 |  |
| 0 | 0 | 0 | 0 |  |
| 0 | 0 | 5 | 28 |  |
| 0 | 8 | 24 | 48 |  |
| 0 | 8 | 30 | 70 |  |
| 0 | 10 | 39 | 76 |  |
| 0 | 8 | 47 | 88 |  |
| 2 | 15 | 52 | 96 |  |
| 2 | 15 | 61 | 97 |  |
| 2 | 20 | 66 | 99 |  |
| 3 | 18 | 73 | 100 |  |

## Table 2d

North Sea sandeal
Mean yearly yield, $S S B$ and $F$ with a fixed target ssB. unit 1000 tonnes.

|  | Mean yield |  |  | Mean ssb |  |  | Mean $\mathrm{F}(1-2)$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{gathered} \text { Target } S S B=1000 \\ g \Rightarrow \end{gathered}$ | 0.5 | 1.0 | 1.5 | 0.5 | 1.0 | 1.5 | 0.5 | 1.0 | 2.5 |
|  |  |  |  |  |  |  |  |  |  |
| 0 | 812 | 716 | 615 | 757 | 757 | 757 | . 57 | .49 | .41 |
| 1 | 1399 | 1667 | 1922 | 1744 | 1819 | 1896 | . 87 | 1.15 | 1.47 |
| 2 | 891 | 752 | 555 | 1052 | 885 | 736 | . 67 | . 60 | . 44 |
| 3 | 942 | 948 | 1003 | 1078 | 1066 | 1109 | . 67 | . 68 | . 74 |
| 4 | 967 | 932 | 863 | 1102 | 1037 | 979 | . 68 | . 67 | . 63 |
| 5 | 955 | 958 | 988 | 1106 | 1080 | 1091 | . 68 | . 70 | . 73 |
| 6 | 921 | 916 | 897 | 1086 | 1051 | 1029 | -67 | . 68 | . 68 |
| 7 | 906 | 907 | 906 | 1051 | 1033 | 1029 | . 66 | . 67 | . 67 |
| 8 | 887 | 880 | 874 | 1065 | 1038 | 1027 | . 67 | . 67 | . 67 |
| 9 | 852 | 839 | 829 | 1014 | 991 | 988 | . 65 | . 64 | . 63 |
| 10 | 857 | 865 | 870 | 1021 | 1017 | 1024 | . 65 | . 66 | .67 |
| Int. CV of yield: 0 |  |  |  |  |  |  |  |  |  |
| $<10$ | 0 5 | 0 0 | 0 |  |  |  |  |  |  |
| 10-20\% |  |  |  |  |  |  |  |  |  |
| $\begin{array}{r} 20-30 \% \\ >30 \% \end{array}$ | 33 62 | 96 | $\begin{array}{r} 0 \\ 100 \end{array}$ |  |  |  |  |  |  |

Table 2a
North Soa sandeel
Risk (*) of yield and ssB below critical levels.
qe $\Rightarrow$
Below level at least once
Below level in year 10

Level ( 1000 tonnes):
yield:

| 1200 | 100 | 100 | 100 | 89 | 87 | 85 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 900 | 100 | 100 | 100 | 56 | 56 | 52 |
| 600 | 77 | 87 | 100 | 24 | 30 | 28 |
| 450 | 40 | 74 | 87 | 5 | 15 | 19 |
| 200 | 0 | 8 | 49 | 0 | 2 | 5 |
| SSB: |  |  |  |  |  |  |
| 1000 | 100 | 6 | 5 | 43 | 2 | 2 |
| 500 | 30 | 0 | 0 | 4 | 0 | 0 |

## Table 3a

Noxth Sea herring.
Mean yearly yield and $S S B$ as function of relative fishing mortality
Unit 1000 tonnes.

