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**NAFO/ICES *Pandalus* Assessment Group Meeting, 21–29 October 2009**

**NAFO Secretariat,**

**Dartmouth, NS**

**Canada**

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**NAFO/ICES *Pandalus* Assessment Group  
Meeting, 21–29 October 2009**

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## Report of NIPAG Meeting

**21–29 October 2009**

Co-Chairs: Joanne Morgan (NAFO Stocks) and Carsten Hvingel (ICES Stocks)

Rapporteurs: Various

### I. OPENING

The NAFO/ICES *Pandalus* Assessment Group (NIPAG) met at the NAFO Secretariat, Dartmouth, NS, Canada, from 21 to 29 October 2009 to review stock assessments referred to it by the Scientific Council of NAFO and by the ICES Advisory Committee on Management. Representatives attended from Canada, Denmark (in respect of Faroe Islands and Greenland), European Union (Denmark, Estonia, Portugal and Spain), Norway, the Russian Federation, and Sweden.

## II. GENERAL REVIEW

### 1. Review of Research Recommendations in 2007 and 2008

#### a) NIPAG Research Recommendations in 2007

##### *For shrimp on Flemish Cap (NAFO Div. 3M)*

NIPAG **recommended** that, for shrimp in Div. 3M:

- *biological and CPUE data from all fleets fishing for shrimp in the area, be submitted to Designated Experts by 1 September 2008.*

STATUS: No progress

- *the catch and effort data from other sources, for example VMS and/or Observer data, continue to be investigated to validate commercial data obtained from summarized logbooks or STATLANT data.*
- STATUS: No progress
- *the relationship between the recruitment indices and fishable biomass be investigated further.*

STATUS: No progress

- *age composition by sex in the fishery calculated from length distributions in the EU survey and from commercial samples should be compared for years when both were obtained.*

STATUS: A comparison was conducted (SCR Doc 09/57) which showed that the EU survey length distribution could be used, just as well as fishery samples, to estimate the age composition of fishery catches and to calculate the female CPUE.

##### *For shrimp on the Grand Bank (NAFO Div. 3LNO)*

In 2007 NIPAG recommended that:

- *there be exploration of methods to incorporate areal expansion/contraction, of the commercial fishery, into future CPUE models; this will require that positional data on catch and effort be available to the investigation.*

STATUS: Commercial catch data included geographic positional information making it possible to assign catch and effort data to the stratification scheme used in the Canadian multi-species research survey stratification maps. Individual tows were standardized as to wingspread, speed and effort; the mean catch per hour was determined for each stratum and then areal expansion methods were used to produce biomass estimates.

This work is ongoing.

In 2008 NIPAG recommended:

- *biological and CPUE data from all fleets fishing for shrimp in the area be submitted to the Designated Expert, in the standard format, by 1 September 2009.*

STATUS: NIPAG drew attention to the late and inadequate submission of this information by a number of Contracting Parties, and reiterated its recommendations for improvements.

- *collaborative efforts should be conducted to standardize a means of predicting recruitment to the fishable stock.*

STATUS: No progress. NIPAG still considers the standardization of methods of estimating recruitment to be an important area of research. NIPAG continues to recommend that *collaborative efforts should be made to standardize a means of predicting recruitment to the fishable stock.*

***For shrimp in NAFO Subareas 0 and 1***

NIPAG **recommended** that, for shrimp off West Greenland (NAFO Subareas 0 and 1):

- *onboard sampling of fishery catches—essential for assessing age, size, sex composition, fecundity and frequency of spawning of the stock—should be re-established in Subarea 1.*

STATUS: no progress.

- *methods of incorporating weighted CPUE indices into the assessment model, and of adjusting the weighting of the survey series, should be explored.*

STATUS: some methods of adjusting the weighting of the survey series relative to the CPUE series have been investigated (SCR Doc. 09/60). The methods appear usable, but may not be optimal, and the inputs need further discussion. However, it might be possible to use the same methods also to include CPUE series individually, and with individual weightings, into the assessment model. NIPAG **recommends** further investigation.

- *the impact of other predators on the stock should also be considered for inclusion in the assessment model.*

STATUS: no progress.

- *recruitment indices and their relationship to subsequent fishable biomass should be considered for inclusion in the shrimp assessment model.*

STATUS: the index of numbers at age 2 was incorporated into the assessment model, as a predictor of fishable biomass and as a predictor of recruitment to the fishable stock. Unexpected, and undesirable, results were obtained when predictions were made, and further investigation, and development of robust models, are **recommended**.

- *methods of analysing survey data should be explored that would allow expressing, in one or two indices, measures of how the stock biomass is distributed.*

STATUS: indices were developed in 2008 (SCR Doc. 08/78) and included in the survey analyses in 2009.

***For shrimp in Denmark Strait and off East Greenland***

NIPAG **recommended** that, for shrimp in Denmark Strait and off East Greenland:

- *a survey be conducted to provide fishery independent data of the stock*

STATUS: A survey has been conducted in August/September 2009 and is the onset of a survey series.

- *ways of getting samples from the fishery that could inform about stock structure and contribute to the assessment should be explored.*

STATUS: No progress

- *the availability and usefulness of size data from commercial landings should be investigated as a source of information on stock structure.*

STATUS: No progress

***For shrimp in Barents Sea and Svalbard area***

NIPAG **recommended** that, for the shrimp stock in ICES Div. I and II:

- *To explore the reference points in the light of the ICES approach to PA reference points*



STATUS: See ‘other studies’

- *Evaluate methods for constructing a recruitment index.*

STATUS: No progress

- *Work to include explicit information on recruitment in the assessment model should be continued.*

STATUS: Work ongoing

- *Bycatch information be provided well in advance of the NIPAG meeting*

STATUS: No progress

- *Investigate the means of constructing an informative prior to aid models ability to scale the old and the new surveys.*

STATUS: No progress

- *Identification of best recruitment index*

STATUS: No progress.

### **Management Recommendations from 2008**

NIPAG **recommended** that, for the shrimp stock in ICES Div. I and II:

- *nations active in the fishery must be required to provide information on the shrimp length and sex distributions in the catches in advance of the assessment (1 September).*

STATUS: No progress

### ***For shrimp in Skagerrak and Norwegian Deep***

#### **Management Recommendations**

NIPAG **recommends** that, for shrimp in Skagerrak and Norwegian Deep:

- *sorting grids or other means of facilitating the escape of fish should be implemented in this fishery.*
- *all Norwegian vessels should be required to fill in and deliver log books.*

#### **Research Recommendations from the 2008 meeting**

NIPAG **recommended** that, for shrimp in Skagerrak and Norwegian Deep:

- *investigate a standardized LPUE index utilising combined Danish, Norwegia, and Swedish data*

STATUS: Work in progress

- *the ongoing genetic investigations to explore the relation/connection/mixing between the shrimp (stock units) in Skagerrak and the Norwegian Deep on the one hand and the Fladen Ground shrimp on the other hand should be continued until these relationships have been clarified.*

STATUS: A 3-year Norwegian-Swedish-Greenlandic project on shrimp genetics is financed from 2010 onwards. The project's main goal is to explore shrimp stock structure in the whole North Atlantic with an emphasis on the North Sea.

- 1) a further development of the Bayesian stock production model presented in 2005 and 2) comparisons with and exploration of other assessment models, e.g. new cohort based models, available for this shrimp stock.

STATUS: Work in progress

- an index for female biomass (SSB) should be calculated from the Norwegian survey data to make  $B_{lim}$  estimates possible.

STATUS: A SSB index has been calculated.

## 2. Review of Catches

Catches and catch histories were reviewed on a stock-by-stock basis in connection with each stock.

### III. STOCK ASSESSMENTS

#### 1. Northern Shrimp on Flemish Cap (NAFO Div. 3M) – NAFO Stock

(SCR Doc. 04/64, 04/77, 09/56, 09/57, 09/54)

##### a) Introduction

The shrimp fishery in Div. 3M began in 1993. Initial catch rates were favorable and, shortly thereafter, vessels from several nations joined. The number of vessels participating in the fishery has decreased by more than 60% since 2004 to 13 vessels.

Catches peaked at 64 000 t in 2003 (Fig. 1.1). Since then catches have been lower, declining to 13 000 t in 2008. Provisional information to 10 October 2009 indicates removals of about 3 000 t, much lower than those recorded last year up to this date. Information from the fishing industry suggests that catch rates, fuel prices, and low market prices for shrimp may be affecting participation in this fishery.

NIPAG is concerned about suspected misreporting of catches since 2005, where catches from Div. 3L were reported as from Div. 3M.

Recent catches and TACs (metric tons) are as follows:

	2001	2002	2003	2004	2005	2006	2007	2008	2009
Recommended TAC	30 000	45 000	45 000	45 000	45 000	48 000	48 000	17 000-32 000 <sup>3</sup>	18 000-27 000 <sup>4</sup>
STATLANT 21A	54 830	48 836	62 761	45 842	27 651	15 191 <sup>1</sup>	17 642 <sup>1</sup>	11 671 <sup>1</sup>	2 958 <sup>1,2</sup>
NIPAG	53 389	50 214	63 970	45 757	27 479	18 162	20 741	12 889	2 958 <sup>2</sup>

<sup>1</sup> Provisional

<sup>2</sup> Preliminary to 10 October 2009.

<sup>3</sup> SC recommended in October meeting 2007 that exploitation level for 2008 and 2009 should not exceed the 2005 and 2006 levels (17 000 to 32 000 t).

<sup>4</sup> SC recommended in October meeting 2008 that exploitation level for 2009 and 2010 should not exceed the exploitation levels have occurred since 2005 (18 000 to 27 000 t).

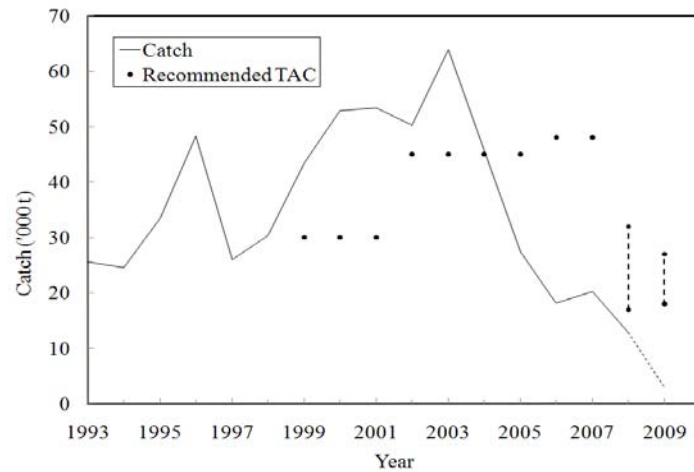


Fig. 1.1. Shrimp in Div. 3M: catches (2009 preliminary partial year's catch to 10 October). Preliminary information is shown by the dashed line.

## b) Input Data

### i) Commercial fishery data

**Effort and CPUE.** Logbook and/or observer data were available from Canadian, Greenlandic, Icelandic, Faroese, Norwegian, Russian, Estonian and Spanish vessels. From this information one international CPUE database for Div. 3M was constructed. There has been concerns that, since 2005, reporting of some Div. 3L catches as coming from Div. 3M was affecting the CPUE data for some fleets. In order to avoid the uncertainty around the catch rate standardization model used for Div. 3M, all trips from 2005 to 2008 where fishing occurred in both Div. 3M and Div. 3L were eliminated. When this criterion was applied to the 2009 data, there were no remaining data as all trips reported catches in both Divisions. Therefore, a standardized CPUE series was produced only for 1993 to 2008. CPUE gradually increased from the mid-1990s to 2006. In 2007 and 2008 the standardized CPUE declined. Effort levels have recently been low and NIPAG was concerned that the CPUE may not reflect the stock status in the same way as at higher levels of effort.

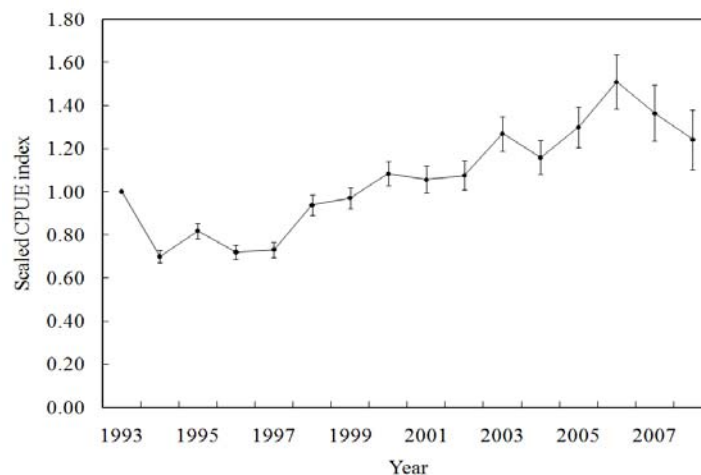


Fig. 1.2. Shrimp in Div. 3M: Standardized CPUE of shrimp on Flemish Cap, 1993-2008.

**Standardized CPUE female SSB.** It has been shown for this stock that transitionals will be functional females at spawning time in the same year (SCR Doc. 04/64). Accordingly a spawning stock index was calculated from the

standardized CPUE as kg/hr of all females (transitionals and females). The spawning stock declined from 1993 to 1997, and had shown an increasing trend with fluctuations to 2006 (Fig. 1.3). In 2007 this increasing trend is interrupted and the lower value estimated in 2008 appears to confirm the decline of the spawning stock.

