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Report of the Workshop on Using Fishers to Sample Catches (WKUFS)

5–6 June 2007

Bergen, Norway



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Contents

Contents	i
1 Introduction	2
1.1 Terms of reference.....	2
1.2 Background and opening of the meeting	2
2 Adoption of the agenda	3
2.1 Objectives for fisher collected data (ToR a).....	3
2.2 Review of existing systems for using fishers for sampling (ToR b).....	4
2.2.1 Canada	4
2.2.2 Denmark	4
2.2.3 Iceland	5
2.2.4 Latvia.....	5
2.2.5 Malta.....	5
2.2.6 The Netherlands.....	5
2.2.7 Norway	5
2.2.8 Poland	6
2.2.9 Spain	6
2.2.10 United States.....	6
2.3 Procedures for the designing self-sampling programmes (ToR c).....	6
2.4 Quality control of self-sampling systems (ToR d).....	9
2.5 Methods for analyzing data, appropriate estimators, and sources of variability (ToR e).....	10
3 Brief conclusions and future work	12
4 References	13
Annex 1: List of participants	14
Annex 2: Agenda.....	19
Annex 3: Oral presentations at the workshop.....	20
Annex 4: WKUFS terms of reference for the next meeting	44
Annex 5: Recommendations	45

Executive summary

To assess a fishery it is necessary to determine the biological characteristics, such as age and length distributions, of the commercial catch. In addition, estimates of the amount of discards will lead to more accurate assessments, as will information about effort, fishing efficiency and fleet behaviour. Using scientists to collect information on commercial catches is usually not cost effective. Currently there is ongoing effort worldwide to develop programmes to use fishers to self-sample their catches. Because of the possibility that using fishers would be an efficient and cost effective means to collect fishery data, the workshop attracted many scientist and industry representatives from throughout Europe and Canada.

Two broad objectives for such self-sampling programmes were identified at the workshop. One is that it would be a way to efficiently collect commercial fishery data. The other goal of such programmes would be to involve fishing industry in the assessment process by having them work closely with the scientists. Therefore it is clear that the purpose of the programmes is to improve stock assessments. Here, the improvement is less a question of precision (which is a purely a scientific measure) or accuracy (which is difficult to ascertain) but more that the assessments should provide a common perception of what is in the sea. While self-sampling schemes often involve some form of payment, this should be regarded as secondary. It is the greater involvement of fishers in the assessment process that is the ultimate benefit of self-sampling programmes.

The sampling schemes should not be static but should be adapted to prevailing conditions. The practice of science, which is not perfect, should constantly be critiqued and then improved. The fishers would be an important source of information on how the programmes could be improved to more closely reflect the reality in the sea.

The workshop reviewed some self-sampling projects that are presently operational. Based on this review, six themes were identified for designing and implementing a self-sampling programme: creating incentives for fishermen, communication, confidentiality, financing, training, and survey design.

The workshop focussed mainly on two types of quality control procedures: cross-checking data from self-sampling surveys with other sources of information from the same area such as fleet positions, time period, etc.; and monitoring the internal consistency of data series.

Methods for analyzing self-sampled data, appropriate estimators and sources of variability were discussed. Bias in self-sampling may be avoided by routinely checking the coverage by, e.g., area, gear and season using simple ratio-estimators. For all self-sampling programmes, sources of variability should be detected and the sampling scheme adjusted accordingly. One rule for sampling in the marine environment appears to be generally true: It is better to sample a few fish from many locations than to sample many fish at each of a few locations.

1 Introduction

1.1 Terms of reference

2006/2/ACFM32 A **Workshop on Using Fishers to Sample Catches** [WKUFS] (Co-chairs: Kjell Nedreaas, Norway, and Michael Pennington, Norway, will be established and will take place in Bergen, Norway, during 5–6 June 2007 to:

- a) Describe objectives of the data collection.
- b) Review existing systems for using fishers to sample catches (self sampling systems).
- c) Propose procedures for the design of self-sampling systems (training, survey design etc.).
- d) Recommend procedures for ongoing quality control of the information obtained and the design of self-sampling systems, in particular how to assess whether the objectives have been met.
- e) Discuss methods for analyzing these data; appropriate estimators and sources of variability.

WKUFS will report for the attention of ACFM, RMC and PGCCDBS.

1.2 Background and opening of the meeting

To assess a fishery it is necessary to determine the biological characteristics, such as the age and length distributions, of the commercial catch. In addition, estimates of the amount of discards will lead to more accurate assessments.

Using scientists to collect information on commercial catches is usually not cost effective. Several institutions are now employing selected fishers (often called a 'reference fleet', 'study fleet' or 'sampling fleet') to measure a subsample of their catches, extract otoliths, record the amount of discards, etc. This may be a cost efficient way to collect such data but care is needed to assure that these data are as useful as possible. The purpose of ToR 1 is that before a programme is implemented the goals and purpose of self-sampling should be carefully considered. For example, will it replace other data collection programmes or how will these data be used in an assessment? ToRs 2 through 4 examine current self-sampling programmes to determine their effectiveness, how they can be improved and their precision (standard errors, effective sample sizes, etc.). Finally ToR 5 will focus on whether these sampling schemes actually achieve their stated goals (ToR 1 revisited).

In view of its relevance to the EU Data Collection Regulation (DCR) and other national data sampling programmes, the Workshop was expected to attract wide interest from both ICES Member States and Mediterranean EU Member States. It was therefore a great pleasure and motivation to gather as many as 42 people from 16 countries to discuss and report on the terms of reference. The list of participants is shown in Annex 1.

2 Adoption of the agenda

A compilation of the oral presentations during the workshop is given in Annex 3.

2.1 Objectives for fisher collected data (ToR a)

Based on the presentations of current and planned fisher self-sampling schemes, two broad objectives for such schemes were identified; the efficient collection of commercial fishery data and increasing the involvement and understanding of the fishing industry in the assessment process.

With regard to data collection, self-sampling schemes were identified as being particularly useful for collecting data which would not be available from other forms of scientific sampling, e.g.:

- Data from areas inaccessible to research vessels, e.g. inshore or deepwater fisheries,
- Data from catches of vessels that are too small to accommodate a scientific observer,
- Data from catches from fisheries where for processing reasons the catches are not available to market sampling – e.g. some pelagic fisheries,
- Data on bycatches of seabirds and sea mammals where the probability of capture is low, so they are unlikely to occur during an observer trip.

It was also highlighted that self-sampling allows for continuous, broad area, high-resolution sampling, using large numbers of ships of opportunity. As such the resulting data allow the scientists to focus on “the right place at the right time”. It may also help the scientists to give better founded and targeted advice on regulation measures, since the new regulations will to a greater extent be based on a common perception of which measures will have the desired positive effect on the stock.

Large quantities of data from self-sampling may be combined with limited observer data, both in combination with observers at sea from time to time, and in some cases, more cost-effective processing of samples ashore. Large quantities of data from self-sampling may also contribute to a better understanding of fleet selectivity, and such trust based cooperation with fishers may also help scientists to observe and quantify technology creep and hence changes in fishing efficiency.

The data collected are almost always intended for stock assessments and thus feed into the fishery management process. In this respect, a clear objective is that such data should lead to improved stock assessments. Here, the improvement is less a question of precision (which is a purely a scientific measure) or accuracy (which is difficult to define) but more that the assessment should provide a common perception of what is in the sea.

One way of contributing to this common perception would be to ensure that the data collected by fishers are used to translate their ‘soft’ information and impressions about stock size into hard information that can be used directly in assessment models. And it is essential that the information is actually used, which should be the major the pay-off for fishers. While self-sampling schemes often involve some form of payment, this should be regarded as secondary. It is the greater involvement of fishers in the assessment process that is the ultimate benefit of self-sampling programmes. One example of this came from the experience with co-management in the Baltic where Swedish fishers now have a much greater understanding of why the data are collected through their greater involvement in the process and the feedback that they have received about the results.

Co-operation between scientist and fishers is not always sufficient in itself. In the recent case of the North Sea sand eel fishery there was close collaboration between Danish scientists and Danish fishers to obtain sand eel data, which formed the basis of recent management decisions. Because of this collaborative effort, fishers and scientists completely agreed on the assessments. However, a decision to close the fishery was taken by the managers (the European Commission), which does not reflect the conclusions of industry and scientists. The managers were not involved in the collaborate work, which is likely to lead to problems in the future. This led to discussion about possible future involvement of managers in a meeting such as the present one, given that they are the ones make the decisions that fishers have to live with. It was also pointed out that the fishery would not have opened at all during 2007 if there had not been this co-operation between scientists and fishers.

An important part of any co-operation between scientists and fishers is for both sides to recognise that their objectives are likely to differ. In particular, scientists typically have the financial security of a monthly salary, whereas fishermen are dependent on their catch for their income.

Another possible objective for the use of self-sampling data is to fill the gap that results from basing advice for the year ahead on data up to the end of the preceding year. The specific case of Barents Sea cod was mentioned, where during the late 1980s and early 1990s the quotas failed to track large-scale changes in the stock abundance. The perception amongst fishers was that the delay in using catch data contributed to this mismatch. In addition, there is a need for close co-operation and real-time information given the rapid changes observed recently, including saithe as far as 78° North and cod spawning West of Spitzbergen. In relation to this it was generally agreed that sampling should not be kept static but needs to be adapted to prevailing conditions. It was also noted that science does not necessarily produce perfect information but that science involves a constant process of critique and improvement. There should be more of an exchange of information with fishers who need to have access to data in order to argue for their point of view.

It was recognised that self-sampling schemes could be classified by the following criteria:

- The level of sampling detail: from simple length compositions to complex biological sampling.
- The degree of commitment by the fishers: from voluntary to near-professional.
- Statistical approach and quantity of information collected: large number of samples from a few boats versus, to a small number of samples from many boats.

There is a need to describe a framework using these three measures to ensure a uniformity of approach.