| $\begin{aligned} & \operatorname{Rel} \cdot F=> \\ & \operatorname{Abs} \cdot F(2-8)= \end{aligned}$ | 0.5 $>.15$ | 0.8 .25 | Mean 1.0 <br> .31 | $\begin{aligned} & y 1 e .1 d \\ & 1.5 \\ & .46 \end{aligned}$ | 2.0 .62 | $\begin{array}{r} 3.0 \\ .92 \end{array}$ | $\begin{aligned} & 0.5 \\ & .15 \end{aligned}$ | $\begin{aligned} & 0.8 \\ & .31 \end{aligned}$ | $\begin{aligned} & \text { Hea } \\ & 1.0 \\ & .46 \end{aligned}$ | $\begin{aligned} & \text { SSB } \\ & 1.5 \\ & .62 \end{aligned}$ | $\begin{aligned} & 2.0 \\ & .92 \end{aligned}$ | 3.0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| 0 | 128 | 428 | 521 | 733 | 919 | 1225 | 1462 | 1376 | 1321 | 1195 | 1080 | 884 |
| 2 | 336 | 482 | 561 | 707 | 796 | 796 | 1750 | 1515 | 1376 | 1084 | 855 | 884 533 |
| 2 | 381 | 512 | 572 | 666 | 715 | 777 | 2116 | 1716 | 1494 | 1062 | 760 | 398 |
| 3 | 434 | 551 | 592 | 657 | 742 | 713 | 2409 | 1831 | 1532 | 1012 | 699 | 398 376 |
| 4 | 490 | 598 | 620 | 683 | 804 | 626 | 2729 | 1966 | 1582 | 1005 | 740 | 376 353 |
| 5 | 530 559 | 624 | 632 | 712 | 822 | 551 | 3055 | 2119 | 1650 | 2049 | 811 | 310 310 |
| 6 | 559 | 647 | 638 | 728 | 810 | 492 | 3290 | 2209 | 1684 | 1103 | 811 | 310 271 |
| 7 | 579 | 661 | 643 | 730 | 790 | 456 | 3436 | 2281 | 1694 | 1130 | 815 | 242 |
| 8 | 587 | 665 | 647 | 725 | 790 | 422 | 3529 | 2319 | 1703 | 1130 | 8915 | 242 |
| 9 | 588 | 666 | 647 | 725 | 780 | 402 | 3546 | 2324 | 1712 | 1130 1126 | 791 | 225 209 |
| 10 | 585 | 669 | 648 | 707 | 777 | 389 | 3522 | $2317$ | 1709 | 11098 | 791 | 209 200 |
| Int. CV of yield: |  |  |  |  |  |  |  |  |  |  |  |  |
| <10\% | 0 | 15 | 32 | 2 | 1 | 0 |  |  |  |  |  |  |
| 10-20\% | 25 | 43 | 49 | 66 | 64 | 0 |  |  |  |  |  |  |
| >20t | 75 | 42 | 19 | 32 | 35 | 100 |  |  |  |  |  |  |

## Table 3b

Risk (i) of yield and ssB below critical levels.

| Rel. $\mathrm{F}=$ > |  | $\begin{aligned} & 10 \mathrm{~W} \\ & 0.8 \end{aligned}$ | $\begin{array}{r} \text { level } \\ 1.0 \end{array}$ | at lea | 20 |  |  | Ow | el | year | 10 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Rel ${ }^{\text {abs }} \mathrm{F}(2-8)=>$ | 0.5 .15 | 0.8 .25 | $\begin{aligned} & 1.0 \\ & .31 \end{aligned}$ | 1.5 .46 | 2.0 .62 | 3.0 .92 | 0.5 .15 | 0.8 .25 | 1.0 .46 | $\begin{aligned} & 1.5 \\ & .62 \end{aligned}$ | 2.0 .92 | 3.0 |
| Level ( 1000 tonnes):Yield: |  |  |  |  |  |  |  |  |  |  |  |  |
| 1200 | 100 | 100 | 100 | 100 | 100 | 100 |  |  |  |  |  |  |
| 900 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 99 87 | 100 | 100 100 |
| 600 | 100 | 100 | 100 | 92 | 66 | 100 | 59 | 29 | 45 |  |  |  |
| 450 | 100 | 100 | 12 | 0 | 7 | 91 | 5 | 29 5 | 4 | $\begin{array}{r} 27 \\ 0 \end{array}$ | 17 | 86 |
| SSE: |  |  |  |  |  |  |  |  |  |  |  |  |
| 1500 | 100 | 100 | 100 | 100 | 100 |  |  |  |  |  |  |  |
| 1000 | 0 | 0 | 10 | 198 | 100 | 100 | 0 | 2 | 44 | $\begin{aligned} & 96 \\ & 37 \end{aligned}$ | $100$ | 100 |
| 750 | 0 | 0 | 0 | 11 | 99 | 100 | 0 | 0 | 0 | 32 | 88 | 1100 |
| 500 | 0 | 0 | 0 | 0 | 17 | 100 | 0 | 0 | 0 | 0 | 3 | $\begin{aligned} & 100 \\ & 100 \end{aligned}$ |