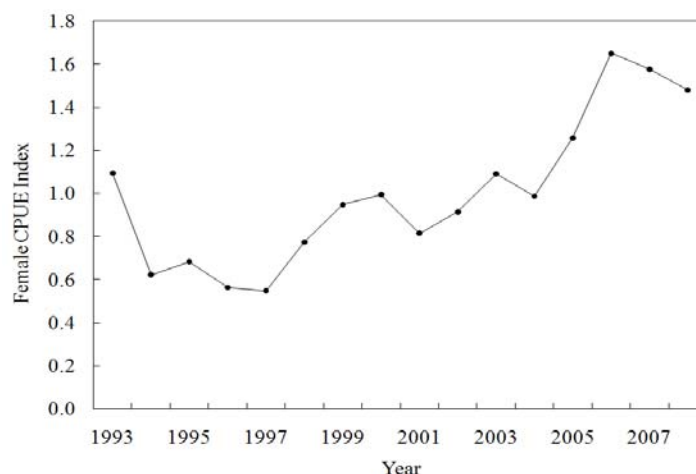


Fig. 1.3. Shrimp in Div. 3M: Standardized Female CPUE of shrimp on Flemish Cap, 1993-2008. The series was standardized its mean.

**Biological data.** The age composition was assessed from commercial samples obtained from Iceland from 2003 to 2005 and from Canada, Greenland, Russia and Estonia in previous years. Since 2006 the samples obtained from the fishery have been insufficient to assess the age of the catches, so the length distribution from the EU survey was used. Number/hour caught per age-class was calculated for each year by applying a weight/age relationship and age proportions in the catches to the annual standardized CPUE data.

Ages 3, 4 and 5 generally dominate the commercial catch in numbers. By weight the 6 year-olds are also considered important in the fishery although generally fewer. The 2002 year-class appeared prominent as 3 year-olds in the 2005 fishery and as 4 and 5 year-olds in 2006 and 2007. In 2008 the abundance of this year-class declined drastically. Since 2004 recruitment (number of 2 year olds) has been decreasing.

Numbers/hour at age caught in the commercial fishery:

Age	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	Mean
1	9	0	6	0	0	23	667	0	0	0	0	0	54
2	2144	3331	2660	1108	6911	4569	8642	12559	5477	1689	849	876	4109
3	17024	19489	15836	23190	9257	38542	9539	29504	35615	8721	10904	25668	20810
4	17665	22800	18316	26971	29627	13117	38126	10559	31076	56559	34553	34236	26300
5	3470	7273	14736	15948	15637	15896	14871	22325	14798	34979	36314	23005	17050
6	703	2705	5305	3346	4426	3247	5855	4347	2905	15162	16722	1614	5199
7	61	303	61	162	598	128	87	24	478	1881	3653	0	620
Total	41068	55901	56914	70725	66456	75498	77119	79318	90350	118991	102995	85399	74089

## ii) Research survey data

Stratified-random surveys have been conducted on Flemish Cap by the EU, in July from 1988 to 2009. A new vessel was introduced in 2003 which continued to use the same trawl employed since 1988. In addition, there were differences in cod-end mesh sizes utilized in the 1994 and 1998 surveys that have likely resulted in biased estimates of total survey biomass. Nevertheless, for this assessment, the series prior to 2003 were converted into comparable units with the new vessel based on the methodology accepted by STACFIS in 2004 (NAFO 2004 SC Rep., SCR Doc. 04/77). The index was stable at a high level from 1998 to 2007. In 2008 and 2009 the index showed a drastic

decline to levels which are among the lowest observed in the time series (Fig. 1.4). This drastic decline of shrimp biomass may be associated with the increase of the cod stock in recent years (SCR Doc. 09/56) (Figure 1.5).

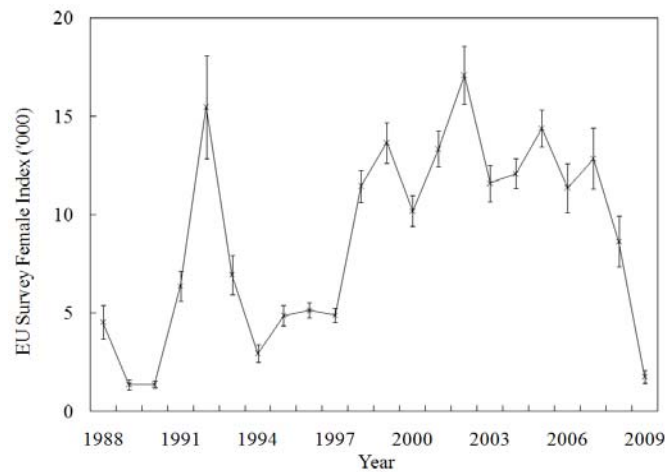


Fig. 1.4. Shrimp in Div. 3M: female biomass index from EU trawl surveys, 1988-2009.

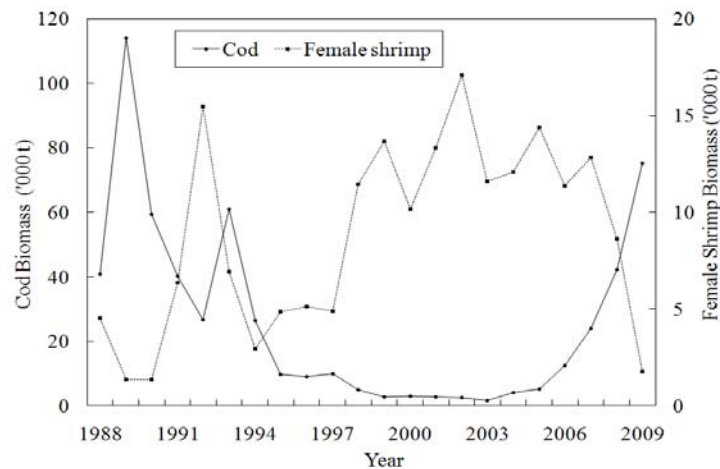


Fig. 1.5. Shrimp in Div. 3M: Cod and female shrimp biomass from EU trawl surveys, 1988-2009.

### iii) Recruitment indices

**Commercial fishery.** Although the commercial fishery is conducted with larger mesh size than the survey indices, two year olds are frequently detected in the fishery. An index of two year old shrimp from 1996 to 2008, based on standardized number per hour correlated well ( $R^2=0.59$ , Fig. 1.6) with a similar index derived for 3+ year olds (a proxy for the fishable biomass) from the fishery two years later. The number per hour of 2 year-olds in the commercial fishery has been declining since 2004 (see table above).

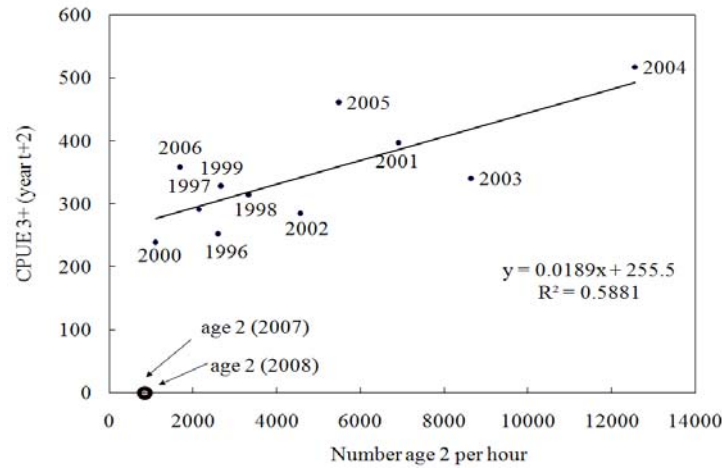


Fig. 1.6. Shrimp in Div. 3M: regression between number per hour of age 2 (year t) shrimp in the commercial fishery and standardized CPUE of age 3+ 2 years later.

**EU bottom trawl surveys.** From 1988 to 1995 shrimp age 2 and younger were not captured by the survey. Beginning in 1996 the presence of this component increased in the surveys and it is believed that the introduction of the new vessel in 2003 greatly improved the catchability of age 2 shrimp due to technological advances in maintaining consistent performance of the fishing gear. In addition, since 2001, a small mesh juvenile bag was also attached to the net which was designed to provide an index of juvenile shrimp smaller than that typically retained by the survey cod-end. The recruitment indices since 2005 are low in the main gear as well as in the juvenile bag (Fig. 1.7). The EU-survey areas with the commercial fishery recruitment indices in showing an exceptionally large 2002 year-class and very weak 2003-2006 year-classes.

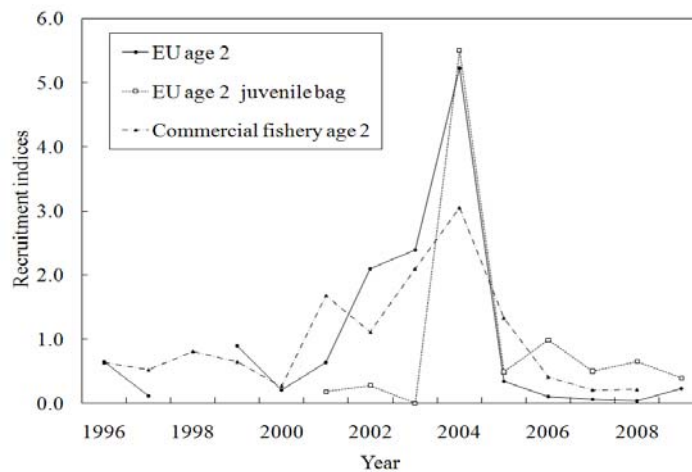


Fig. 1.7. Shrimp in Div. 3M: abundance indices at age 2 from the EU survey and commercial fishery. Each series was standardized to its mean.

**iv) Exploitation rate**

An index of exploitation was derived by dividing the nominal catch in a given year by the biomass index from the EU survey in the same year (Fig. 1.8). This was high in the years 1994-1997 when biomass was generally lower. From 2005 to 2008 exploitation indices remained stable at relatively low values (between 1.9-1.5). The preliminary

exploitation rate to 10 October 2009 remains low at 1.7, but this is not based on projected catches and will increase when the total catch for the year is known.

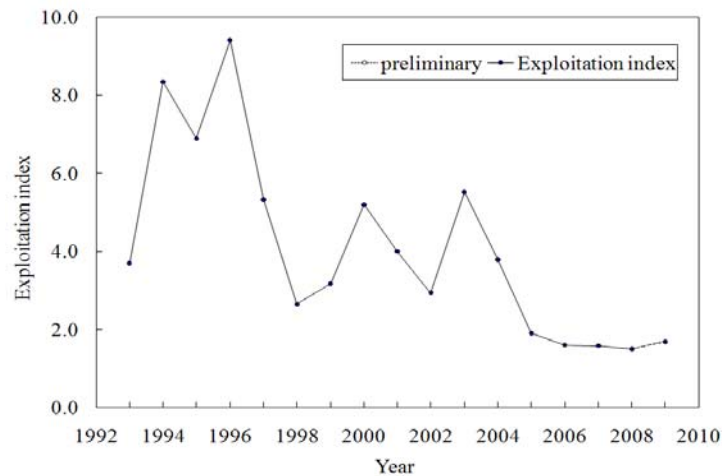


Fig. 1.8. Shrimp in Div. 3M: exploitation rates as derived by catch divided by the EU survey biomass index of the same year

#### v) *Other studies*

The fraction of the annual catch taken during January to May of each year (the period prior to the EU survey) was calculated. On average 32% of the year's catch is taken prior to the execution of the EU survey. Regression analysis showed that there was no relationship between the amount of catch taken prior to the survey in a year and the biomass index in the EU survey in that same year (SCR Doc 09/56) (Fig. 1.9)

Year	Shrimp female biomass (t) EU Survey Index	Commercial catches (t)		
		Annual	Jan-May	%
1994	2945	21537	6318	29%
1995	4857	33071	7481	23%
1996	5132	44615	14881	33%
1997	4885	23221	6732	29%
1998	11444	30035	7956	26%
1999	13669	43144	11548	27%
2000	10172	48734	18673	38%
2001	13336	50755	17377	34%
2002	17091	42965	14912	35%
2003	11589	57530	19198	33%
2004	12081	36509	9133	25%
2005	14381	26688	11592	43%
2006	11359	14065	6467	46%
2007	12843	15131	2610	17%
2008	8630	2832	1098	39%
		Average		32%

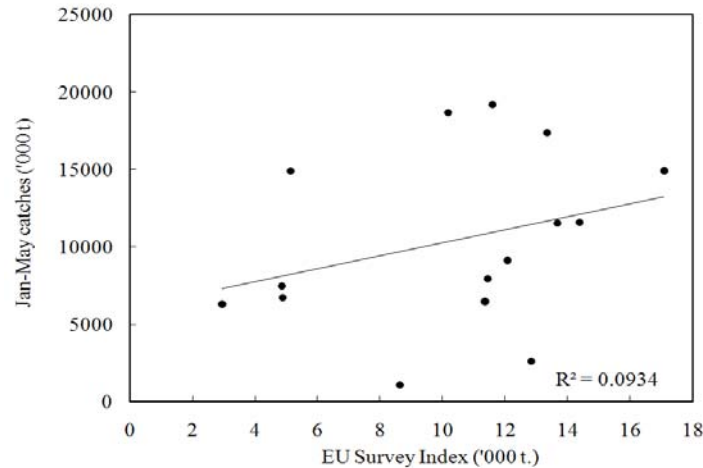


Fig. 1.9. Shrimp in Div. 3M: Relationship from commercial catches taken between January and May and the EU survey series indexes from 1994 to 2008 years.