2.2 Review of existing systems for using fishers for sampling (ToR b)

The workshop reviewed some self-sampling projects that are presently operational. The data from these programmes are currently used in the assessments of the sampled stocks. Some of these self-sampling systems were presented orally at the workshop (see Annex 3).

2.2.1 Canada

The Canadian sentinel survey, while not meeting the criterion of fishers' sampling their commercial catches, can be used as a model that may be modified when designing new programmes.

2.2.2 Denmark

In one self-sampling programme, some fishers sample perch and fill in an expanded logbook. The project covers all fishermen; professional, part-time and recreational. Participation is

voluntary, and no payment is involved. In another programme scientists have approached recreational fishermen's associations and asked them to report on their members' fishing effort and landings of salmonids. They provided data that are very difficult to obtain in any other way.

Denmark also has a 40-year project with salmon fishermen in the Baltic, who record their fishing effort, landings and discard data. These are recorded in official logbooks on a purely voluntary basis. The volunteers cover about 60% of the fleet.

There is a Danish reference fleet that takes samples in the sand eel fishery in the North Sea. Sampling levels are set at one sample per fishing ground fished. Since the fleet is constantly moving from one area to another, this proved to be sufficient coverage. There is also fishery-independent sampling of the landings by inspectors, which act as a check on the self-sampling results.

2.2.3 Iceland

Fishermen are hired to collect cod stomachs for feeding studies. They measure the sampled fish and collect and freeze the stomach. The aim is to collect data from all areas and seasons. Institute staff analyse the stomach contents.

2.2.4 Latvia

In 1993 a reference fleet and self-sampling system was started in Latvia to monitor the coastal fishery. Approximately 20 to 30 fishers and fishing companies are contracted each year by the Latvian Fisheries Research Institute (since 2006–by the Latvian Fish Resources Agency). The fishers record in special logbooks a detailed description of catches including any bird and mammal bycatches. Until 2007 the fishers were compensated by giving them the use of a number of additional fishing gears (the coastal fishery in Latvia is regulated mostly by number of fishing gears) as well letting them fish during closed periods. In 2007 they were paid based on the amount of work they did. Data quality is assured by proper training of involved fishermen and by frequent visiting and checking of their work. The same fishers do the sampling each year, thus allowing them to gain experience in sampling techniques.

2.2.5 Malta

The self-sampling programme for dolphin fish divides the area around Malta into three strata, and in each stratum a fisher is selected to take samples. The selected fishers collect length measurements on all fish caught by specific FADs (fish aggregating devices), and they also take a sample of fish for determining biological characteristics. The fishers are paid for this work.

2.2.6 The Netherlands

One objective of the Dutch demersal self-sampling programme is to better estimate the amount of discards of North Sea plaice and cod. Recently, 21 vessels volunteered to participate in the programme. Self-sampling also occurs in the pelagic fishery, which provides information on the targeted species, discards, etc.

2.2.7 Norway

In 2000 the IMR began a programme to collect data and biological samples directly from some chosen commercial fishing vessels, the so-called "reference fleet." The fishers, who are paid for their effort, measure a subsample of fish at selected stations and less frequently they collect otolith, stomach, genetic and other biological samples, which are then analyzed by the IMR. The reference fleet also provides the IMR with information on fleet behaviour and

technical developments influencing efficiency and effort. At present there are 16 open sea- and 18 coastal fishing vessels in the Reference fleet.

2.2.8 Poland

Self-sampling has been used in Polish fisheries since late 90s. At first it was limited to the coastal fisheries in the Baltic Sea, but now it also covers part of offshore catches. The use of self-sampling was introduced mainly to reduce the costs of travelling and sampling conducted every year by technicians from the Sea Fisheries Institute in Gdynia. The primary species covered by self-sampling are: salmon, sea trout, whitefish (*Coregonus lavaretus*) and recently also cod.

For self-sampling of salmonids and whitefish a trusted fisherman was selected who was typical for that fishery. He was trained and equipped with the relevant equipment. Every year the amount of remuneration was negotiated with the SFI. According to the contracts, he was obliged to collect data from his catches that included: length and weight measurements, sex and maturity data and preserve scales for age reading. Approximately 70–85% of his total catch was sampled.

Presently discarded cod in the Polish hook fishery is continuously self-sampled because such data must be collected to be in compliance with DCR regulations. The Polish hook fleet consists mainly of small boats and cutters so there is no room for onboard observers. The fishers take length measurements and record other requested information on each haul. Presently there only ten hook fishery trips need to be sampled under the DCR regulations and sampling effort is distributed quarterly by sub-divisions based on the average catch over the previous three years (Appendix XII DCR).

2.2.9 Spain

There is a tag and release programme for monitoring the recreational tuna fishery. At the beginning of the season, training is provided for a number of skippers involved in this fishery. It is a voluntary project so the number of participants varies from year to year. There were around 25 fishers in 2006. Each fish that is caught is measured, tagged and released. The recapture rates are around 4–5%, which is very similar to returns from tags placed by experienced technicians.

2.2.10 United States

The Northeast Fisheries Science Center used fishers (called a “study fleet”) in a pilot study of the accuracy of the reported fishery-based data from off the northeast coast of the USA. A total of 32 vessels participated in the study. One of the primary goals of the Study Fleet project was to develop and implement electronic reporting technology (software and hardware) for the collection, recording, and transferring of more accurate and timely fishery data.

In the same area off the northeast coast of the USA, the School for Marine Science and Technology (SMST), which is part of the University of Massachusetts/ Dartmouth, used a study fleet to assess the commercial fishery. Approximately 20 commercial vessels were in the fleet. The fishers recorded tow information (time, position, weather) and catch data (species, weight length). One of the many other goals of this project was to demonstrate to the fishers that they are important partners of the scientists.

2.3 Procedures for the designing self-sampling programmes (ToR c)

Six themes were identified for designing and implementing a self-sampling programme:

- Creating incentives for fishermen

- Communication
- Confidentiality
- Financing self sampling programmes
- Training
- Survey Design

The main points for each aspect of a self-sampling programme are as follows:

Creating incentives for fishermen

The most important issue is the need for incentives for fishers to participate in a self-sampling scheme. If there are no incentives, motivation will be lost and fishermen will stop cooperating. The most effective incentives are:

- The feeling/knowledge that participation is necessary and/or useful for the management of a stock.
- Compensation for example: an increased TAC or direct payment for their work.
- Create a network among fishers and between fishers and researchers.
- Provide fishers with relevant information that will give them a stronger position in management discussions.

Communication

Good communication is essential for the success of a project in which fishers and researchers cooperate. Good cooperation is based on trust and transparency among the different parties. General criteria for cooperative research are summarized in Table 1.

There may be many different cooperative projects within a country and, therefore, these will need to be centrally coordinated, either on a national or a regional (RAC) level. The coordinator would insure that fishers are not approached by too many different projects.

Table 1. Criteria for cooperative research (Johnson and Van Densen, 2007).

STEP	INGREDIENTS
Identification of problem	Involve fishermen Shared & sharp description
Definition of objectives	Derive from identified problem Name presumptions Clarify how results will be used/what meaning or implications they will have
Methods	Technical feasibility Analysis of statistical power Budget (time/money) Show format of results to fishermen Joint communication of problem, objectives, method and format & meaning of results
Carrying out the work	Instruction of vessel crew and researchers Cooperation of vessel crew and researchers onboard Give feedback on how data are collected (reliability of data) Direct communication of results by trip
Processing of data	Communicate significant results with fishermen involved Discuss meaning of results with fishermen and how to communicate results to the industry
Communication of results	Joint publication of results Clear distinction between results of research (neutral) and implications for management

Confidentiality

It should be assured that data are confidential and can only be presented in an anonymous and/or aggregated way. It should be noted that some data might be “interesting” for enforcement services etc., which might endanger trust between parties.

Financing

The industry, authorities and researchers should decide how to finance a programme. For example, the Norwegians finance their self-sampling programme with a research TAC for participants: the money that is earned from selling the fish is used to finance the project.

Training

Depending on the objectives of a self-sampling programme, the training should be adapted to each particular situation. Some general remarks are:

- Training/Instruction of a group of participants can be achieved through a plenary meeting. The timing of this meeting is important: make sure that fishermen are available, e.g., the meeting should not be held during the fishing season;
- An individual approach is important to increase understanding and commitment, which can be achieved by onboard training;
- The goal should be to instruct fishermen how to sample, not to educate them to be fisheries scientists;
- It should be clear what kind of data are required (and why) and what kind of format is required in order to make data processing more efficient;
- Short feedback loops from researchers to fishers are required;
- It should be easy for fishermen to contact the relevant researcher;
- To inform the industry about the project, it is useful to communicate the purpose of the project through a one-page flyer and/or an article in the fishermen’s weekly.

Survey design

There are many different self-sampling programmes. Therefore, it is impossible to give general details on the required sampling scheme, the number of samples, gear used, etc. The following are common steps for setting up a self-sampling scheme:

- 1) What are the objectives, what do we want to know?
- 2) Define strata within the fishery (or métier) under investigation:
 - 2.1) Gear
 - 2.2) Target species
 - 2.3) Spatial units
 - 2.4) Temporal units
- 3) Decide which strata should be sampled. (Stratification can be defined according to the standard rules for stratification of a survey.)
- 4) Decide how the data should be analyzed.
- 5) Check feasibility of the desired stratification.

Examples of relevant questions for designing a survey:

- What kind of information is required (e.g. numbers per species of otoliths)?
- Short term versus long term?
- Voluntary or paid?
- How to select vessels and when does your sample of vessels represent the total fleet?

- To what extent should differences in gear/rigging be taken into account?
- What is the number of samples required (statistical power analyses)?
- Are samples taken and processed onboard the vessels or do we use port sampling?
- How can the results from samples taken be scaled up to the total fleet?
- How are data registered and processed (software onboard and in fishing laboratories)?
- How to deal with legal issues: e.g. keeping undersized fish onboard. How to arrange these kinds of issues with the authorities?