Table 3c
North Soa herring
Fixed yearly yield


Risk (\%) of SSB $<800000$ tonnes
5006007501000

| 0 | 0 | 0 | 0 |
| ---: | ---: | ---: | ---: |
| 0 | 0 | 0 | 92 |
| 0 | 0 | 43 | 83 |
| 0 | 7 | 67 | 93 |
| 0 | 12 | 61 | 91 |
| 0 | 15 | 57 | 94 |
| 0 | 19 | 56 | 97 |
| 0 | 15 | 58 | 98 |
| 0 | 13 | 58 | 100 |
| 0 | 10 | 56 | 100 |
| 0 | 12 | 65 | 100 |

## Table 3d

North Sea herring
Mean yearly yield, SSB and $F$ with a fixed target SSB. Unit 1000 tonnes.

|  | Mean yield |  |  | Mean SsB |  |  | Mean $\mathbf{F}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\underset{q \rightarrow}{\operatorname{Target} S S B}=2000$ | 0.5 | 1.0 | 1.5 | 0.5 | 1.0 | 1.5 | 0.5 | 1.0 | 1.5 |
| Year |  |  | 449 | 1335 | 1349 | 1364 | . 29 | .28 | . 26 |
| 0 | 497 | 473 | 449 | 1335 | 1511 | 1580 | . 26 | . 21 | . 16 |
| 1 | 483 | 404 | 323 472 | 1443 1625 | 1511 | 15876 | . 26 | . 23 | . 21 |
| 2 | 526 | 491 | 472 | 1625 | 1753 1835 | 1947 | . 28 | . 27 | . 28 |
| 3 | 590 638 | 615 | 674 | 1695 1768 | 1835 1896 | 1947 | . 28 | . 28 | . 30 |
| 4 | 638 | 676 | 737 753 | 2851 | 1958 | 2005 | . 29 | . 29 | . 30 |
| 5 | 664 | 712 | 763 | 1871 | 1963 | 1982 | . 30 | . 30 | . 30 |
| 6 | 685 | 731 | 743 | 1874 | 1954 | 1968 | . 30 | . 30 | -30 |
| 8 | 690 | 721 | 730 | 1878 | 1943 | 1951 | . 30 | - 30 | - 30 |
| 9 | 684 | 704 | 705 | 1870 | 1917 | 1938 | . 30 | . 30 | . 30 |
| 10 | 678 | 689 | 695 | 1852 | 1897 | 1931 | 30 | 30 | 29 |


| Int. CV of yield: |  |  |  |
| :---: | ---: | ---: | ---: |
| <108 | 19 | 3 | 0 |
| $10-208$ | 34 | 19 | 9 |
| $20-308$ |  | 33 | 39 |
| $>308$ |  | 14 | 39 |
|  |  |  |  |

Table 3e
North Sea herring
Risk (t) of yield and ssB below critical levela.



Fig. 1
Western mackerel
stock-recrultment pairs and expectation of recruitment
Data from Anon. 1991a.


Fig. 2
North Sea sandeel Stock-recruitment pairs and expectation of recruitment

Data from Anon 1991b


Fig. 3
North Sea herring
stock-recruitment pairs and expectation of recruitment
Data from Anon. 1991c.