### c) Assessment Results

Suspicious of misreporting during recent years, and its effect on various indices derived from the commercial fishery, continued in 2009. In order to avoid the uncertainty around the catch rate standardization model, all trips for which there was fishing in both 3M and 3L were eliminated. When this criterion was applied to the 2009 data, there were no remaining data as all trips reported catches in both Divisions. Thus several indices derived from the CPUE for 2009 could not be used in the assessment this year.

*Commercial CPUE indices.* Indices for both biomass and female biomass from the commercial fishery showed increasing trends from 1996 to 2006. Although still high, both indices have decreased from 2006 to 2008.

*Biomass.* The survey index of female biomass increased from 1997 to 1998 and fluctuated without trend between 1998 and 2007. In 2008 and 2009 the biomass decreased reaching in 2009 the lowest level since 1990.

*Recruitment.* All year-classes since 2002 have been weak.

*Exploitation rate.* From 2005 to 2008 exploitation indices remained stable at relatively low values. The preliminary exploitation rate to 10 October 2009 remains low, but this is not based on projected catches and will increase when the total catch for the year is known.

*State of the Stock.* The indices of biomass in the July 2009 survey showed a sharp decline, confirming recent downward trends, even though the levels of exploitation have been low since 2005. The most recent estimate of stock size is below  $B_{lim}$ . Due to the continued poor recruitment, there are serious concerns that the stock will remain at low levels.

### d) Precautionary Approach

NIPAG noted that the Scientific Council Study Group on Limit Reference Points, recommended that survey biomass indices could be used to indicate a limit reference point for biomass, in situations where other methods were not available (SCS Doc. 04/12). In such cases, "the point at which a valid index of stock size has declined by 85% from the maximum observed index level provides a proxy for  $B_{lim}$ ".

The limit reference point for the Flemish Cap shrimp stock is taken from the EU survey where the biomass index of female shrimp is used. The EU survey of Div. 3M provides an index of female shrimp biomass from 1988 to 2009 with a maximum value of 17 100 t in 2002, (and a similar value of 15 500 t. in 1992). An 85% decline in this value would give a  $B_{lim} = 2 600$  t. The female biomass index was below this value in 1989 and 1990, before the fishery. In



2007 and 2008 it was about 25% and 51%, respectively, below the maximum. The 2009 female biomass index is below this standard value for  $B_{lim}$  (Fig. 1.9).

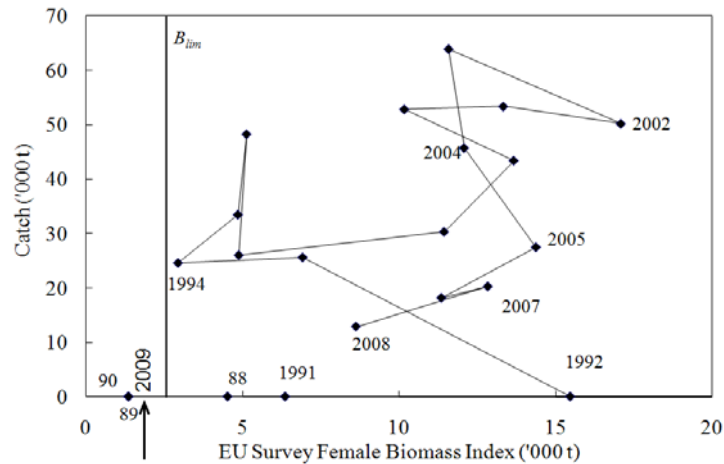


Fig. 1.10. Shrimp in Div. 3M: catch plotted against female biomass index from EU survey. Line denoting  $B_{lim}$  is drawn where biomass is 85% lower than the maximum point in 2002. The estimated female biomass index for 2009 (1764 t) is shown by the arrow on the x-axis, catch for 2009 is incomplete and is not shown in the figure.

#### e) Research Recommendations

NIPAG **recommended** that, for shrimp in Div. 3M:

- *biological and CPUE data from all fleets fishing for shrimp in the area, be submitted to Designated Experts by 1 September 2010.*
- *the catch and effort data from other sources, for example VMS and/or Observer data, continue to be investigated to validate commercial data obtained from summarized logbooks or STATLANT data.*
- *the relationship between the recruitment indices and fishable biomass be investigated further.*
- *Collaborative efforts should be made to standardize a means of predicting recruitment to the fishable stock.*

## 2. Northern Shrimp (Div. 3LNO) – NAFO Stock

(SCR Doc. 09/55, 59)

### a) Introduction

This shrimp stock is distributed around the edge of the Grand Bank mainly in Div. 3L. The fishery began in 1993 and came under TAC control in 2000 with a 6000 t TAC and fishing restricted to Div. 3L. Annual TACs were raised several times between 2000 and 2009 reaching a level of 30 000 t for 2009 and 2010. A total catch of 18 567 t was taken up to October 2009 (Fig. 2.1).

Recent catches and TACs (t) for shrimp in Div. 3LNO (total) are as follows:

	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010
TAC as set by FC	6 000	6 000	13 000 <sup>1</sup>	13 000 <sup>1</sup>	13 000 <sup>1</sup>	22 000 <sup>1</sup>	22 000 <sup>1</sup>	25 000 <sup>1</sup>	30 000 <sup>1</sup>	30 000 <sup>1</sup>

STATLANT 21A	5 647	5 894	11 979	12 767	14 281	23 144	21 062 <sup>2</sup>	23 912 <sup>2</sup>	15 676 <sup>2</sup>
NIPAG	10 697 <sup>3</sup>	6 994 <sup>3</sup>	13 099 <sup>3</sup>	13 461 <sup>3</sup>	14 384 <sup>3</sup>	25 801 <sup>3</sup>	23 855 <sup>3</sup>	27 435 <sup>3</sup>	18 567 <sup>3</sup>

<sup>1</sup> Denmark with respect to Faroes and Greenland did not agree to the quotas of 144 t (2003–2005), 245 t (2006–2007), 278 t (2008), or 334 t (2009) and set their own TACs of 1 344 t (2003–2005), 2 274 t (2006–2008) and 3 101 t (2009). The increase is not included in the table.

<sup>2</sup> Provisional catches.

<sup>3</sup> Reliable catch reports were not available for all countries, and therefore estimates were made using other sources (Canadian surveillance, observer datasets, STACFIS estimation *etc.*).

Since this stock came under TAC regulation, Canada has been allocated 83% of the TAC. This allocation is split between a small-vessel (less than 500 GT and less than 65 ft) and a large-vessel fleet. By October 2009, the small- and large-vessel fleets had taken 12 995 t and 2 307 t of shrimp respectively in Div. 3L. In all years, most of the Canadian catch occurred along the northeast slope in Div. 3L.

The annual quota within the NAFO Regulatory Area (NRA) is 17% of the total TAC. Denmark (in respect of the Faroe Islands and Greenland) did not agree to the quotas from 2003 onwards and have set their own TACs.

The use of a sorting grid to reduce bycatches of fish is mandatory for all fleets in the fishery. The sorting grid cannot have a bar spacing greater than 22 mm.

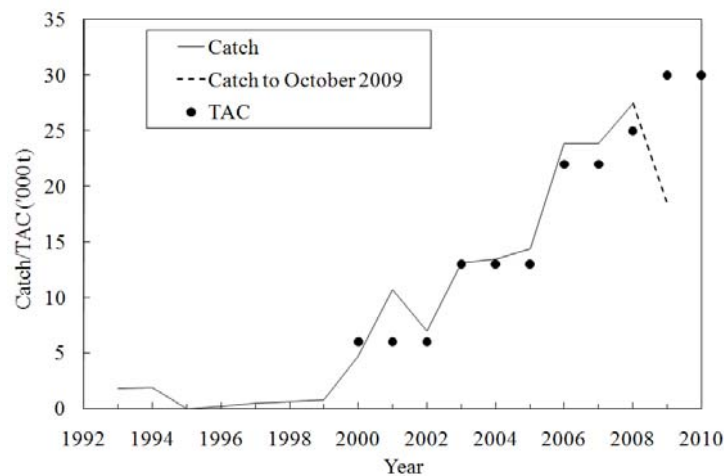


Fig. 2.1. Shrimp in Div. 3LNO: catches (to October 2009) and TAC as set by Fisheries Commission.

**b) Input Data**

*i) Commercial fishery data*

**Effort and CPUE.** Catch and effort data have been available from vessel logbooks and observer records since 2000. Standardized catch rates for large Canadian vessels (>500 t) have been stable since 2004 near the long term mean. There was insufficient data to estimate a standardized CPUE index for the 2009 Canadian small-vessel (≤500 t) fleet. The small-vessel CPUE increased from 2000 to 2005 after which it decreased to near the mean (Fig. 2.2).

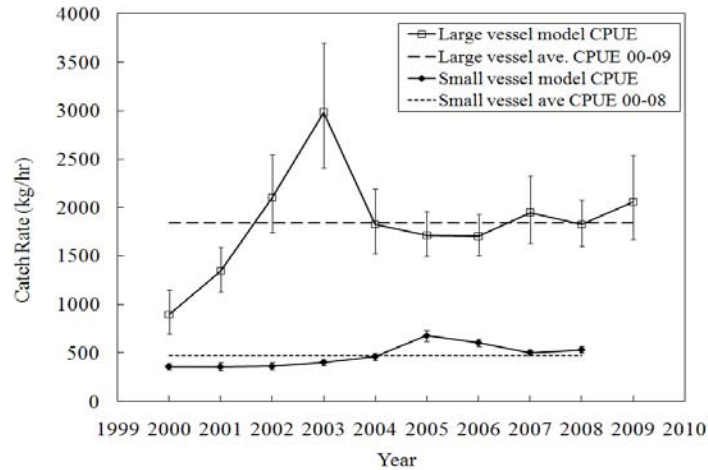


Fig. 2.2. Shrimp in Div. 3LNO: Standardized CPUE for the Canadian large-vessel (>500 t) and small-vessel ( $\leq 500$  t; LOA<65') fleets fishing shrimp in Div. 3L within the Canadian EEZ.

Data were available from other nations fishing in the NRA (Estonia, Greenland and Norway) but were insufficient to produce a standardized CPUE model.

**Catch composition.** In 2009, length compositions were derived from Canadian and Estonian observer datasets. As in previous years, the catch appears well represented by a broad range of size groups of both males and females.

#### ii) Research survey data

**Canadian multi-species trawl survey.** Canada has conducted stratified-random surveys in Div. 3LNO, using a Campelen 1800 shrimp trawl, from which shrimp data is available for spring (1999–2009) and autumn (1996–2008). All estimates were updated, where necessary, to correct for differences in research survey tow durations. The autumn survey in 2004 was incomplete and therefore of limited use for the assessment.

**Spanish multi-species trawl survey.** Spain has been conducting a spring stratified-random survey in Div. 3NO within the NRA since 1995; the survey has been extended to include the NRA in Div. 3L since 2003. From 2001 onwards data were collected with a Campelen 1800 trawl. There was no Spanish survey in 2005 in Div. 3L.

**Biomass and Abundance.** In Canadian surveys, over 90% of the biomass was found in Div. 3L, distributed mainly along the northeast slope in depths from 185 to 550 m. There was a significant increase in autumn shrimp biomass indices between 1996 and 2001 and this index has since remained at a high level. The autumn 2008 3LNO biomass index was estimated to be 249 300 t, the second highest in the autumn time series, down from 275 700 t in 2007. The spring biomass index increased from 93 500 t in 2004 to 288 600 t in 2007, but has since decreased to 112 500 t in 2009, a decrease of 61% over two years (Fig. 2.3). Confidence intervals from the spring surveys are usually broader than from the autumn surveys.

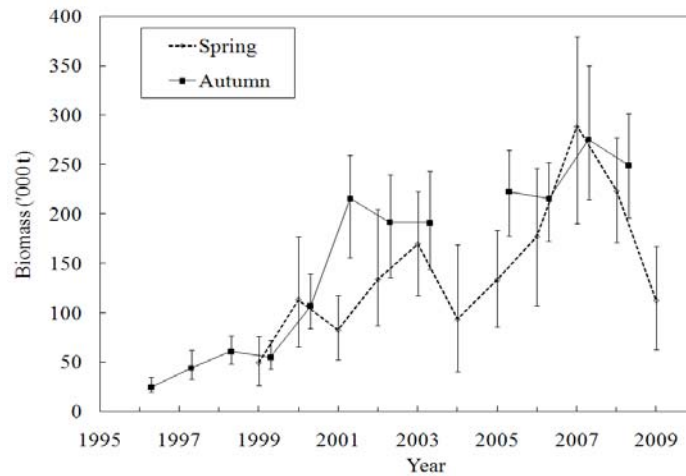


Fig. 2.3. Shrimp in Div. 3LNO: biomass index estimates from Canadian spring and autumn multi-species surveys (with 95% confidence intervals).

Spanish survey biomass indices for Div. 3L, within the NRA, increased between 2003 (64 000 t) and 2006 (126 000 t), remaining at a high level in 2007 and 2008 (149 000 t) followed by a 50% decrease in biomass in 2009 (74 000 t) (Fig. 2.4). Canadian spring and autumn survey biomass indices in Div. 3L both inside and outside the NRA increased to their highest levels in 2007 but have subsequently decreased.