Once data are available, the sampling scheme should be optimized. For example, the efficiency of the sampling scheme for the Norwegian reference fleet was improved based on analyzing the sources of variability (for details, see Helle and Pennington, 2004).

Stratification for sampling discards and commercial landings are widely discussed in Section 3.3, 3.5, and 4 in the report of the Workshop on Discard Sampling Methodology and Raising Procedure (WKDSMRP), Denmark, 2003. (ICES CM 2004/ACFM:13).

These issues are also well documented in Section 6.4 of the report of an ICES Study Group on Discards and Bycatch Information. (2002: SGDBI, report ICES CM 2002/ACFM:09).

Finally, some general points to bear in mind are:

- Adapt sampling schemes to the real world: that is taking into account obstacles that may occur after programmes are implemented. A fishery system is very vulnerable to external factors e.g. changes in/decisions from management.
- Stick to the “KISS principle”: Keep It Simple Stupid: don’t ask too much of the fishers, only ask the essential.

2.4 Quality control of self-sampling systems (ToR d)

The workshop focussed mainly on two types of quality control procedures. The first procedure was defined as ‘cross-checking’: roughly described as checking data of self-sampling surveys with other sources of information from the same area, fleet, time period, etc. The second procedure could be best described as: monitoring the internal consistency of data series.

Sources of information that can be used for ‘cross-checking’ self-sampling information:

VMS: In Norway VMS data for the whole fishing fleet (greater than 24 meters) are successfully used to cross-check fish position data, and thus avoid area bias of the reference fleet self sampling programme.

Logbooks: In the Netherlands, EU logbooks were used to check the accuracy of catch and position information per participating vessel.

Observers: The future self-sampling programme in the UK (Irish Sea) plans to use observers on self-sampling ships as an ongoing quality control programme. Presently the Dutch compare self-sampled discard data from the fishery with discard survey trips conducted by observers.

Correlation with year class strength: Results from the self-sampled Danish sand eel larvae survey is nicely correlated with the observed year class strengths based on catch statistics.

Comparison with surveys from other countries: Denmark cross-checks discard data with surveys conducted by countries sampling the same fish stocks in the Baltic area.

Check with fishers: Compare the self-sampled data with the view of the fishers, e.g., do the data appear to reflect the experience of the entire fleet.

Monitoring consistency:

Internal consistency: Compare the coefficient of variation of individual participants fishing in the same period, area and fleet.

Range checks: Check if biological measures are within acceptable limits; for example fish length.

Observers: Compare self-sampled data with observer data on the vessels in the same fleet, period and area.

Fleet characteristics: Check if self-sampled data are consistent with fleet characteristics, for example, haul duration, soaking time, trip duration, etc.

2.5 Methods for analyzing data, appropriate estimators, and sources of variability (ToR e)

The workshop covered broad aspects of self-sampling programmes, including advice and criteria for establishing effective cooperative research between scientists and fishermen. Many presentations focused on the description of field sampling protocols. This section focuses on the presentations that presented examples of analysis methods, and evaluated sources of sampling variability. An example of the use of self-sampling for scientific experimental studies was also presented.

Methods for evaluating the sampling coverage and potential bias in estimates of discard included GIS analysis of data from VMS, and comparisons of estimates from self-sampling programmes and observer data. Self-sampling programmes can provide data from more hauls, but will generally include less information per haul than data from observer programmes. Methods for the estimation of discards included traditional design-based estimators such as the ratio estimator, and model-based estimators. Simple ratio-estimators, e.g. the ratio of discards to the total catch of a haul, were applied to estimate discards in many of the self-sampling programmes that were presented. In these programmes, data from individual hauls or trips were pooled across larger areas and time-periods. It was noted in the discussions that the lumping of samples from individual hauls and trips, without any weighting to account for varying catches among hauls and trips, could introduce bias in the discard estimates, and preclude the proper estimation of variance in the discard estimates.

Examples of data analysis from the Dutch programme included comparisons of discard estimates based on self-sampling programmes versus estimates based on samples collected under the Data Collection Commission Regulation (DCR) programme. Analysis of variance of proportions of discard, using the arcsine transformation to reduce the dependency between the proportions and the variance, were used to assess sampling strategies. Results demonstrated that an increased catch-discard sampling from more vessels or trips (primary sampling units) is needed to achieve improvements in estimates of proportion of discard in total catches, while the number of catches sampled per trip could be reduced.

Analysis of self-reported data from the Norwegian reference included the estimation of catch and discard, using ratio-estimators for multi-stage sampling. The samples were weighted to account for the varying catches across trips within vessels, and for varying catches across vessels. Estimates of the expected relative standard error (RSE) in discard estimates as a function of sample size (number of vessels, versus number of trips or hauls within vessels) were presented. Results show that the number of vessels in the sample is more important than the number of trips sampled within vessels for improving the precision in discard estimates, thus agreeing with the results from the Dutch programme. Analysis of biological characteristics such as mean length of fish in the total catch also demonstrated that fish caught in local areas tend to be more similar than fish in the catches at large. Thus, samples of fish for length measurements should be collected from as many vessels as possible (better area

coverage), while the number of fish measured per vessel, trip, or haul could be adjusted downwards. With such sampling strategies, improved precision in key estimates may be achieved even though the total number of fish measured is reduced. Sources of bias in data from the Norwegian reference fleet were evaluated by comparing overlap in the areas covered by the reference fleet as compared to the general fleet by sector (bottom trawl, gillnets, long-line) using VMS data. It was demonstrated that the long-line fishery in Norway covers much larger areas than the fisheries-independent surveys.

Self-sampling programmes in the North Sea conducted by Danish fishermen demonstrated its utility to estimate the length and species composition of sand-eel, with good agreement with data from the entire fishing fleet collected by inspectors at port. Self-sampling by the fishermen was also used to test two methods for predicting 0-group strength of sand eel experimentally. This project tested claims by fishermen that 0-group strength of sand eel could be predicted from larvae observations at the end of the previous fishing season. Results suggested strong correlation between larval catch and age 0. Fisheries-independent surveys of juveniles using dredges (in December) did not predict age 0 strength, thus confirming the utility of self-sampling programmes for scientific studies.

Appropriate estimators and source of variability have recently been addressed (in relation to discards and landings) in Section 4 and 5 of the report of Workshop on Discard Raising Procedures (WKDRP), 2007 (ICES CM 2007/ACFM:06).

These issues are also well documented in Section 6.4 of the report of an ICES Study Group on Discards and Bycatch Information. (2002: SGDBI, report ICES CM 2002/ACFM:09).

For all self-sampling, sources of variability should be detected and the sampling scheme adjusted according (see, e.g., Helle and Pennington, 2004). One rule for sampling in the marine environment appears to be generally true: It is better to sample a few fish from many locations than to sample many fish at each of a few locations. The former sampling scheme will generally have a much larger effective sample size (i.e. much more information) than the latter scheme (Pennington, referred to in Annex 3; Pennington *et al.*, 2002).

3 Brief conclusions and future work

During the Workshop, criteria for cooperative research with fishers were presented, and five themes for designing and implementing a self-sampling programme were identified and discussed. Based on these themes, it was concluded that self-sampling schemes could be classified by the following criteria:

- The level of sampling detail: from simple length compositions to complex biological sampling.
- The degree of commitment by the fishers: from voluntary to near professional.
- Statistical approach and quantity of information collected: large number of samples from a few boats versus, to a small number of samples from many boats.

It is recommended that a further compilation and review of existing self-sampling systems should be conducted as intercessional work. A request should be sent out for descriptions of self-sampling schemes, which discuss among other factors, the three criteria listed above, and advice on what has and has not been successful. In addition to the technical aspects of self-sampling programmes, it is important to document the benefits of cooperation between fishers and scientists.

It is recommended that the WKUFS should hold a second meeting in 2008 to develop further the issues presented and recommended during the workshop, and to recommend and plan future work based on intercessional reviews and case studies. Suggested Terms of reference for the next workshop are given in Annex 4. Before the next workshop, requests will be sent out for descriptions of self-sampling schemes (contact persons: Daniel Stepputtis and Rick Stead, see list of participants). Until the next workshop these points should be further elaborated and developed in Share Point.

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Annex 2: Agenda

Tuesday 5 June

- 09.00 Opening of the Workshop. Presentations of contributions to the workshop (open for revision dependent on other/more presentations). Appointment of rapporteurs.
- 09.40 I. Artetxe: Fishermen sampling fisheries: Two examples.
- 10.00 F.J. Quirijns: Criteria for cooperative research between scientists and fishermen.
- 10.20 F. J. Quirijns: Establishing the Dutch sampling programme.
- 10.40 Coffee break.
- 11.00 E. van Helmond: Analyses and quality control of the Dutch programme.
- 11.20 R. Stead: Some information on a 10 years Canadian programme using fishers.
- 11.40 S. Reeves: Self-sampling of discards in the Irish Sea. The story so far...
- 12.00 Free lunch in the IMR cantina (other building).
- 13.00 Presentations, continue.
- 13.10 P-J. Schon: Northern Ireland self sampling scheme in the Irish Sea.
- 13.30 K. Nedreaas: The Norwegian Reference fleet.
- 13.50 K. Helle: Does the Norwegian reference fleet represent the entire fleet?
- 14.10 M. Pennington: Some sampling considerations for estimating population characterist.
- 14.30 Coffee break.
- 14.50 Discussion related to the Terms of Reference.
- 18.00 End of 1st day meeting.
- 18.15–20.30 Reception in the IMR cantina (other building)

Wednesday 6 June

- 09.00 H. Degel: Self-sampling from Danish sandeel fishery.
- 09.30 Discussion related to the Terms of Reference to be continued.
- 10.30 Coffee break.
- 12.00–13.00 Free lunch in the cantina of the Directorate of Fisheries (neighbour building).
- 13.00 Conclusions and recommendations related to the ToRs.
- 14.30 Coffee break.
- 14.50 Conclusions and recommendations related to the ToRs, continued.
- 16.00 The way further.
- 17.00 Closing of the workshop.

The meeting room supports wireless LAN and Internet connection.

Coffee and tea will be available all the time.

Annex 3: Oral presentations at the workshop

- I. Artetxe: Fishermen sampling fisheries: Two examples.
- F. J. Quirijns: Criteria for cooperative research between scientists and fishermen.
- F. J. Quirijns: Establishing the Dutch sampling programme.
- E. van Helmond: Analyses and quality control of the Dutch programme.
- R. Stead: Some information on a 10 years Canadian programme using fishers.
- S. Reeves: Self-sampling of discards in the Irish Sea. The story so far...
- P-J. Schon: Northern Ireland self sampling scheme in the Irish Sea.
- K. Nedreaas: The Norwegian Reference fleet.
- K. Helle: Does the Norwegian reference fleet represent the entire fleet?
- M. Pennington: Some sampling considerations for estimating population characteristics.
- H. Degel: Self-sampling from Danish sandeel fishery.

I. Artetxe: Fishermen sampling fisheries: Two examples

**Fishermen Sampling Fisheries:
Spanish activities**

Workshop on Using Fishers to Sample Catcher
4-6 June, Bergen Norway

On board: Blue shark fishery

- Small fishery
 - 3-7 boats by year (4-5 since 2002)
 - 5 months of activity
 - 250-350 metric tons of annual landings (live weight)
- Skippers **obliged** to
 - Fill in a specific logbook
 - By haul: date, position, soak time and notes
 - Catches: by specie, fork length and sex of all the individuals
 - Lenght work done by crew, not skipper

On board: Blue shark fishery

- Training for crew (logbooks and size length)
- No rutinary checking for sizing methodology.
 - Just during first year (1999)
- Same process that rutinary data

In land: Tuna fishery

- Important fishery
 - > 150 boats
 - > 10.000 tm
 - 6 month
- Not real fishermen but people working for them (auction salesmen)
- Paid work
- Not all the lenght sampling scheme is cover by these people, they acts as a complement for professional samplers

Recreational Tuna fisheries

- Program started in 2001 focused on tagging tunas (tag & release)
- Increasing in numbers:
 - 2001: 3 boats and 10 tags
 - 2006: 24 boats and more than 3000 tags
- Increasing occasions:
 - 2001: Only during specific championships
 - 2006: most of skippers tag during the whole fishing season
- Increasing tasks:
 - Fill in specific logbooks (effort, species composition, ...)
 - Collecting tuna stomachs
- Yearly training for new participants
 - Combined with other interesting subjects for skippers (biology, migrations, ...)
- Data sent to ICCAT

F. J. Quirijns: Criteria for cooperative research between scientists and fishermen



Why do we need criteria?

- In cooperative research we mainly focus on technical issues and results
- Often lack of good communication between all parties involved (mailbox effect)
- Widespread support of final conclusions requires better communication

Steps in cooperative research

1. Identification of problem
 - Involve fishermen
 - Shared & sharp description
2. Definition of objectives
 - Derive from identified problem
 - Name presumptions
 - Clarify how results will be used/what meaning or implications they will have

Steps in cooperative research

3. Methods
 - Technical feasibility
 - Analysis of statistical power
 - Budget (time / money)
 - Show format of results to fishermen
 - Joint communication of problem, objectives, method and format & meaning of results
4. Carrying out the work
 - Instruction of vessel crew and researchers
 - Cooperation of vessel crew and researchers onboard
 - Give feedback on how data are collected (reliability of data)
 - Direct communication of results by trip

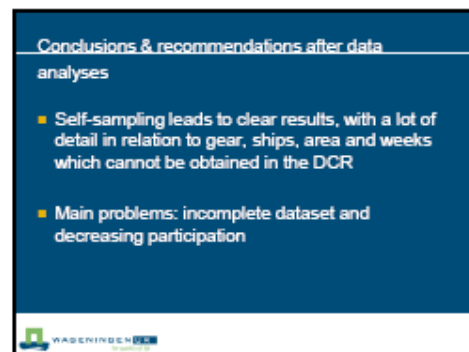
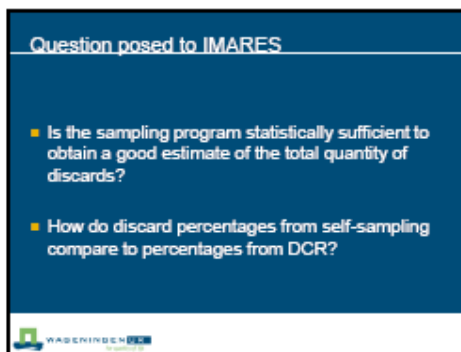
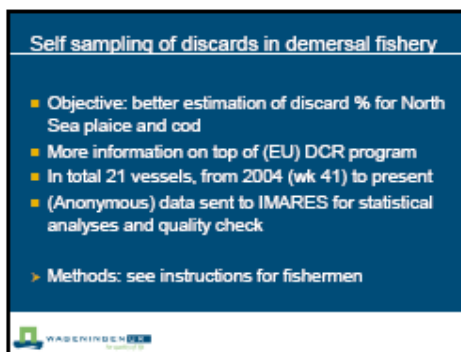
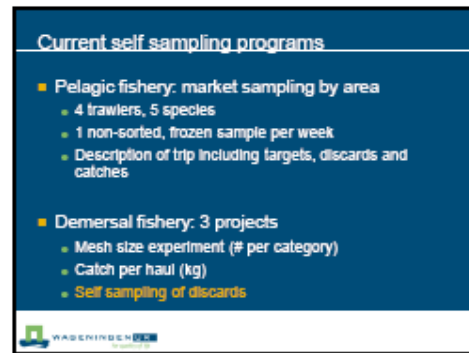
Steps in cooperative research

5. Processing of data
 - Communicate significant results with fishermen involved
 - Discuss meaning of results with fishermen and how to communicate results to the industry
6. Communication of results
 - Joint publication of results
 - Clear distinction between results of research (neutral) and implications for management

Summary

- Involve fishermen in all phases of a project
- Clarify – in advance – what kind of results can be expected and what their implications might be
- Joint explanation and communication of results

F. J. Quirijns and E. van Helmond: Establishing the Dutch sampling programme. Analyses and quality control of the Dutch programme



Conclusions & recommendations - continued

- Current 2 samples per trip is minimum number of samples required to give reliable results
- Low variation between samples within a trip → more samples would not lead to significant increase of reliability



Conclusions & recommendations - continued

- Current program leads to an estimation of the overall average discards percentage with a range of 2%
- Current program leads to an estimation of the average discards percentage by area, gear, ship or week with a range of 11%
- Accuracy can be increased by increasing number of participating ships



Conclusions & recommendations - continued

- Did the self-sampling program come up with better discard estimations?
 - More information available
 - Differences between self sampling and DCR
 - To clarify differences between sampling programs, direct comparison of vessels is required (expose vessel identity).



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www.wageningen-ur.nl/en/Research/ResearchCentres/IMARES/

W. de Boer (presented by F.J. Quirijns): Instructions for fishermen in the Dutch discards self sampling scheme

Productschap  Vis

Instructions Discards Self Sampling Project plaice and cod

May 2006



Plaice



Take a sample = fill the basket

Every week, sample two regular hauls







- Tuesday: 1st haul after 4.00 pm starboard (SB)
- Thursday: 1st haul after 4.00 pm portside (PS)




Is there a lot of benthos ("rubbish") in the catch?


Take two baskets of the catch.
Together they form one sample

Process the rest of the catch (separate the sample)



- Register the number of boxes of plaice above min. size from the complete haul (SB + PS excluding the sample)
- Store the cod (see further instructions)



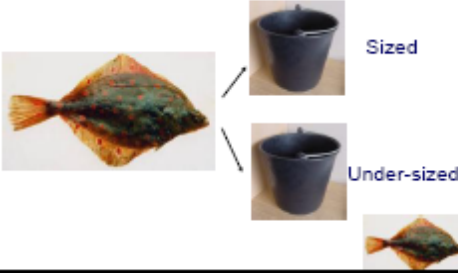
Sort the sample



- separate plaice
- store the cod (see further instructions)
- process other fish species as wanted



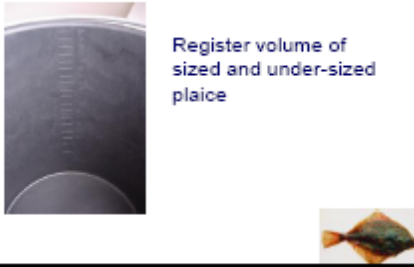
Split plaice in the sample in sized & under-sized



Sized


Under-sized

Read the volume



Register volume of sized and under-sized plaice


Measure length of the plaice



- Measure 50 sized*
- Measure 50 under-sized*
- Register the numbers
- Discard undersized fish
- Process sized fish

* Take plaice individuals randomly from the sample. Length is measured in whole centimeters.

Record the track data




Complete the registration form

After the fishing trip data from the fish market are included



Register quantities (kg) of landed plaice per category

Cod



Take a sample = Collect all the cod




Collect all cod from the entire week (sized and under-sized) in boxes. Separate sized and undersized individuals.

Leave the undersized cod ungutted!




Measure length of the cod after the last haul



- Measure 50 sized*
- Measure 50 under-sized*
- Register the numbers
- Store under-sized fish without gutting them
- Process sized fish

* Take cod individuals randomly from the sample. Length is measured in whole centimeters.