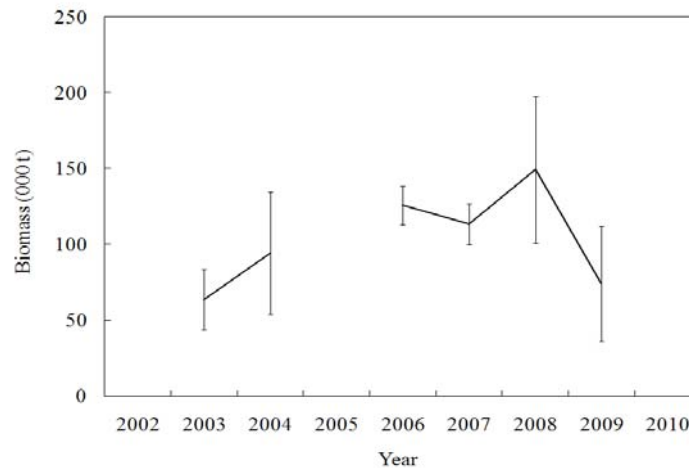


Fig. 2.4. Shrimp in Div. 3LNO: biomass index estimates from Spanish multi-species surveys (with 95% confidence intervals) in the 3L NRA.

Spanish survey biomass indices for Div. 3NO in the NRA, have shown a decline from 3000 t in 2004 to 100 t in 2009. Canadian spring and autumn survey biomass indices in Div. 3NO both inside and outside the NRA fluctuated without trend over the same period.

**Stock composition.** The autumn surveys showed an increasing trend in the abundance of female (transitionals + females) shrimp up to 2007 and remained high in 2008. Spring female abundances showed an increasing trend until 2007 after which female abundances decreased by 63% from 23 billion females in 2007 to 8 billion females in 2009. Autumn male abundance indices increased until 2001 and have since remained stable at a high level, while spring male abundance indices followed similar trends as the females (Fig. 2.5).

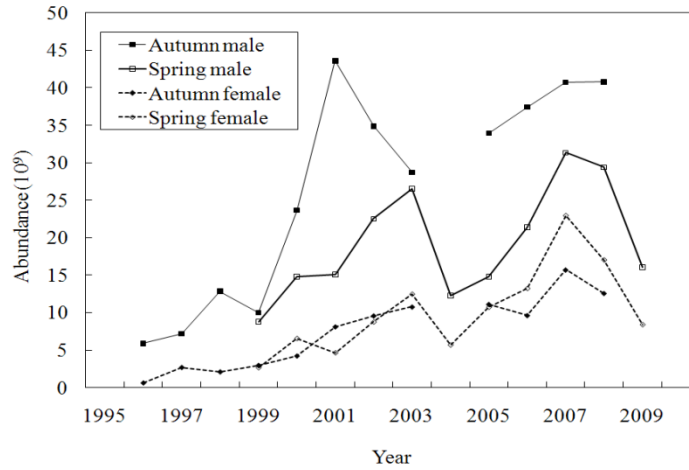


Fig. 2.5. Shrimp in Div. 3LNO: Abundance indices of male and female shrimp within Div. 3LNO as estimated from Canadian multi-species survey data.

Uncertainties in modal analyses prevented the assignment of year classes in the spring 2009 survey. However, both males and females showed a broad distribution of lengths in recent surveys indicating the presence of more than one year class. It is worth noting that very few shrimp with carapace lengths smaller than 10 mm were found in the spring 2009 survey (Fig. 2.6).

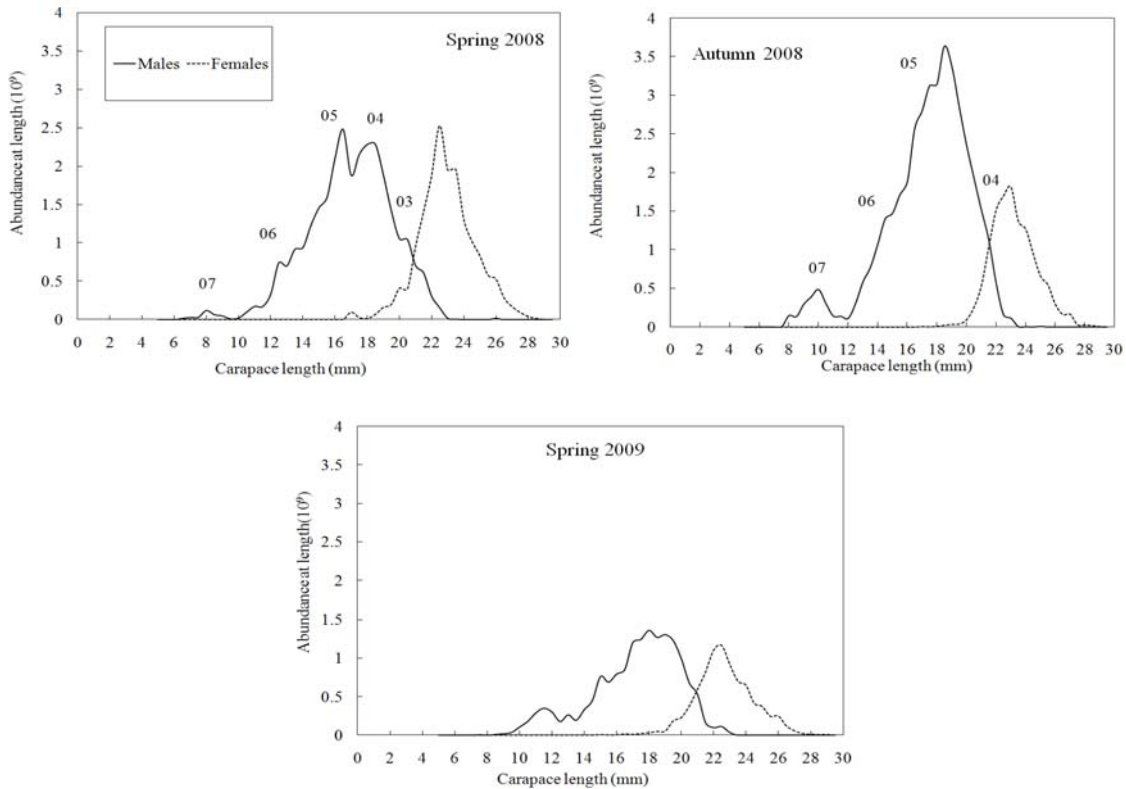


Fig. 2.6. Shrimp in Div. 3LNO: abundance at length for northern shrimp estimated from Canadian multi-species survey data. Numbers within charts denote year-classes.

**Female Biomass (SSB).** The autumn 3LNO female biomass index showed an increasing trend to 2007, it declined in 2008 to 105 200 t, the second highest in the autumn time series. The spring female biomass index increased from 20 000 t in 2004 to 176 700 t in 2007, but has since decreased to 59 000 t in 2009, a decrease of 67% over two years (Fig. 2.7).

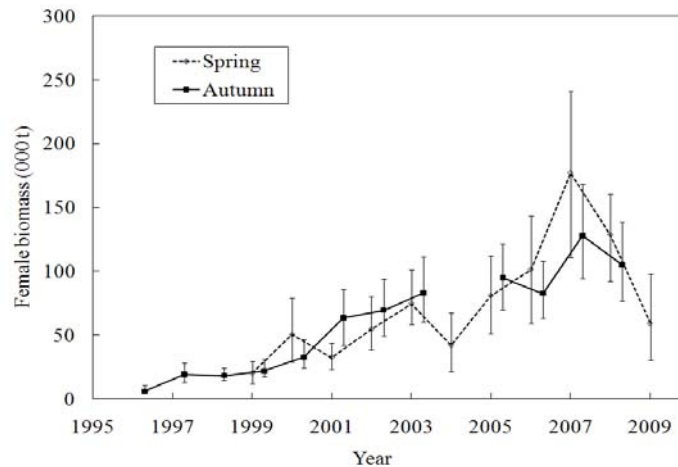


Fig. 2.7. Shrimp in Div. 3LNO: Female biomass estimates from Canadian spring and autumn multi-species surveys (with 95% confidence intervals).

**Recruitment index.** The recruitment indices were based upon abundances of male shrimp with carapace lengths of 12 – 17 mm from Canadian survey data. The 2006 – 2008 recruitment indices were among the highest in both spring and autumn time series. The spring index decreased to near the mean (Fig. 2.8) in 2009.

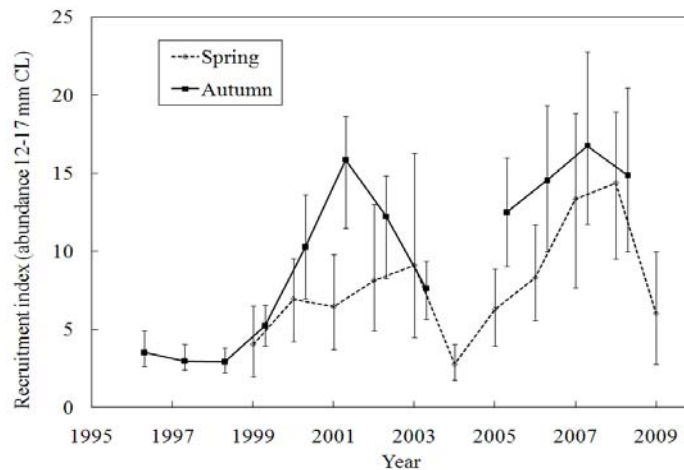


Fig. 2.8. Shrimp in Div. 3LNO: Recruitment indices derived from abundances of male shrimp with 12 – 17 mm carapace lengths from Canadian spring and autumn bottom trawl survey (1996–2009) data.

**Fishable biomass and exploitation.** There has been an increasing trend in Canadian spring and autumn survey fishable biomass indices (shrimp >17 mm carapace length) until 2007. The autumn index remained high in 2008 while the spring index decreased by 65% from 2007 to 2009 (Fig. 2.9).

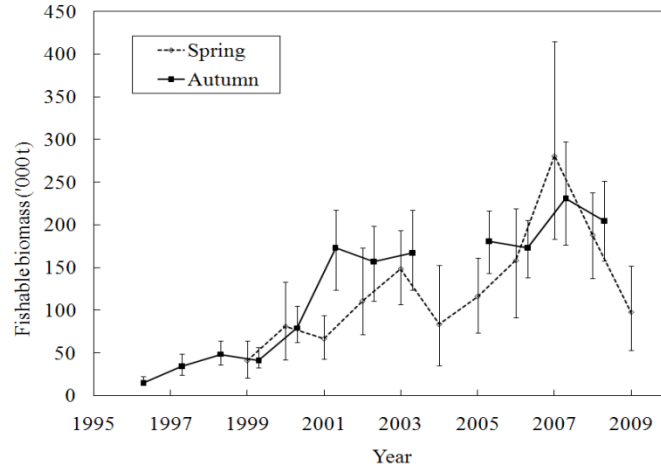


Fig. 2.9. Shrimp in Div. 3LNO: fishable biomass index. Bars indicate 95% confidence limits.

An index of exploitation was derived by dividing the catch in a given year by the fishable biomass index from the previous autumn survey. The exploitation index was less than 4% during 1996 - 1999, but increased to 11–13.5% in 2000–2001, the first two years of TAC regulation. Exploitation increased since 2002, but remained below 14% (Fig. 2.10).

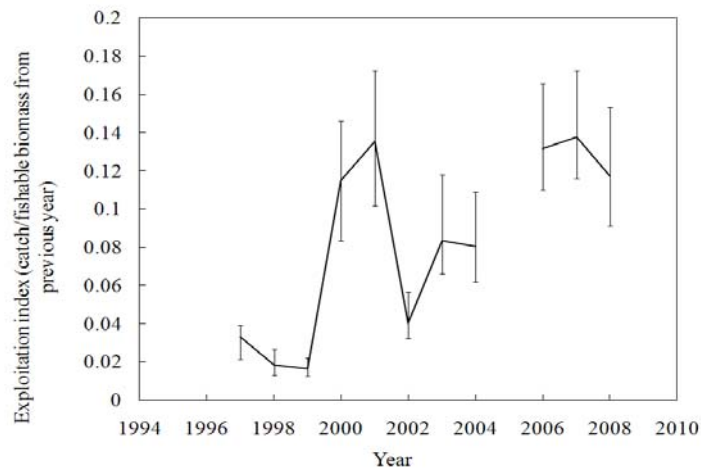


Fig. 2.10. Shrimp in Div. 3LNO: exploitation rates calculated as year's catch divided by the previous year's autumn fishable biomass index. Bars indicate 95% confidence limits.

### c) Assessment Results

*Recruitment.* Recruitment indices from 2006 – 2008 were among the highest in the spring and autumn time series. Spring recruitment indices decreased to mean levels in 2009.

*Biomass.* Spring and autumn biomass indices generally increased, to record levels by 2007, but both decreased in 2008. Spring biomass indices decreased substantially in 2009.

*Exploitation.* The index of exploitation has remained relatively stable since 2006, at a level less than 14%.

*State of the Stock.* Biomass levels peaked in 2007, decreased since, but remain at or above mean levels. The stock appears to be well represented by a broad range of size groups and recruitment prospects remain at or above mean levels. However, the decreased levels of biomass in the most recent spring surveys could indicate the start of a decreasing trend in the stock.

#### d) Precautionary Approach Reference Points

Scientific Council considers that the point at which a valid index of stock size has declined by 85% from the maximum observed index level provides a proxy for  $B_{lim}$  (approximately 19 000 t) for northern shrimp in Div. 3LNO (SCS Doc. 04/12). Currently, the female biomass is estimated to be well above  $B_{lim}$  (Fig. 2.10). It is not possible to calculate a limit reference point for fishing mortality. A safe zone has not been determined in the precautionary approach framework for this stock.