Land sized and under-sized cod to the fish auction



- Report the number of boxes of sized and under-sized cod to the AID and fish auction before landing
- Land the under-sized cod in plastic bags in boxes including ship-ID
- The plastic bags must be tied up

Weigh the cod in the fish auction



- The undersized cod are kept separate in the auction and are weighed: supervised by an employee of the Dutch Product Board
- This employee will inform you of the quantity of under-sized cod
- The sized cod will be weighed and sold through the regular project

After the fishing trip data from the fishmarket are included



Register quantities (kg) of landed cod per category: both sized and under-sized



Send data to the Product Board



- Check if the registration form is complete
- Send data and registration form (elektronic) to the Dutch Product Board

• discards@pvb.nl
 • Productchap Vis, discardsonderzoek
 Anboordnummer 10307
 2250 WB Rijkwijk



R. Stead: Some information on a 10 years Canadian programme using fishers



Newfoundland Region Sentinel Survey Background

- In 1990 the Northern Cod Science Program hired inshore cod biologist to study inshore cod distribution
- Start an index program to develop an inshore CPUE time series
- Bring inshore fish harvesters and their traditional ecological knowledge (TEK) into assessment process

Newfoundland Region Sentinel Survey Background (cont'd)

- A moratorium on the northern cod stock in 1992 and the 3PS stock in 1993 ended this initiative
- This created a need for alternate source of data normally collected from commercial inshore fishery
- A "sentinel" fishery would have limited number of fishers using small amounts of gear fishing in traditional manner
- Cover areas inaccessible to RV survey

Newfoundland Region Sentinel Survey Training

- Course curriculum developed by Marine Institute of MUN and DFO Science
- 6 week classroom and vessel training program covered
 - Ocean environment
 - Survey and sampling
 - Computer training
 - Fisheries Resource Management
 - Presentation skills

Newfoundland Region Sentinel Survey 3PS Pilot Project

- 12 fishers started training January 1995
- Fishing started late February
- Extensive on-site support from DFO staff
- 20 week pilot proved successful in quantity and quality of data collected
- Plans made to extend to other areas

Newfoundland Region Sentinel Survey Projects

- 3PS pilot program extended, sites added
- Northern cod (2J3KL) project with 51 sites started spring 1995 (FFAW)
- Community-based project initiated at Petty Harbour 1995, since discontinued
- Fogo Island Co-operative Society sponsored project with 4 sites on Fogo Island in 1995

Newfoundland Region Sentinel Survey Protocols

- Fixed gear, inshore only
- Control and experimental fishing sites
- Detailed fishing log
- Biological sampling
- Oceanographic data collection
- Tagging platform
- Other requested operations

Newfoundland Region Sentinel Survey Fisheries Sampling Section

- Responsible for Sentinel surveys and other industry-science partnering projects
- Extensive field support and training
- Data handling and quality control
- Contract management and administration

S. Reeves: Self-sampling of discards in the Irish Sea. The story so far...


Self-sampling of discards in the Irish Sea

The story so far...




Background

- Cod recovery plan
 - Data problems and uncertainty in stock assessments
- Discussion within Western Waters Regional Advisory Committee
 - Proposal for enhanced discard sampling
- UK and Irish administrations
 - Proposal to European Commission
 - Increase in days at sea allowance for participating vessels
- Ideas came from industry & administrations, not scientists!




The participants

- England & Wales
 - Cefas
 - NFFO
- Northern Ireland
 - AFBI
 - ANIFPO
- Ireland
 - Marine Institute
 - BIM
 - IFPO
- Also national administrations




Objectives

- Obtain estimates of total catches (removals) of key Irish Sea fish stocks which are sufficiently accurate that they can eventually be used in annual ICES stock assessments
- Engage the fishing industry in the collection of high resolution data collection
- Improve precision of current DCR discard programme in ICES area VIIIa through enhanced DCR coverage and provision of high resolution effort and total catch data for improved discard raising procedures.
- Provide higher resolution spatial and temporal discard data to assist in developing appropriate discard mitigation strategies for the Irish Sea.
- Link with and enhance existing national and EU programmes e.g. Discard Atlas; EU pilot project on discard Implementation Issues; English and Irish discard mapping programme.
- Provide a suitable case study to investigate the relationship between fishing effort, gear design and fishing mortality




Components of the pilot project

- Fisher self-sampling
- Enhanced observer coverage
- Survey design and analysis
- Alternative management measures to reduce discards




Why we're here...


- Cost-effective processing of samples ashore
 - Currently only a pilot project but hopefully wider implementation in the future
- How to use the self sampling data in practice
 - Combine large quantities of data from self-sampling data with limited observer data to improve overall precision of discard estimates?



P-J. Schon: Northern Ireland self sampling scheme in the Irish Sea




Northern Ireland self sampling scheme in the Irish Sea



Samples of commercial catches, by Nephrops trawlers landing into the three major ports

Provide information on the Nephrops fishery along with qualitative and quantitative data on discards

Data collected are used for Nephrops stock assessment and in quantifying the discard rates of commercial fish species; whiting (*Merlangius merlangus*) in particular



Data collection

- Sampling 4-6 voyages by selected vessels per month
- Sample consists of :
 - (a) 50kg box of mixed discarded material taken randomly from sorting table, containing discarded juvenile Nephrops, the head or cephalothorax of Nephrops whose tails have been removed for market and discarded fish
 - (b) similar box of total discarded catch is also taken
- Details of position, gear type and catch are recorded by the skipper
- Majority of sampling is performed by the vessel crew under the direction of their skipper
- Samples are collected at the ports and transported to the laboratory for analysis



Data collection


Two components:

(a) Total catch samples

- collect carapace length, sex and maturity information
- used for estimating the length-frequency of male and female Nephrops in the whole catch – i.e. prior to any discarding

(b) Discard samples

- collect length, weight and age information from fish proportion of sample, and length, sex information from Nephrops
- information on species and size composition of Nephrops and fish discarded from the haul




Data analysis

samples are lumped together into a single large sample by quarter, considered representative of the discards of the Nephrops fleet during the quarter

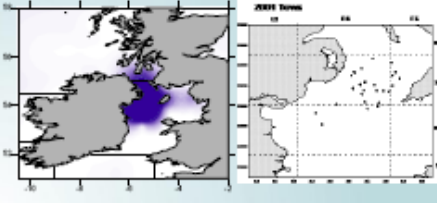

$$\frac{\text{No. fish in discard sample of Quarter}}{\text{Live wt of Nephrops heads in samples (NWP}_{\text{heads}})} = \frac{\text{No. fish discarded to fleet (Q)}}{\text{Live wt of Nephrops tails in fleet (NWP}_{\text{tails}})}$$

$$\text{Fleet} = \frac{\text{NWP}_{\text{heads}} \times \text{Q}}{\text{NWP}_{\text{tails}}}$$

Information on all species, but in earlier years only the Nephrops and commercial fish components were recorded



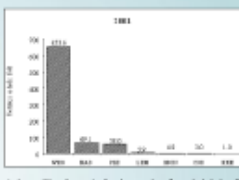
Sampling coverage


Sampling levels

Data collected 1998-2003

Approximately 40 trips sampled per year



Estimated quantities (tonnes) of major species discarded during 2001



Data problems

- Provided reasonable information on whiting discards, but less so for haddock, plaice and cod
- Random selection of vessels has become less random with time...
- Representation of sampled vessels to total fleet (both in terms of gear fished and area)
- Limited observer sampling schemes for comparison
- Before DCR – skewed representation of discard data by fleet by management area

K. Nedreaas: The Norwegian Reference fleet



**The Norwegian Reference fleet :
co-operation between fishermen and
scientists for multiple objectives.**

Nedreaas KH, Borge A, Godøy, H, Huse, I




**Trust based co-operation between
fishermen and scientists**

- In order to obtain better and continuous samples from the fishing fleet, knowledge about fleet behaviour and technical developments influencing efficiency and effort, 16 open sea- and 16 coastal fishing vessels (the Reference fleet) are contracted
- Crew members are trained to conduct self-sampling. Biological samples (length, otoliths, genetic samples, stomachs, contaminants, tagging etc) and logbook data are delivered according to contract, which secure a proper statistical coverage for a lot of species in time and area.
- A very useful data collection platform for many purposes


**Provides better insight and builds a
common understanding**

- updates the scientists on technological developments in the fisheries
- platform for testing official catch statistics and data collecting systems and procedures (e.g., electronic logbooks, reporting- and grading systems)
- provides continuous information about species that are hardly accessible by research vessels (e.g., deep water species, near coast fish populations)
- provides observations of sea mammals, sea birds, crabs etc.
- reduces controversies and rather improves stock assessments and fisheries management
- the program is self-financed by a limited extra catch quota.

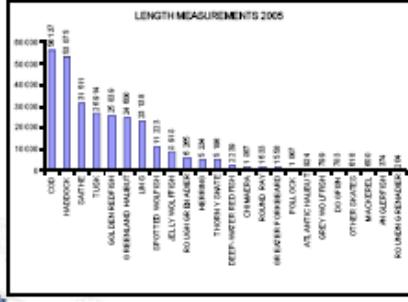
**The High Seas
Reference Fleet
2007**



**Satellite-tracking (VMS) of the Reference-fleet in 2005
showing the area of operation**



LENGTH MEASUREMENTS 2005



Species	Number of Measurements (approx.)
COU	100
HAERDOK	100
SAFTIK	100
TUNG	100
GOLLENREIFIS	100
ØYRELAND MAURET	100
ØYSTER	100
JELLY	100
ROUEN	100
HERMAN	100
DEEP	100
CHIMACK	100
ROUEN	100
FOLLOK	100
ATLANTIC	100
GREY	100
OTTER	100
MACQUEL	100
ANGLER	100
ROUEN	100

Data from the Reference fleet – coast
14 boats recorded log books in 2005

Gear	Number of gear	Catch of fish (kg round weight)	Catch of shellfish (number)	Catch of sea mammals (number)
Gillnet	43 830	271 072	7 937	37
Longline	23 000	3 361	207	0
Fish trap	1 028	758		3
Pot	1 738	3	890	0

18 boats recorded log books in 2006

Gear	Number of gear	Catch of fish (kg round weight)	Catch of shellfish (number)	Catch of sea mammals (number)
Gillnet	101 479	1 099 305	34 315	165
Rigging	2 160	111 733		
Longline	90 800	33 338	82	
Demersals	14	40 500		

Bycatch of sea mammals distributed by gear, area and species 2008

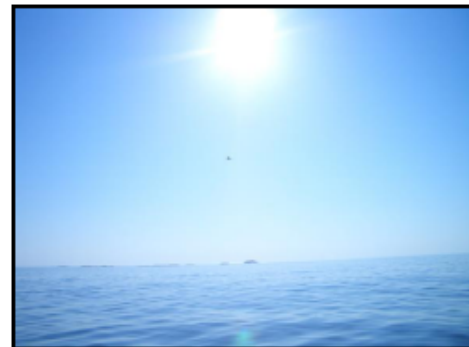
Species of catch (number)	Gears										Total	
	OTC	OTC	OTC	OTC	OTC	OTC	OTC	OTC	OTC	OTC		
Unspecified											1	1
Gillnet 70mm											25	25
Gillnet 90mm											5	5
Gillnet 90mm											4	4
Gillnet 100mm											2	2
Gillnet 130mm											3	3
Gillnet 150mm											4	4
Drift net											4	4
Rig											1	1
Longline											1	1
Demersal											1	1
Gillnet total											111	111

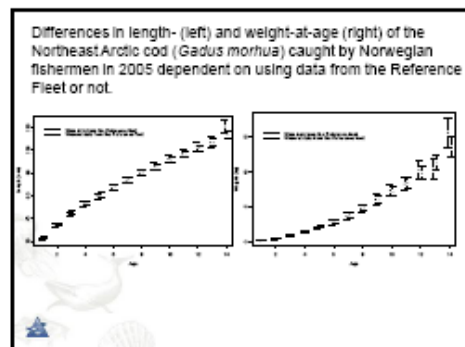
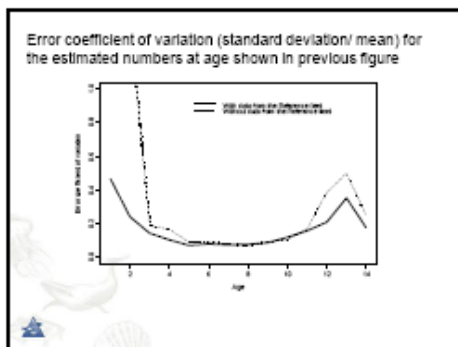
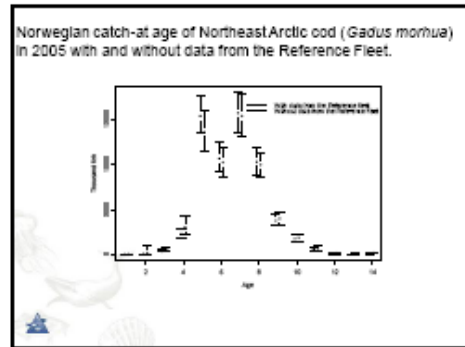
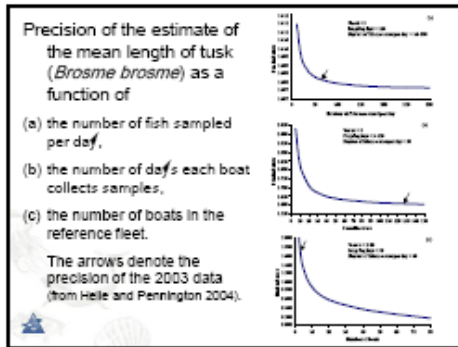
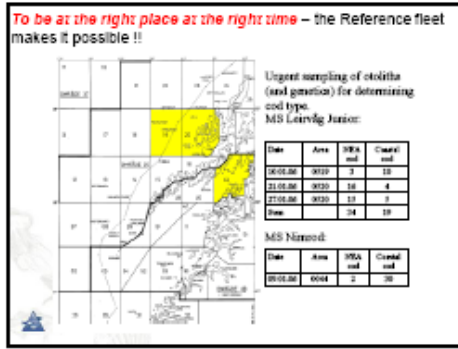
Number of gears and kg fish per bycatch of sea mammals 2005

GEAR	Gears per sea mammal	Kg fish per sea mammal
Gillnet unspecified	808	1 888
Gillnet 70mm	9 983	170 084
Gillnet 90mm	3 305	22 988
Gillnet 90mm		
Gillnet 100mm	854	1 461
Fish Trap		
Longline		
Pot (Lobster)	503	
Pot (Newsway lobster)		
Gillnet Total	1 890	6 950
Gillnet total	1 185	7 348

Number of gears and kg fish per bycatch of sea mammals 2008

	Gears per sea mammal	Kg fish per sea mammal
Gillnet unspecified	3 315	22 915
Gillnet 70mm	1 287	13 852
Gillnet 90mm	685	3 172
Gillnet 90mm	1 252	25 019
Gillnet 100mm	1 774	57 126
Gillnet 130mm		
Gillnet 150mm	745	1 360
Drift net	1 017	4 536
Rig		
Longline		
Demersal		
Dutch seine		
Gillnet total	1 099	10 287





INCLUDING DATA COLLECTED BY THE REFERENCE FLEET

Substrate	2006	2006	TAC	Stock	F	2006	2007	2008
Discards	765	1723	0	0	0	1520	2124	
Discards	765	1723	0	0	0	0.0500	751	1728
High long term yield	765	1723	238	0	0.23	967	2058	
Agreed management plan	765	1723	238	TACmax (p%)	0.6234	908	2003	
Precautionary limits	765	1723	302	F _{pa}	0.4	923	2029	

WITHOUT DATA FROM THE REFERENCE FLEET

Substrate	2006	2006	TAC	Stock	F	2006	2007	2008
Discards	711	1641	0	0	0	1319	1868	
Discards	711	1641	297	0	0.2327	892	2028	
High long term yield	711	1641	239	0	0.23	907	2024	
Agreed management plan	711	1641	238	TACmax (p%)	0.6403	752	1762	
Precautionary limits	711	1641	404	F _{pa}	0.4	763	1769	




- Some future plans:**
- document how representative the Reference fleet is for the whole Norwegian fleet regarding different matters
 - improve the design and optimize the catch sampling by doing more precision analyses, incl. more species and more parameters
 - investigate and analyse the possibility of using the Reference fleet in the estimation of discards in the Norwegian fisheries (in combination with Coast Guard inspection, inspectors from the Directorate and port sampling). If necessary more observers.
 - description, quantification and consequences of changes in fishing efficiency
 - use the network of Reference fishers to discuss better operationalization of biological advices into practical regulations
 - stimulate the fishers to provide ideas for new projects to improve our knowledge about the fish resources, not at least local and regional coastal resources

Thank you for your attention!

K. Helle: Does the Norwegian reference fleet represent the entire fleet?

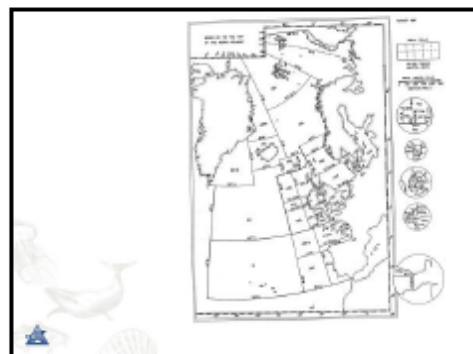
Does the reference fleet represent the entire fleet?

Kristin Helle



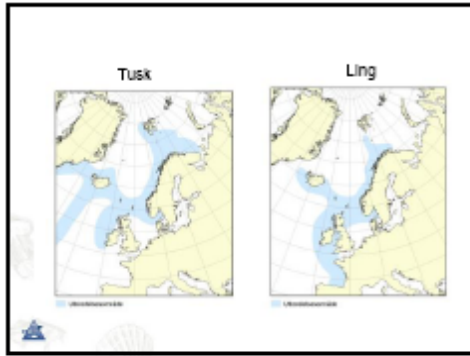
- Area coverage
 - Bottom trawl (3), gillnets (4), longline (4)
- CPUE
 - Ling and tusk
- Other reference fleet applications

- Area coverage**
- Data from bottom trawl catches of cod, haddock and saithe in ICES areas I, IIa, IIb, IVa and IVb
 - Reference fleet data from all areas
 - Cod and saithe caught in gillnets
 - Reference fleet covers the main areas



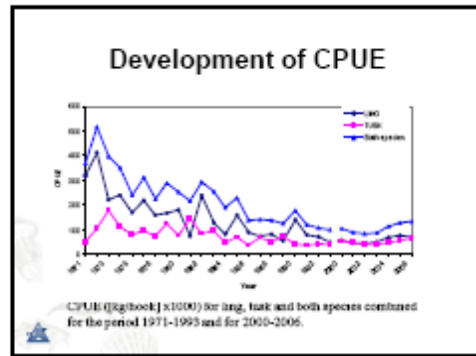
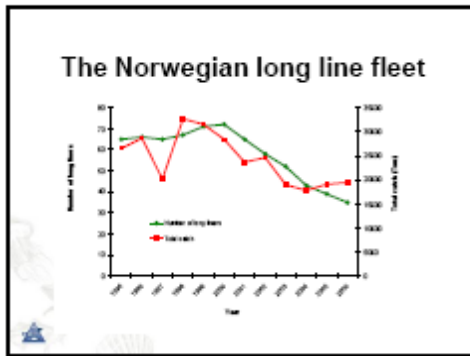
What about fisheries that take place over a much larger area?