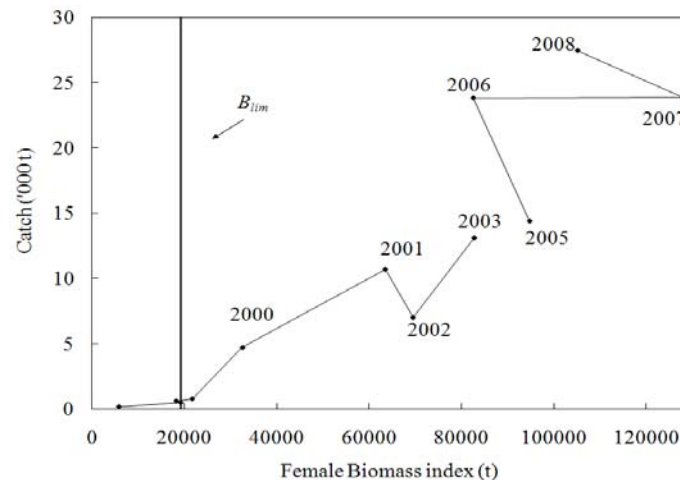


Fig. 2.11. Shrimp in Div. 3LNO: Catch against female biomass index from Canadian autumn survey. Line denoting  $B_{lim}$  (approximately 19,000 t) is drawn where female biomass is 85% lower than the maximum point in 2007.

#### e) Research Recommendations

NIPAG recommends that for Northern shrimp in Div. 3LNO:

- *biological and CPUE data from all fleets fishing for shrimp in the area be submitted to the Designated Expert, in the standard format, by 1 September 2010.*
- *Further exploration of the use of catch rate data as an index of biomass.*
- *Investigation of a production model for this stock. This would provide estimations of  $B_{msy}$  and  $F_{msy}$ .*
- *Collaborative efforts should be made to standardize a means of predicting recruitment to the fishable stock.*

### 3. Northern shrimp (Subareas 0 and 1) – NAFO Stock

(SCR Docs 04/75, 04/76, 08/62, 09/53, 09/60, 09/62, 09/64, 09/65, 09/67; SCS Doc. 04/12)

#### a) Introduction

The shrimp stock off West Greenland is distributed mainly in NAFO Subarea 1 (Greenland EEZ), but a small part of the habitat, and of the stock, intrudes into the eastern edge of Div. 0A (Canadian EEZ). Canada has defined ‘Shrimp



Fishing Area 1' (Canadian SFA1), to be the part of Div. 0A lying east of 60°30'W, i.e. east of the deepest water in this part of Davis Strait.

The stock is assessed as a single population. The Greenland fishery exploits the stock in Subarea 1 (Div. 1A–1F). Since 1981 the Canadian fishery has been limited to Div. 0A.

Three fleets, one from Canada and two from Greenland (vessels above and below 80 GRT) have participated in the fishery since the late 1970s. The Canadian fleet and the Greenland offshore (large-vessel) fleet have been restricted by areas and quotas since 1977. The Greenland coastal (small-vessel) fleet has privileged access to inshore areas (primarily Disko Bay and Vaigat in the north, and Julianehåb Bay in the south); its fishing was unrestricted until January 1997, when quota regulation was imposed. Greenland allocates a quota to EU vessels in Subarea 1. Mesh size is at least 44 mm in Greenland, 40 mm in Canada. Sorting grids to reduce bycatch of fish are required in both of the Greenland fleets and in the Canadian fleet. Discarding of shrimps is prohibited.

The TAC advised for the entire stock for 2004, 2005, 2006 and 2007 was 130 000 t, reduced for 2008 and 2009 to 110 000 t. Greenland set a TAC for Subarea 1 for 2007 of 134 000 t, of which 74 100 t was allocated to the offshore fleet, 55 900 t to the coastal and 4000 t to EU vessels; these allocations were reduced for 2008 to 70 281, 53 019 and 4000 t (total 127 300 t) and for 2009 further to 59 025, 51 545 and 4000 t (total 114 570 t). Canada set TACs for SFA1 of 18 417 t for 2007, 2008 and 2009.

Greenland requires that logbooks should record catch live weight, but for shrimps sold to on-shore processing plants—almost all the catch of the coastal fleet, and a required 25% of that of the offshore fleet—an allowance is made for crushed and broken shrimps in reckoning quota draw-downs, which are based on weight sold, not on weight caught. Total catch—both live weight and logbook reports—can therefore legally exceed the enacted TAC.

The table of recent catches was updated (SCR Doc. 09/64), mainly with improved STATLANT data for Greenland for 2006–07. Total catch increased from about 10 000 t in the early 1970s to more than 105 000 t in 1992 (Fig. 3.1). Moves by the Greenlandic authorities to reduce effort, as well as fishing opportunities elsewhere for the Canadian fleet, caused catches to decrease to about 80 000 t by 1998. Since then total catches increased to over 155 000 t in 2005 and 2006. Total catch for 2008 at 152 749 t was more than 20 000 t higher than the projection, based on the first six months' data, used in the 2008 assessment. This year's projected catch might therefore also be too low.

Recent catches, projected catches for 2009 and recommended and enacted TACs (t) for Northern Shrimp in Div. 0A east of 60°30'W and Subarea 1 are as follows:

	2000 <sup>1</sup>	2001 <sup>1</sup>	2002 <sup>1</sup>	2003 <sup>1</sup>	2004	2005	2006	2007	2008	2009 <sup>2</sup>
<u>TAC</u>										
Recommended	65 000	85 000	85 000	100 000	130 000	130 000	130 000	130 000	110 000	110 000
Enacted	87 025	102 300	103 190	115 167	149 519	152 452	152 380	152 417	145 717	132 987
<u>Catches (NIPAG)</u>										
SA 1	96 378	99 301	128 925	123 036	142 326	149 978	153 188	142 245	152 749	108 812
SA 0A	1590	3625	6247	7137	7021	6921	4127	1945	0	0
TOTAL SA1–Div.0A	97 968	102 926	135 172	130 173	149 347	156 899	157 315	144 190	152 749	108 812
<u>STATLANT 21A</u>										
SA 1	79 120	81 517	103 645	78 436	142 326	149 978	153 188	142245 <sup>3</sup>	3805 <sup>3</sup>	
Div. 0A	659	2958	6053	2 170	6861	6410	3788	1878 <sup>3</sup>	0	

<sup>1</sup> Catches before 2004 corrected for underreporting

<sup>2</sup> Catches projected to year-end—SA1 based on catches on the first 6 months; 0A at zero, because there is no fishing.

<sup>3</sup> Provisional

Until 1988 the fishing grounds in Div. 1B were the most important. The offshore fishery subsequently expanded southward, and after 1990 catches in Divs 1C–D, taken together, began to exceed those in Div. 1B. However, since about 1996 catch and effort in southern West Greenland have continually decreased, and in 2008 and the first six months of 2009 effort in Div. 1F was virtually nil. The Canadian catch in SFA1 was stable at 6000 to 7000 t in 2002–2005, about 4–5% of the total catch, but in 2006 was only 4100 tons and in 2007 less than 2000 t; in 2008 and 2009 (to date) there has been no fishing. SFA1 is expensive for the Canadian fleet to reach and not attractive unless catch rates and prices are high.

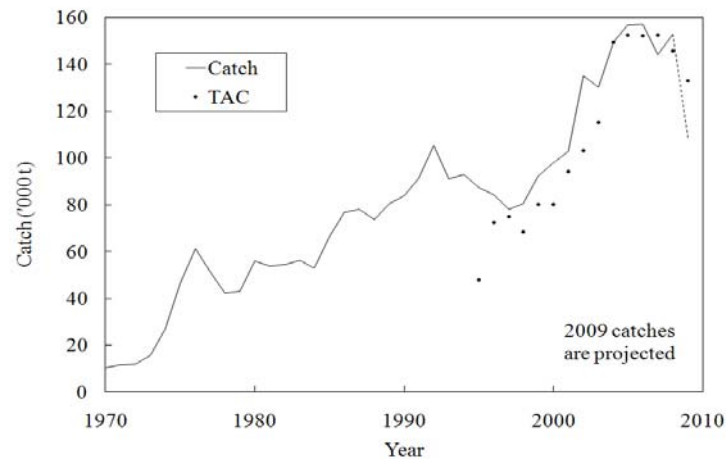


Fig. 3.1. Shrimp in Subarea 1 and Canadian SFA1: enacted TACs and total catches (2009 projected to the end of the year).

## b) Input Data

### i) Fishery data

**Fishing effort and CPUE.** Catch and effort data from the fishery were available from logbooks from Canadian vessels fishing in Canadian SFA 1 and from Greenland logbooks for Subarea 1 (SCR Doc. 09/66, 64). In recent years both the distribution of the Greenland fishery and fishing power have changed significantly: for example, larger vessels have been allowed in coastal areas; the coastal fleet has been fishing intensively in areas outside Disko Bay; the offshore fleet now commonly uses double trawls; and the previously rigid division between the offshore and coastal quotas has been relaxed and quota transfers are now allowed. A change in legislation effective since 2004 requiring logbooks to record catch live weight in place of a previous practice of under-reporting would, by increasing the recorded catch weights, have increased apparent CPUEs since 2004; this discontinuity in the CPUE data was corrected in 2008. CPUE series generated by including different sets of statistical areas and different sets of vessels in the analysis for each fleet, and different treatments of double- and single-trawl data, were compared in order to judge the effects of these choices (SCR Doc. 08/62).

CPUEs were standardised by linearised multiplicative models including terms for vessel effect, month, year, and statistical area; the fitted year effects were considered to be series of annual indices of total stock biomass. Series for the Greenland fishery after the end of the 1980s were divided into 2 fleets, a coastal and an offshore; for those ships of the present offshore fleet that use double trawls, only double-trawl data was used. A series for 1976–1990 was constructed for the KGH fleet of sister trawlers and a series for 1987–2007 for the Canadian fleet fishing in SFA1. The CPUE indices from the Greenland coastal and the Greenland offshore fleets remained closely in step from 1988 to 2004 (Fig. 3.2), but have diverged from each other more in the most recent years. CPUE in the Canadian fishery in SFA1 has always varied more from year to year and has never stayed closely in step with the Greenland fleets, although over time its overall trend has been similar and it has also increased between the 1990s and the most recent values.

The four CPUE series were unified in a separate step to produce a single series that was input to the assessment model. This all-fleet standardised CPUE was variable, but on average moderately high, from 1976 through 1987, but then fell to lower levels until about 1997, after which it increased markedly to plateau in 2004–07 at about twice its 1997 value (Fig. 3.2). A lower value for 2008 based, in that year, on part-year's data was not confirmed when the full year's data was analysed in 2009, so the currently available part-year value for 2009, which is also lower than the previous year's value, is not convincing.

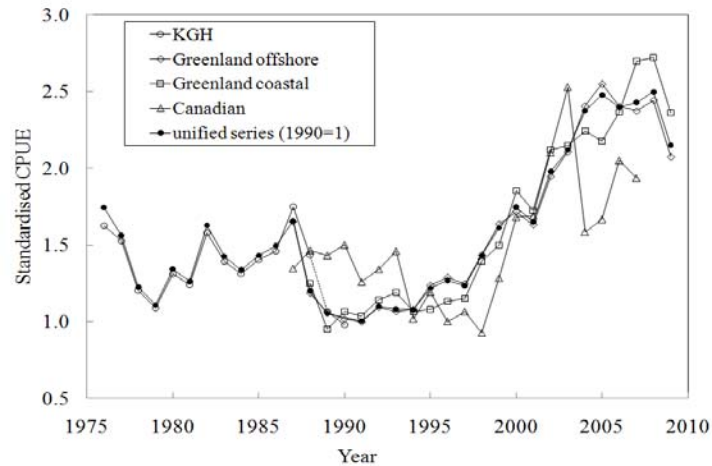


Fig. 3.2. Shrimp in Subarea 1 and Canadian SFA 1: standardised CPUE index series 1976–2009.

The distribution of catch and effort among NAFO Divisions was summarised using Simpson's diversity index to calculate an 'effective' number of Divisions being fished as an index of how widely the fishery is distributed (Fig 3.3). (In interpreting the index, it should be remembered that NAFO Divisions in Subarea 1, designed for the management of groundfish fisheries, are of unequal size with respect to shrimp grounds, and those recently abandoned by the fishery are the smaller ones.) The fishery area has recently contracted and NIPAG is concerned for effects of this contraction on the relationship between CPUE and stock biomass, and in particular that relative to earlier years biomass might be overestimated by recent CPUE values.

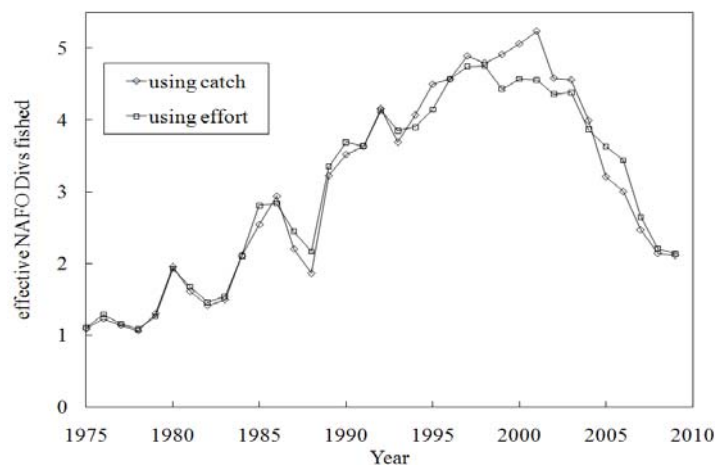


Fig. 3.3. Shrimp in Subarea 1 and Canadian SFA1: indices for the distribution of the Greenland fishery among NAFO Divisions in 1975–2009. (NB: 2009 point is calculated from Jan.–June data only.)