- Data for ling and tusk (cusk)**
- The scientific surveys only cover a small part of the distribution area
 - Commercial data
 - Official catch statistics
 - Log books*
 - Reference fleet



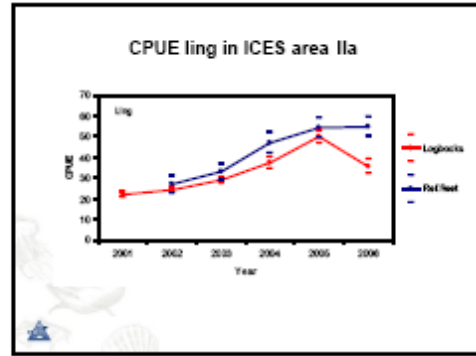
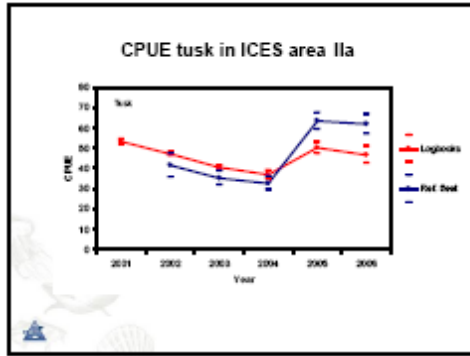
Norwegian fishery for ling and tusk

- Norway takes about 70% of the total catches of tusk (in 2006 14 400 tons) and about 40-50% of the ling catches (in 2005 16 800 tons)



Year	CPUE	Ling	Tusk	Both
1971	41	100	12	112
1972	41	100	12	112
1973	41	100	12	112
1974	41	100	12	112
1975	41	100	12	112
1976	41	100	12	112
1977	41	100	12	112
1978	41	100	12	112
1979	41	100	12	112
1980	41	100	12	112
1981	41	100	12	112
1982	41	100	12	112
1983	41	100	12	112
1984	41	100	12	112
1985	41	100	12	112
1986	41	100	12	112
1987	41	100	12	112
1988	41	100	12	112
1989	41	100	12	112
1990	41	100	12	112
1991	41	100	12	112
1992	41	100	12	112
1993	41	100	12	112
1994	41	100	12	112
1995	41	100	12	112
1996	41	100	12	112
1997	41	100	12	112
1998	41	100	12	112
1999	41	100	12	112
2000	41	100	12	112
2001	41	100	12	112
2002	41	100	12	112
2003	41	100	12	112
2004	41	100	12	112
2005	41	100	12	112
2006	41	100	12	112

Year	CPUE	Ling	Tusk	Both
1971	41	100	12	112
1972	41	100	12	112
1973	41	100	12	112
1974	41	100	12	112
1975	41	100	12	112
1976	41	100	12	112
1977	41	100	12	112
1978	41	100	12	112
1979	41	100	12	112
1980	41	100	12	112
1981	41	100	12	112
1982	41	100	12	112
1983	41	100	12	112
1984	41	100	12	112
1985	41	100	12	112
1986	41	100	12	112
1987	41	100	12	112
1988	41	100	12	112
1989	41	100	12	112
1990	41	100	12	112
1991	41	100	12	112
1992	41	100	12	112
1993	41	100	12	112
1994	41	100	12	112
1995	41	100	12	112
1996	41	100	12	112
1997	41	100	12	112
1998	41	100	12	112
1999	41	100	12	112
2000	41	100	12	112
2001	41	100	12	112
2002	41	100	12	112
2003	41	100	12	112
2004	41	100	12	112
2005	41	100	12	112
2006	41	100	12	112



Reference fleet vs. the rest

- Cover only parts of the fishing areas
- Only area IIa has sufficient data to compare the reference fleet with the logbooks
- Must have logbooks from more vessels than only the reference fleet

The reference fleet- what is it good for?

- Length measurements
- Genetic samples
- Otoliths
- Pollution samples
- Direct information from the fishers

M. Pennington: Some sampling considerations for estimating population characteristics

Some sampling considerations for estimating population characteristics

Fish that are caught together at a station form a cluster. From each cluster, fish for aging, measuring, etc. are selected, that is data on population characteristics are often generated by two-stage cluster sampling. When the sample consists of a total of m fish from n clusters, the individual animals are not a random sample from the entire population. This is because animals caught together tend to be more similar than animals in the entire population (i.e. there is positive intra-cluster correlation).

Given a random sample of n clusters and a random subsample of m fish from a total of M_i individuals in cluster i , then the design-based estimator

$$\hat{\mu}_1 = \frac{\sum_{i=1}^n M_i \bar{y}_i}{\sum_{i=1}^n M_i}$$

is a consistent estimator of the mean.

An alternative to the design-based estimator, which in some situations may have a smaller variance than the design based estimator, is the unweighted average

$$\hat{\mu}_2 = \frac{\sum_{i=1}^n \bar{y}_i}{n}$$

This estimator may be biased, and the bias may not decrease with increasing sample size.

The expected bias is given by

$$Bias(\hat{\mu}_2) = -\frac{Cov(M_i, \bar{y}_i)}{M}$$

Therefore if cluster size and the estimate for the cluster are uncorrelated, then the unweighted estimator will be unbiased.

"If the (unweighted estimator) is intended to be used, the relation of cluster sizes and cluster means should be examined carefully."

From: Practical Methods for Design and Analysis of Complex Surveys
By
Lehtonen and Pahkinen (2004)

To evaluate the relative precision of the two estimators, consider the standard random effects model

$$y_{ij} = \mu + A_i + e_{ij}$$

Then

$$Var(\hat{\mu}_1 | \mathbf{m}) = \frac{\sigma^2}{nM} + \frac{\sigma_A^2}{n} \left(1 + \frac{s^2}{M} \right)$$

and

$$Var(\hat{\mu}_2 | \mathbf{m}) = \frac{\sigma^2}{n^2} \sum_{i=1}^n \frac{1}{m_i} + \frac{\sigma_A^2}{n}$$

Table 1. Summary statistics for sampling percentages of the total of 1000 fish from six 300 sampling stations, and ρ_{ij} when a distribution of fishing effort samples in the total number of fish caught (the n_{ij} values) are the relevant ones. The relevant effective sample size is n_{eff} (see text for definition). The approximate 95% confidence intervals are in parentheses. The relevant sample sizes and confidence intervals based on 100 bootstrap iterations (the values in parentheses, 2002).

Station	n_{ij}	n_{ij}^2	$\sum n_{ij}^2$	n_{eff}	n_{eff}^2	$\sum n_{eff}^2$
1	100	10000	10000	100	10000	10000
2	100	10000	10000	100	10000	10000
3	100	10000	10000	100	10000	10000
4	100	10000	10000	100	10000	10000
5	100	10000	10000	100	10000	10000
6	100	10000	10000	100	10000	10000

If there is positive intraclass correlation, then a sample consisting of a total of n fish from a cluster will generally contain much less information on the population structure than an equal number of fish sampled at random; that is the effective sample size is much smaller than the number of animals sampled.

The effective sample size is defined by

$$\frac{\sigma^2}{n_{eff}} = \text{var}(\hat{\rho}_{ij})$$

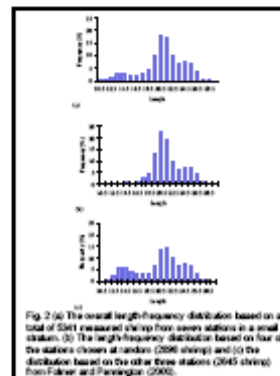
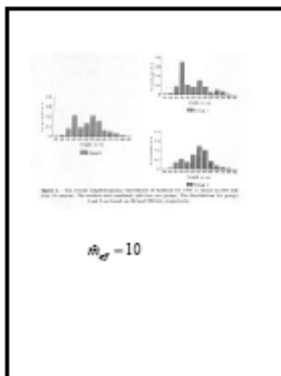
Table 1. Summary statistics for sampling percentages of the total of 1000 fish from six 300 sampling stations, and ρ_{ij} when a distribution of fishing effort samples in the total number of fish caught (the n_{ij} values) are the relevant ones. The relevant effective sample size is n_{eff} (see text for definition). The approximate 95% confidence intervals are in parentheses. The relevant sample sizes and confidence intervals based on 100 bootstrap iterations (the values in parentheses, 2002).

Station	n_{ij}	n_{ij}^2	$\sum n_{ij}^2$	n_{eff}	n_{eff}^2	$\sum n_{eff}^2$
1	100	10000	10000	100	10000	10000
2	100	10000	10000	100	10000	10000
3	100	10000	10000	100	10000	10000
4	100	10000	10000	100	10000	10000
5	100	10000	10000	100	10000	10000
6	100	10000	10000	100	10000	10000

Table 2. Summary statistics for sampling percentages of the total length distribution of 1000 fish in the total number of fish caught in the total number of fish caught (the n_{ij} values) are the relevant ones. The relevant effective sample size is n_{eff} (see text for definition). The approximate 95% confidence intervals are in parentheses. The relevant sample sizes and confidence intervals based on 100 bootstrap iterations (the values in parentheses, 2002).

Station	n_{ij}	n_{ij}^2	$\sum n_{ij}^2$	n_{eff}	n_{eff}^2	$\sum n_{eff}^2$
1	100	10000	10000	100	10000	10000
2	100	10000	10000	100	10000	10000
3	100	10000	10000	100	10000	10000
4	100	10000	10000	100	10000	10000
5	100	10000	10000	100	10000	10000
6	100	10000	10000	100	10000	10000

A small effective sample size implies that the estimate of the entire distribution is rather imprecise.



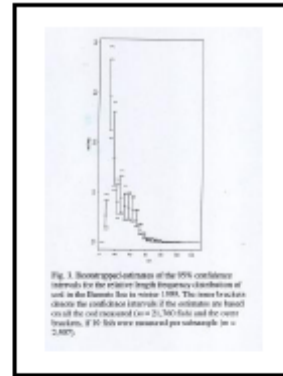
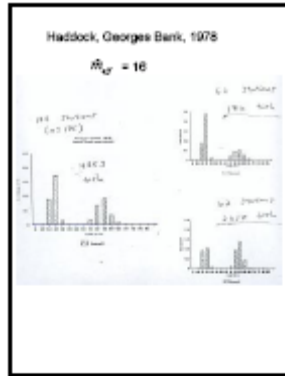


Fig. 3. Coverage of the 95% confidence intervals for the relative length frequency distribution of fish in the Haddock fishery in 1978. The inner brackets denote the confidence intervals if the estimates are based on all the cod measured ($n = 2,340$ fish) and the outer brackets, if 50 fish were measured per sub-sample ($n = 2,000$).