From the end of the 1980s there was a significant expansion of the fishery southwards and by 1996–97 areas south of Holsteinsborg Deep (66°00'N) accounted for 65% of the catch. At that time the effective number of Divisions

being fished peaked at about 4.5–5. Since then, as the range of the fishery has contracted northwards and the effective number of Divisions being fished has decreased, the areas south of Holsteinsborg Deep now yield only 10–15% of the catches, and Julianehåb Bay no longer supports a fishery.

**Catch composition.** There is no biological sampling program from the fishery that is adequate to provide catch composition data to the assessment.

## ii) Research survey data

**Greenland trawl survey.** Stratified semi-systematic trawl surveys designed primarily to estimate shrimp stock biomass have been conducted since 1988 in offshore areas and since 1991 also inshore in Subarea 1 (SCR Doc. 09/67). From 1993, the survey was extended southwards into Divs 1E and 1F. A cod-end liner of 22 mm stretched mesh has been used since 1993. From its inception until 1998 the survey only used 60-min. tows, but since 2005 all tows have lasted 15 min. In 2005 the *Skjervøy 3000* survey trawl used since 1988 was replaced by a *Cosmos 2000* with rock-hopper ground gear, calibration trials were conducted, and the earlier data was adjusted.

The survey average bottom temperature increased from about 1.7°C in 1990–93 to about 3.1°C in 1994–2009 (SCR Doc. 09/67). About 80% of the survey biomass estimate is in water 200–400 m deep. In the early 1990s, about ¾ of this was deeper than 300 m, but after about 1995 this proportion decreased and since about 2001 has been about ¼, and most of the biomass has been in water 200–300 m deep (SCR Doc. 09/67). The proportion of survey biomass in Div. 1E–F has decreased in recent years and the distribution of survey biomass, like that of the fishery, has become more concentrated and more northerly (SCR Doc. 09/67, 09/53).

**Biomass.** The survey index of total biomass remained fairly stable from 1988 to 1997 (c.v. 18%, downward trend 4%/yr). It then increased by, on average, 19%/yr until 2003, when it reached 316% of the 1997 value. Subsequent values have been consecutively lower, by 2008 less than half the 2003 maximum (Fig. 3.4) and 9% below the series mean; the 2009 value was nearly the same as that for 2008.

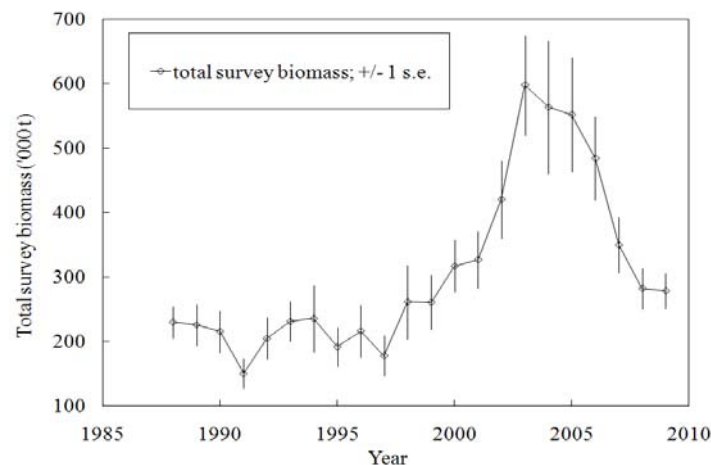


Fig. 3.4. Shrimp in Subarea 1 and Canadian SFA 1: survey indices of total stock biomass 1988–2009 (SCR Doc. 09/67).

**Length and sex composition** (SCR 09/67). In 2008 modes at 12 mm and 15 mm CL could be observed suggesting two- and three-year-olds; the two-year-old class in particular appeared stronger than in 2007. Male and female numbers in 2008 were  $42.5$  and  $11.5 \times 10^9$  individuals respectively, both values below their series averages ( $50$  and  $12 \times 10^9$ ). The 2009 distribution of lengths appears very similar to that for 2008 (Fig. 3.5); cohorts can be distinguished at 11–13 mm and at 15.5–18 mm. Estimated numbers of both males and females —  $41.5$  and  $12.2 \times 10^9$  — are very close to those for 2008, still below their series means.

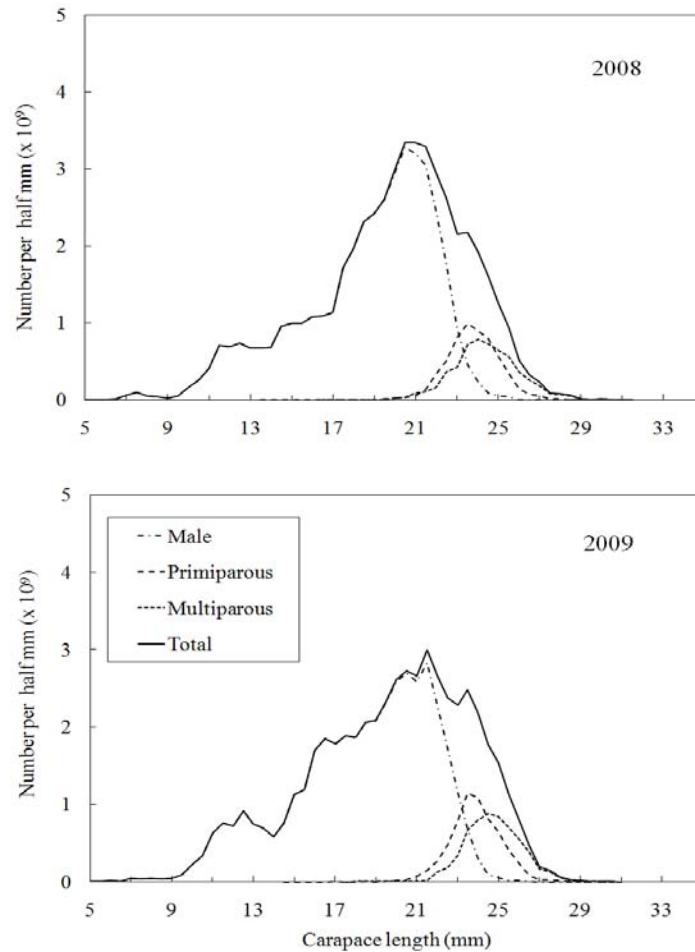


Fig. 3.5. Shrimp in Subarea 1 and Canadian SFA 1: length frequencies in the West Greenland trawl survey in 2008–2009.

**Recruitment Index.** The number at age 2 is a predictor of fishable biomass 2 – 4 years later (SCR Doc. 03/76). This recruitment index was high in 2001, decreased in 2002, was near average in 2003 and 2004, reached even lower values in 2005 and 2006, and decreased again in 2007 to the lowest recorded value (Fig.3.6). In 2008 the index was higher, at about 2/3 of the series mean. An estimated drop in 2009 to the second-lowest recorded value seems inconsistent with the length distribution of survey catches (Fig. 3.5).

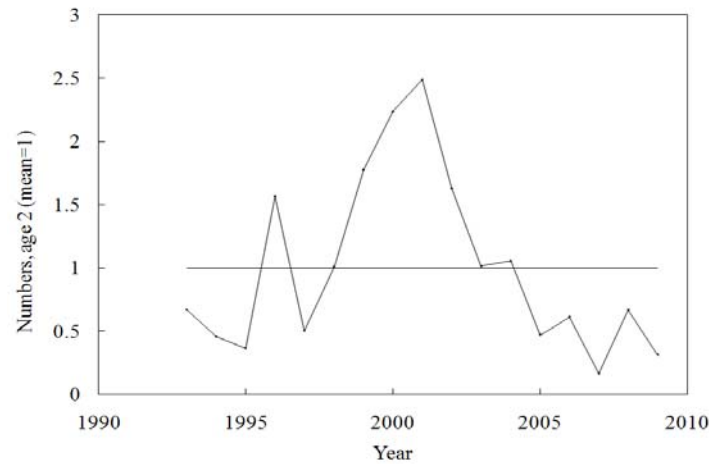


Fig. 3.6. Shrimp in Subarea 1 and Canadian SFA 1: index of numbers at age 2, estimated from West Greenland trawl survey.

The 2009 survey estimate of biomass at carapace lengths less than 17.5 mm, which may constitute an index of short-term recruitment, was well below average both as an absolute value and as a fraction of the total survey biomass.

### iii) Other biological studies

Estimates of cod biomass from the German groundfish survey at West Greenland are used in the assessment of shrimp in SA 1 and in Div. 0A east of 60°30'W, but the results from the German survey for the current year are not available in time for the assessment. Although the West Greenland trawl survey is not primarily directed towards groundfish, the cod biomass indices it produces for West Greenland offshore waters are well correlated with those from the German groundfish survey ( $r^2 = 0.86$ ). The index of cod biomass obtained from the 2009 Greenland survey would correspond to about 4069 t for the 2009 estimate from the German survey (SCR Doc. 09/65) — a drastic decrease from 2008, which itself was less than the 2007 value. The modest increase in the cod stock seen in recent years seems to have been completely reversed. Although in recent years almost all of the cod found by the survey have been in southern West Greenland, in 2009, while sparser, they were more widely spread and an index of overlap with the shrimp stock rose from 0.156 in 2008 to 0.602 in 2009. All the same, the 'effective' cod stock, i.e. that which could prey on the shrimp stock, is estimated at only 2 400 t (SCR Doc. 09/65).

## c) Results of the Assessment

### i) Estimation of Parameters

A Schaefer surplus-production model of population dynamics was fitted to series of CPUE, catch, and survey biomass indices. The model included a term for predation by Atlantic cod and a cod biomass series was included in the input data. CPUE data extended back as far as 1976, but survey data only started in 1988.

The model used in 2009 was very similar to that used in 2008. The model fitted reasonably well to the data, although uncertainties of parameter estimates were noticeably larger than in 2008. The estimated biomass trajectory closely followed the CPUE series, the error CV of biomass prediction from CPUE being only 3.6%; it was much less influenced by the survey series, the prediction error CV of which was about 21% (Fig. 3.7). The median estimate of MSY was 148 000 t, a slight increase over the 2008 estimate, catch rates having stayed high in spite of a now five-year series of annual catches averaging 152 000 t.

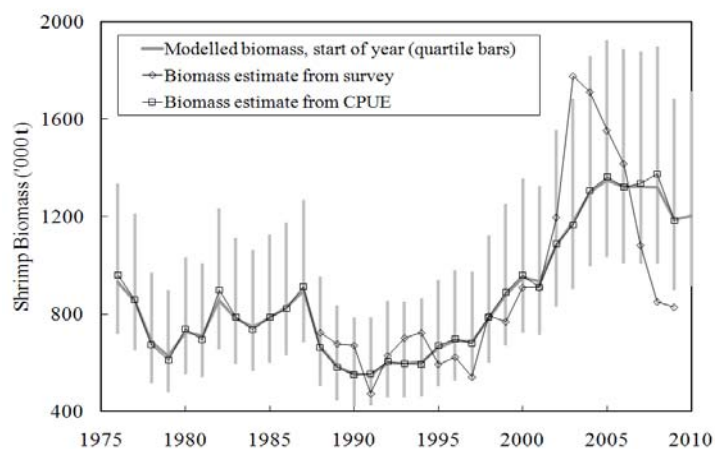


Figure 3.7: Shrimp in SA 1 and Canadian SFA1: trajectory of the median estimate of stock biomass at start of year, with the year's median CPUE and survey indices.

Estimates of stock-dynamic and fit parameters from fitting a Schaefer stock-production model to data on the West Greenland stock of the northern shrimp in 2009:

	2009						2008
	Mean	S.D.	25%	Median	75%	Est. Mode	Median
<i>Max.sustainable yield</i>	159	54	133	148	168	126	144
<i>Carrying capacity</i>	2584	2764.5	1526	1922	2642	598	1780
<i>Max. sustainable yield ratio (%)</i>	15.3	4.7	12.2	15.5	18.5	15.8	16.3
<i>Survey catchability (%)</i>	31.6	14.0	21.7	30.9	40.4	29.3	32.5
<i>CV of process (%)</i>	9.3	2.3	7.8	9.4	10.8	9.5	9.6
<i>CV of survey fit (%)</i>	21.6	3.6	19.1	21.2	23.6	20.4	18.3
<i>CV of CPUE fit (%)</i>	3.8	1.6	2.6	3.6	4.7	3.0	3.5

## ii) Assessment Summary

**Recruitment.** Prospects for recruitment to the fishable stock in the next few years remain poor.

**Biomass.** A stock-dynamic model showed a maximum biomass in 2005 with a steepening decline since; the probability that biomass will be below  $B_{msy}$  at end 2009 with projected catches at 109 000 t was estimated at 18% and of its being below  $B_{lim}$  at less than 1%.

**Mortality.** The mortality caused by fishing and cod predation ( $Z$ ) has been stable below the upper limit reference ( $Z_{msy}$ ) since 1995. With catches in 2009 projected at 109 000 t the risk that total mortality in 2009 would exceed  $Z_{msy}$  was estimated at about 3.5%.

**State of the Stock.** Modelled biomass is estimated to have been declining since 2005. However, at the end of 2009 biomass is projected to be still above  $B_{msy}$  and total mortality below  $Z_{msy}$ . Annual estimates of numbers of small shrimps have stayed below average in 2005–2009, and concerns about future recruitment remain grave.

## d) Precautionary Approach

The fitted trajectory of stock biomass showed that the stock had been below its MSY level from the late 1970s to the late 1990s, with mortalities mostly near the MSY mortality level except for an episode of high predation mortality associated with a short-lived resurgence of cod in the late 1980s. In the late 1990s, with cod stocks at low levels, biomass started to increase at low mortalities to reach about 1.5 times the MSY level in 2003–06. Recent increases

in the cod stock coupled with high catches have been associated with slight declines in the modelled biomass, although mortality remains below the MSY level and the biomass still above  $B_{msy}$ .

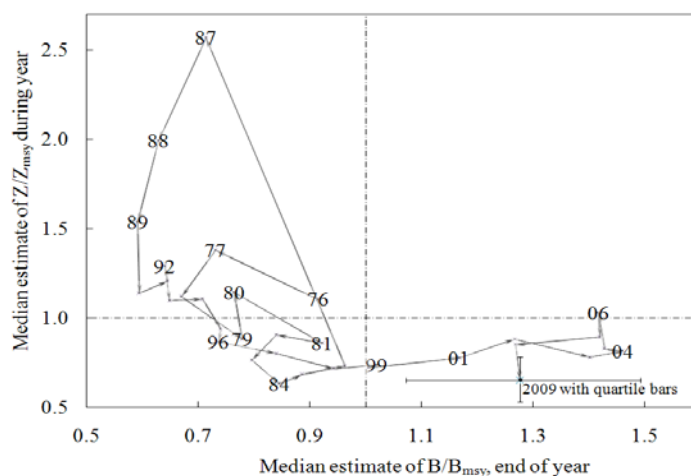


Fig. 3.8: Shrimp in SA 1 and Canadian SFA1: trajectory of past relative biomass and mortality.

Stock-dynamic modelling estimates the present stock status to be in the precautionary safe zone with biomass above the target level and mortality below  $Z_{msy}$ . With an ‘effective’ cod stock assumed at 10 000 t in 2010, catches up to 110 000 t would be associated with risks below 20% of transgressing either precautionary reference point. Higher catches in 2010 would be associated with rapidly increasing risks of exceeding  $Z_{msy}$ .

Predicted probabilities of transgressing precautionary limits in 2010 (risk table) under five catch options and predation by a cod stock with a biomass of 10 000 t:

Risk of:	Catch option ('000 t)				
	100	110	120	130	140
falling below $B_{msy}$ end 2010 (%)	15.4	16.8	17.4	18.1	19.9
falling below $B_{lim}$ end 2010 (%)	0.3	0.3	0.2	0.2	0.2
exceeding $Z_{msy}$ during 2010 (%)	3.0	6.7	12.6	21.4	30.9

In the medium term, with a 10 000 t cod stock, model results estimate catches of 120 000 t/yr to be associated with a very slowly deteriorating stock, above MSY level, with mortality below  $Z_{msy}$ . Catches of 130 000 t would be associated with a stock that still after 5 years would probably be within the safe zone. Higher catches would cause rapid deterioration of the state of the stock. With a 20 000 t cod stock, annual catches as low as 120 000 t are predicted to cause the stock status to deteriorate slowly.

Predicted probabilities of transgressing precautionary limits after 5 years in the fishery for northern shrimp on the West Greenland shelf with ‘effective’ cod stocks assumed at 10 000 t and 20 000 t.

Catch (Kt/yr)	Prob. $B < B_{msy}$ (%)		Prob. $B < B_{lim}$ (%)		Prob. $Z > Z_{msy}$ (%)	
	10 Kt	20 Kt	10 Kt	20 Kt	10 Kt	20 Kt
100	10.5	12.6	0.2	0.2	3.2	6.9
110	13.8	17.6	0.2	0.2	7.1	14.5
120	17.2	22.3	0.2	0.3	15.3	25.5
130	23.6	28.1	0.2	0.2	26.6	38.6
140	28.3	33.8	0.3	0.2	40.2	50.6



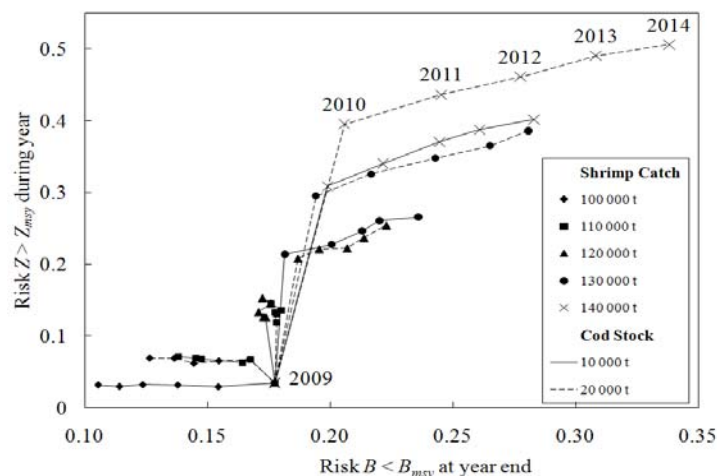


Fig. 3.9. Shrimp in SA 1 and Canadian SFA1: Risks of transgressing mortality and biomass precautionary limits for catches at 100 000 – 140 000 t projected over five years with ‘effective’ cod stock assumed at 10 000 or 20 000 t.

Medium term predictions were summarised by plotting the risk of exceeding  $Z_{msy}$  against the risk of falling below  $B_{msy}$  over 5 years for 5 catch levels, considering also two possible levels for the ‘effective’ cod stock (Fig. 3.9). The biomass risk changes with time, upwards or downwards depending on catch level and cod-stock level; the mortality risk depends immediately upon the assumed future catch and cod-stock levels, but changes less quickly with time. A 10 000 t change in the cod stock is practically equivalent to a 10 000 t change in catch. For catches of 100 000 t or 110 000 t the mortality risk is low and nearly constant over the projection period, while the biomass risk decreases as the stock is projected to grow. At a catch level of 120 000 t the stock is nearly stationary above  $B_{msy}$  if the effective cod stock is assumed near 10 000 t. With a cod stock at 20 000 t and a 120 000 t catch the risk of falling below  $B_{msy}$ , although it starts at about 20%, increases steadily with time as the stock is fished down. Catches of 130 000 t or 140 000 t are associated with higher and increasing risks of transgressing both precautionary limits whether the cod stock is assumed at 10 000 t or 20 000 t.

#### e) Research Recommendations

NIPAG **recommended** that, for shrimp off West Greenland (NAFO Subareas 0 and 1):

- *collaborative efforts should be made to standardise a means of predicting recruitment to the fishable stock;*
- *the adjustment of CPUE index series to take account of changes in the area of distribution of the fishery should be investigated;*
- *methods of ‘modal analysis’ for estimating age-class numbers should be further developed;*
- *improvements in the estimation of weight-length relationships, and their use in estimating sex-specific biomasses, should be investigated;*
- *downweighting of older data in the assessment model should be investigated.*

#### 4. Northern shrimp (in Denmark Strait and off East Greenland) – NAFO Stock

(SCR Doc. 03/74, 09/70)

##### a) Introduction

Northern shrimp off East Greenland in ICES Div. XIVb and Va is assessed as a single population. The fishery started in 1978 and, until 1993, occurred primarily in the area of Stredbank and Dohrbank as well as on the slopes of Storfjord Deep, from approximately 65°N to 68°N and between 26°W and 34°W.

In 1993 a new fishery began in areas south of 65°N down to Cape Farewell. From 1996 to 2005 catches in this area accounted for 50 - 60% of the total catch. In 2006 and 2007 catches in the southern area only accounted for 25% of the total catch falling to less than 10% in 2008. For catch data until October 2009 the southern area accounted for 25% of the total catch again.

A multinational fleet exploits the stock. During the recent ten years, vessels from Greenland, EU-Denmark, the Faroe Islands and Norway have fished in the Greenland EEZ. Only Icelandic vessels are allowed to fish in the Icelandic EEZ. At any time access to these fishing grounds depends strongly on ice conditions.

In the Greenland EEZ, the minimum permitted mesh size in the cod-end is 44 mm, and the fishery is managed by catch quotas allocated to national fleets. In the Icelandic EEZ, the mesh size is 40 mm and there are no catch limits. In both EEZs, sorting grids with 22-mm bar spacing to reduce by-catch of fish are mandatory. Discarding of shrimp is prohibited in both areas.

As the fishery developed, catches increased rapidly to more than 15 000 tons in 1987-88, but declined thereafter to about 9000 tons in 1992-93. Following the extension of the fishery south of 65°N catches increased again reaching 11 900 tons in 1994. From 1994 to 2003 catches fluctuated between 11 500 and 14 000 tons (Fig. 4.1). In 2004 the catches started dropping from 10 000 tons to a low of 3100 tons in 2008. 5 000 tons has been caught during the first 9 months of 2009. Catches in the Iceland EEZ decreased from 2002-2005 and since 2006 no catches has been taken.

Recent recommended and actual TACs (t) and nominal catches are as follows:

	2000 <sup>1</sup>	2001 <sup>1</sup>	2002 <sup>1</sup>	2003 <sup>1</sup>	2004	2005	2006	2007	2008	2009 <sup>2</sup>
Recommended TAC, total area	9 600	9 600	9 600	9 600	12 400	12 400	12 400	12 400	12 400	12 400
Actual TAC, Greenland EEZ	12 600	10 600	10 600	10 600	15 043	12 400	12 400	12 400	12 400	12 835
North of 65°N, Greenland EEZ	4 288	2 227	4 113	5 480	4 654	3 987	3 887	3 314	2 853	3 563
North of 65°N, Iceland EEZ	132	10	1 231	703	411	29	0	0	0	0
North of 65°N, total	4 420	2 237	5 344	6 183	5 065	4 016	3 887	3 314	2 853	3 563
South of 65°N, Greenland EEZ	7 632	11 674	5 985	6 522	4 951	3 737	1 302	1 286	265	1 327
TOTAL NIPAG	12 053	13 911	11 329	12 705	10 016	7 753	5 189	4 600	3 118	4 890

<sup>1</sup> Estimates 1998-2003 corrected for “overpacking”.

<sup>2</sup> Catches until October 2009

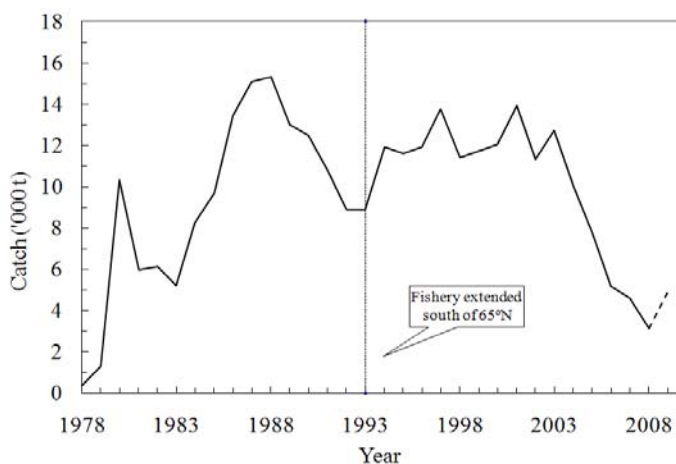


Fig. 4.1. Shrimp in Denmark Strait and off East Greenland: Total catches (2009 catches until October).

## b) Input Data

### i) Commercial fishery data

**Fishing effort and CPUE.** Data on catch and effort (hours fished) on a haul by haul basis from logbooks from Greenland, Iceland, Faroe Islands and EU-Denmark since 1980, from Norway since 2000 and from EU-France for the years 1980 to 1991 are used. Until 2005, the Norwegian fishery data was not reported in a compatible format and were not included in the standardized catch rates calculations. In 2006 an evaluation of the Norwegian logbook data from the period 2000 to 2006 was made and since then these data have been included in the standardized catch rate calculations. Since 1998 approximately 40% of all hauls were performed with double trawl and the 2009 assessment included both single and double trawl in the standardized catch rate calculations.

Catches and corresponding effort are compiled by year for two areas, one area north of 65°N and one south thereof. Standardised Catch-Per-Unit-Effort (CPUE) was calculated and applied to the total catch of the year to estimate the total annual standardised effort. Catches in the Greenland EEZ are corrected for “overpacking” (SCR Doc. 03/74).

The Greenlandic fishing fleet, catching 40% of the total catch from 1998 to 2005 and between 10% and 30% from 2006, has decreased its effort in recent years, and this creates some uncertainty as to whether recent values of the indices accurately reflect the stock biomass. There could be several reasons for decreasing effort, some possibly related to the economics of the fishery. The fishing opportunities off West Greenland seem to have been adequate in recent years and the fishing grounds off East Greenland are for several reasons a less desirable fishing area. Even though both effort and catches in East Greenland have declined, the catch rates (CPUE's) are still high; however, this could be partly because the fleet can concentrate effort in areas of high densities of sought-after size classes of shrimp.

North of 65°N standardized catch rates based on logbook data from Danish, Faroese, Greenlandic, Norwegian and Icelandic vessels declined continuously from 1987 to 1993 but showed a significant increase between 1993 and 1994. Since then rates have varied but shown a slightly increasing trend until 2008. From 2008 to 2009 the catch rate nearly doubled (provisional data for 2009) (Fig. 4.2).

In the southern area a standardized catch rate series from the same fleets, except the Icelandic, increased until 1999, and varied around this level until 2008. In 2009 the catch rate nearly doubled (provisional data) compared with 2008 (Fig. 4.3).

The combined standardized catch rate index for the total area decreased steadily from 1987 to 1993, and then showed an increasing trend until the beginning of the 2000s. The index stayed at or around this level until 2008, but nearly doubled in 2009 (until October) (Fig. 4.4).