Statistical Issues for Technical Committee

The committee is asked to review the following issues and provide recommendations to the ICES Working Group on Haddock. The issues are: 1) The use of the term 'relative length frequency distribution' in the Haddock fishery. 2) The use of the term 'relative length frequency distribution' in the Haddock fishery. 3) The use of the term 'relative length frequency distribution' in the Haddock fishery. 4) The use of the term 'relative length frequency distribution' in the Haddock fishery.

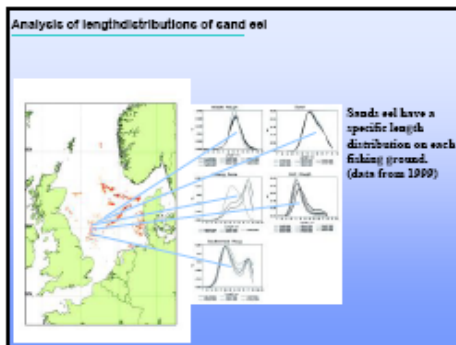
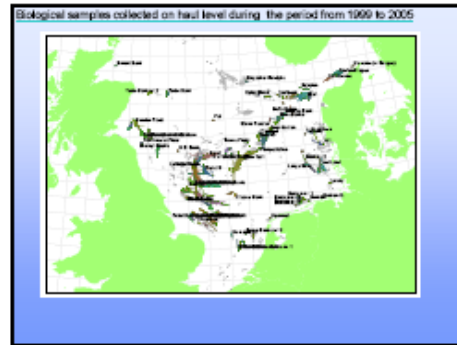
Table 3. Coverage of the 95% confidence intervals for the relative length frequency distribution of fish in the Haddock fishery in 1978.

Length (cm)	Coverage	
	All fish	50 fish
0-1	0.00	0.00
1-2	0.00	0.00
2-3	0.00	0.00
3-4	0.00	0.00
4-5	0.00	0.00
5-6	0.00	0.00
6-7	0.00	0.00
7-8	0.00	0.00
8-9	0.00	0.00
9-10	0.00	0.00
10-11	0.00	0.00
11-12	0.00	0.00
12-13	0.00	0.00
13-14	0.00	0.00
14-15	0.00	0.00
15-16	0.00	0.00
16-17	0.00	0.00
17-18	0.00	0.00
18-19	0.00	0.00
19-20	0.00	0.00
20-21	0.00	0.00
21-22	0.00	0.00
22-23	0.00	0.00
23-24	0.00	0.00
24-25	0.00	0.00
25-26	0.00	0.00
26-27	0.00	0.00
27-28	0.00	0.00
28-29	0.00	0.00
29-30	0.00	0.00
30-31	0.00	0.00
31-32	0.00	0.00
32-33	0.00	0.00
33-34	0.00	0.00
34-35	0.00	0.00
35-36	0.00	0.00
36-37	0.00	0.00
37-38	0.00	0.00
38-39	0.00	0.00
39-40	0.00	0.00
40-41	0.00	0.00
41-42	0.00	0.00
42-43	0.00	0.00
43-44	0.00	0.00
44-45	0.00	0.00
45-46	0.00	0.00
46-47	0.00	0.00
47-48	0.00	0.00
48-49	0.00	0.00
49-50	0.00	0.00
50-51	0.00	0.00
51-52	0.00	0.00
52-53	0.00	0.00
53-54	0.00	0.00
54-55	0.00	0.00
55-56	0.00	0.00
56-57	0.00	0.00
57-58	0.00	0.00
58-59	0.00	0.00
59-60	0.00	0.00
60-61	0.00	0.00
61-62	0.00	0.00
62-63	0.00	0.00
63-64	0.00	0.00
64-65	0.00	0.00
65-66	0.00	0.00
66-67	0.00	0.00
67-68	0.00	0.00
68-69	0.00	0.00
69-70	0.00	0.00
70-71	0.00	0.00
71-72	0.00	0.00
72-73	0.00	0.00
73-74	0.00	0.00
74-75	0.00	0.00
75-76	0.00	0.00
76-77	0.00	0.00
77-78	0.00	0.00
78-79	0.00	0.00
79-80	0.00	0.00
80-81	0.00	0.00
81-82	0.00	0.00
82-83	0.00	0.00
83-84	0.00	0.00
84-85	0.00	0.00
85-86	0.00	0.00
86-87	0.00	0.00
87-88	0.00	0.00
88-89	0.00	0.00
89-90	0.00	0.00
90-91	0.00	0.00
91-92	0.00	0.00
92-93	0.00	0.00
93-94	0.00	0.00
94-95	0.00	0.00
95-96	0.00	0.00
96-97	0.00	0.00
97-98	0.00	0.00
98-99	0.00	0.00
99-100	0.00	0.00

The effective sample size and its 'twin', the design effect, are used to adjust chi-square statistics for goodness-of-fit tests, for comparing frequency distributions, etc.

Number of biological samples on haul level during the period 1990 to 2005

Year	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
1990	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1991	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1992	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1993	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1994	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1995	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1996	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1997	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1998	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1999	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2000	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2001	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2002	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2003	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2004	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2005	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1



Summing up...

Because the stock has a very complex dynamic with little or no interchange between most fishing grounds each having different seasonality it must be a textbook example of 'cluster sampling'.

This means that the sampling level has to be very high in order to obtain precise estimates of each fishing ground and the whole population.

This again probably leaves self sampling as the single candidate for a cost efficient sampling scheme.

Sampling of sand eel larvae/juvenile by commercial fishing vessels

Cooperation between:

- Danmarks Fiskeforening
- Danmarks Fiskeindustriarbejdere
- Danske Industriarbejdere
- Fiskeriministeriet

Objective:

To investigate the ability for commercial fishing vessels to sample quantitative samples of 0-group sand eel, i.e. samples which can be used to indicate the year class strength of the 0-group.

Background:

The stock development and the fishing possibilities do very much depend on the recruitment. There is a need for fishery independent data as input for the assessment of sand eel.

The sand eel fishermen claim to be able to predict the size of the 0-group year class based on their observation of the concentration of larvae in the area of the fishing season. It has not been possible to utilize such information in the management of the stock.

Sampling of sand eel larvae by commercial fishing vessels

2 methods were tested

Method 1:

- Sampling of larvae using a plankton net (1m MK)

Method 2:

- Sampling of juvenile sand eel from the seabed using a modified mussel dredge

Catch: 2004
Aleksis: 2004

Preliminary conclusions

- The catch of larvae seems to be very nicely correlated with the year class strength.
- The catch of juvenile sand eel using the dredge does not always reflect the year class strength.

Annex 4: WKUFS terms of reference for the next meeting

2007/x/ACFMxx A **Workshop on Using Fishers to Sample Catches** [WKUFS] (Co-chairs: Kjell Nedreaas, Norway* and Michael Pennington, Norway* will be established and will take place at ICES HQ in Copenhagen, Denmark, during 4 days in 2008 to:

- a) Review existing systems for using fishers to sample catches (self-sampling systems) based on intercessional exchange of information.
- b) Develop standards for designing self-sampling programmes, e.g., present the effective sample size for a survey.
- c) Determine sampling schemes that are meant for estimating, among other quantities, discards and unreported landings.
- d) Examine general survey design issues such as the use of fixed stations, the use of fishing vessels or fishery independent surveys, etc.

WKUFS will report for the attention of ACFM, RMC and PGCCDBS by dd.mm 2008.

Supporting Information

PRIORITY:	To assess a fishery it is necessary to determine the biological characteristics, such as age and length distributions, of the commercial catch. In addition, estimates of the amount of discards will lead to more accurate assessments.
SCIENTIFIC JUSTIFICATION AND RELATION TO ACTION PLAN:	Action Plan No: ??
RESOURCE REQUIREMENTS:	DCR data collection system.
PARTICIPANTS:	In view of its relevance to the DCR, the Workshop is expected to attract wide interest from both ICES Member States and Mediterranean EU Member States.
SECRETARIAT FACILITIES:	None.
FINANCIAL:	To ensure wide attendance of relevant experts, additional funding will be required, preferably through the EU, e.g. by making attendance to the Workshop eligible under the DCR.
LINKAGES TO ADVISORY COMMITTEES:	ACFM and its assessment Working Groups.
LINKAGES TO OTHER COMMITTEES OR GROUPS:	This workshop was proposed by PGCCDBS. Outcomes from this Workshop will be of interest to the Living Resources Committee and the Resource Management Committee.
LINKAGES TO OTHER ORGANIZATIONS:	There is a direct link with the EU DCR and outcomes from this Workshop will be of interest to several RFOs, including GFCM and NAFO.

Annex 5: Recommendations

We suggest that each Expert Group collate and list their recommendations (if any) in a separate annex to the report. It has not always been clear to whom recommendations are addressed. Most often, we have seen that recommendations are addressed to:

- Another Expert Group under the Advisory or the Science Programme;
- The ICES Data Centre;
- Generally addressed to ICES;
- One or more members of the Expert Group itself.

RECOMMENDATION	ACTION
1) Next WKUFS in 2008.	ACFM, PGCCDBS
2) Collection and Interpretation of Fishery Dependent Data-ICES Symposium 2010	ICES ConC
3)	
4)	
5)	
6)	

After submission of the report, the ICES Secretariat will follow up on the recommendations, which will also include communication of proposed terms of reference to other ICES Expert Group Chairs. The "Action" column is optional, but in some cases, it would be helpful for ICES if you would specify to whom the recommendation is addressed.