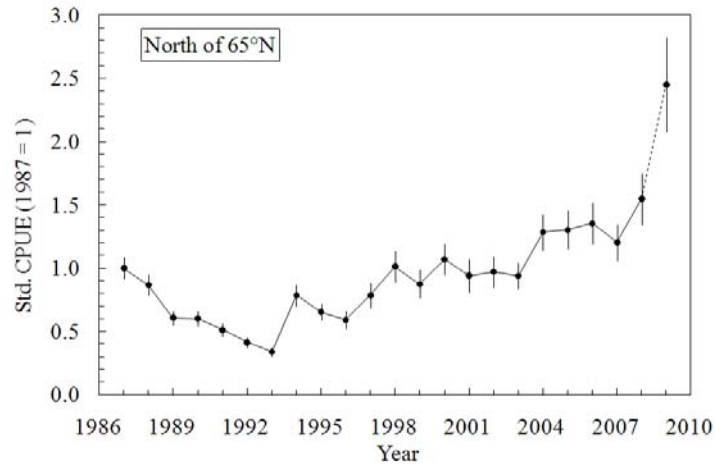


Fig. 4.2. Shrimp in Denmark Strait and off East Greenland: annual standardized CPUE (1987 = 1) with  $\pm 1$  SE calculated from logbook data from Danish, Faeroese, Greenland, Icelandic and Norwegian vessels fishing north of 65°N.

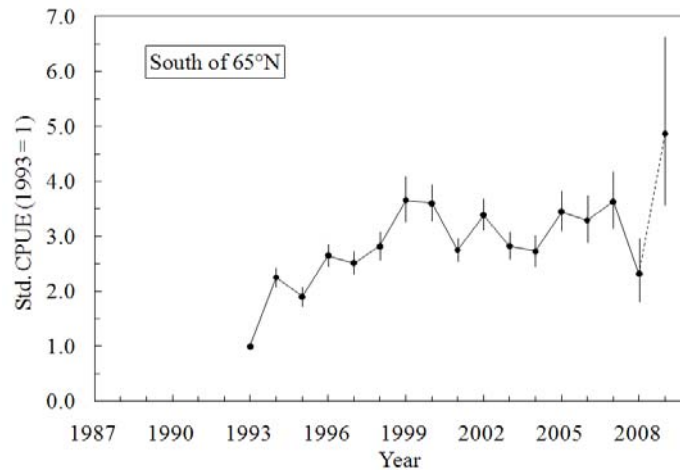


Fig. 4.3. Shrimp in Denmark Strait and off East Greenland: annual standardized CPUE (1993 = 1) with  $\pm 1$  SE calculated from logbook data from Danish, Faeroese, Greenland and Norwegian vessels fishing south of 65°N.

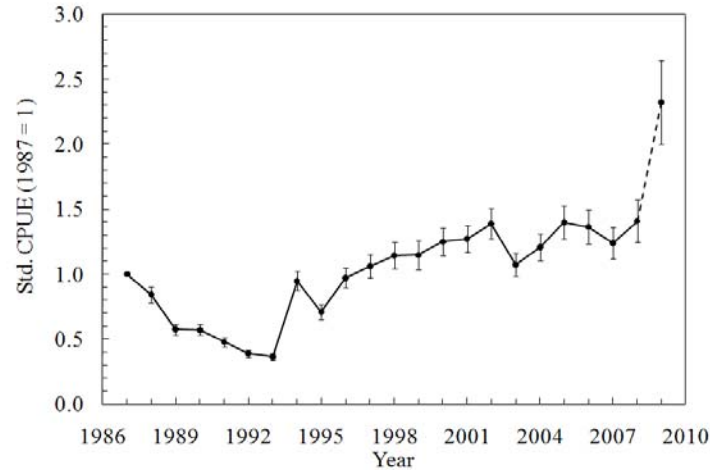


Fig. 4.4. Shrimp in Denmark Strait and off East Greenland: annual standardized CPUE-indices (1987 = 1) with  $\pm 1$  SE combined for the total area.

Standardized effort indices (catch divided by standardized CPUE) as a proxy for exploitation rate for the total area shows a decreasing trend since 1993. Recent levels are the lowest of the time series (Fig. 4.5).

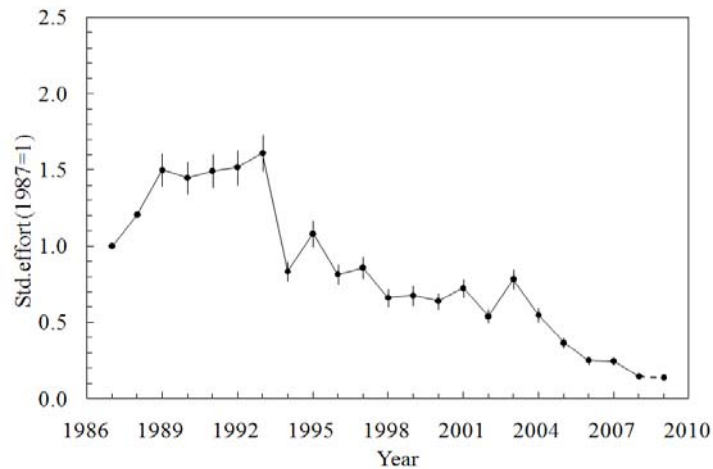


Fig. 4.5. Shrimp in Denmark Strait and off East Greenland: annual standardized effort indices, as a proxy for exploitation rate ( $\pm 1$  SE; 1987 = 1), combined for the total area.

#### Biological data

There are no biological data available.

#### Research survey data

A survey has been conducted in August/September 2009 and is the onset of a survey series.

Length distributions were obtained during the survey. The results were not available for this meeting.

#### Other studies

None

### c) Assessment Results

*CPUE.* Combined standardized catch-rate index for the total area decreased steadily from 1987 to 1993, showed an increase to a relatively high level at the beginning of the 2000s, and has fluctuated around this level until 2008. In 2009 (preliminary data) the standardized catch rate rose to the highest level ever seen, but probably does not reflect a corresponding increase in biomass.

*Recruitment.* No recruitment estimates were available.

*Biomass.* No direct biomass estimates were available.

*Exploitation rate.* Since the mid 1990s exploitation rate index (standardized effort) has decreased to its lowest levels in the series.

*State of the stock.* The stock biomass is believed to be at a relatively high level, and to have been there since the beginning of the 2000s.

### d) Research Recommendations

NIPAG **recommended** that, for shrimp in Denmark Strait and off East Greenland:

- *collaborative efforts should be made to standardize a means of predicting recruitment to the fishable stock.*

## 5. Northern shrimp in Skagerrak and Norwegian Deep (ICES Div. IIIa and IVa East) – ICES Stock

(SCR Doc. 09/58, 09/68, 69)

### a) Introduction

The shrimp in the northern part of ICES Div. IIIa (Skagerrak) and the eastern part of the Div. IVa (Norwegian Deep) is assessed as one stock and is exploited by Norway, Denmark and Sweden. The Norwegian and Swedish fisheries began at the end of the 19th century, while the Danish fishery started in the 1930s. All fisheries expanded significantly in the early 1960s. By 1970 the catches had reached 5 000 t and in 1981 they exceeded 10 000 t. Since 1992 the shrimp fishery has been regulated by a TAC, which has been around 16 000 t the last five years (Fig. 5.1, Table 5.1). In recent years an increasing number of the Danish vessels have started boiling the shrimp on board and landing the product in Sweden to obtain a better price. Most of the Danish catches are, however, still landed in home ports. In the Swedish and Norwegian fisheries approximately 50% of catches are boiled at sea (Quality A), and almost all catches are landed in home ports.

The TAC is shared according to historical landings, giving Norway the highest quota (55%), and Sweden the lowest (18%). In recent years the Swedish fishery has been constrained by the national quota, which may have resulted in 'high-grading' of the catch by the Swedish fleet. The recommended/suggested TACs until 2002 were based on catch predictions. However since 2003, no catch predictions have been available, and the recommended TACs have been based on recent landings. The shrimp fishery is also regulated by mesh size (35 mm stretched), and by restrictions in the amount of landed bycatch. The use of Nordmøre selective grids with un-blocked fish openings reduces bycatch significantly (SCR Doc. 09/069) and is used by an increasing number of vessels in all fleets. However, at present it is mandatory only in Swedish national waters.

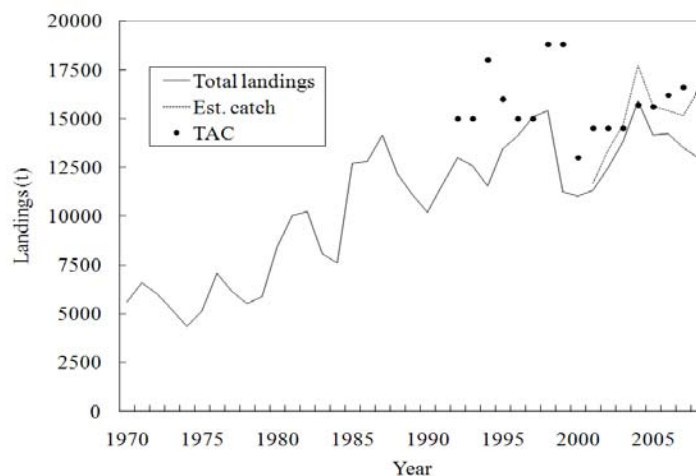


Fig. 5.1. Northern shrimp in Skagerrak and Norwegian Deep: TAC, total landings by all fleets, and total catch including estimated Swedish high-grading discards for 2001-2008 and Norwegian discards for 2007-2008.

Total catch has varied between 10 000 t and 18 000 t during the last 20 years. The catches in 2005 to 2008 have been around 15 000 to 16 000 t. The increase in total catches in 2008 compared with 2007 is due to the estimates of Norwegian and Swedish discards. Danish and Norwegian landings have decreased in 2008 compared with 2007 (Table 5.1 and Fig. 5.1). There are large uncertainties in both the Swedish and Norwegian estimated discards. Notice, that the Norwegian and Swedish landings have been corrected for weight loss caused by boiling.

Table 5.1. Northern shrimp in Skagerrak and Norwegian Deep: TACs, landings and estimated catches (t).

	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
Recommended TAC	19 000	19 000	11 500	13 400	12 600	14 700	15 300	13 000	14 000	14 000	15 000
Agreed TAC	18 800	18 800	13 000	14 500	14 500	14 500	15 690	15 600	16 200	16 600	16 300
Denmark	3 330	2 072	2 371	1 953	2 466	3 244	3 905	2 952	3 061	2 380	2 259
Norway	9 606	6 739	6 444	7 266	7 703	8 178	9 544	8 959	8 669	8 686	8 260
Sweden	2 469	2 445	2 225	2 108	2 301	2 389	2 464	2 257	2 488	2 445	2 479
Total landings	15 405	11 256	11 040	11 327	12 470	13 811	15 913	14 168	14 218	13 511	12 998
Est. Swedish high-grading				375	908	868	1 797	1 483	1 186	1 124	2 003
Est. Norwegian discards*										526	1 408
Total catch				11 702	13 378	14 679	17 710	15 651	15 404	15 161	16 409

\* Collection of discard data initiated in 2007

The Danish and Norwegian fleets have undergone major restructuring in recent years. In Denmark, the number of vessels targeting shrimp has decreased from 191 in 1987 to 24 in 2006 and only 11 in 2008. It is mostly the small trawlers (<24 m LOA) which have left the fishery and in 2008 the average length of the vessels was around 26 m (SCR Doc. 09/69). The efficiency of the gear has also increased due to twin trawl technology and increasing trawl sizes. In Norway there has been an increase in the number of smaller vessels (10-10.99 m LOA), and this length group is now the numerically dominant one, owing to the fact that vessels <11 m do not need a licence to fish. Vessels  $\geq 21$  m LOA constitute about 11% of the fleet. Some Norwegian fishers started using twin trawl around 2002, and the use is increasing. According to the Norwegian fisheries organization "Fiskarlaget", twin trawls are at present in use by 40-50 Norwegian trawlers. Quantitative information on these changes in gear is, however, not available from the logbooks. In the Norwegian logbooks only 9 vessels have systematically recorded their use of twin trawl over the last seven years. Corrections have been made (see assessment data). The Swedish specialized

shrimp fleet ( $\geq 10$  t/yr) has been around 40-50 vessels for a long time according to logbooks and there has not been any major change in trawl size or trawl design according to the Swedish net manufacturer. In Sweden the use of twin trawls in the *Pandalus* fishery is not yet common (SCR Doc. 09/69).

**Catch and discards.** Discarding of shrimp may take place in two ways: 1) discards of shrimp  $<15$  mm CL which are not marketable, even by the canning industry, and 2) high-grading discards of medium-sized and lower-value shrimp. The latter takes place primarily in the Swedish fleet, because of quota limits on total landed weight. The amount of high-grading discards in the Swedish fisheries was estimated to around 2 000 t in 2008 based on comparison of length distributions in Swedish and Danish landings (Fig. 5 in SCR Doc 09/69). The Danish length distribution for each year is scaled to fit the Swedish length distribution for the same year for the larger shrimp ( $\geq 21$  mm CL). This correction assumes that there is no discarding of the most valuable larger shrimp and that Swedish and Danish fisheries are conducted on the same grounds. The higher numbers in the Danish size groups  $<21$  mm CL are compared to the Swedish numbers, and the differences are then multiplied with the mean weights of each size group. The sum of mean weights by size group is considered as the weight of the Swedish discarding due to high-grading.

The uncertainties in this estimation have increased due to changes in the Swedish fishing pattern. Swedish shrimp trawlers have been avoiding grounds with small size composition in the catch. There is also an increasing part that voluntarily use 45 mm mesh size instead of legislated 35 mm.

In 2007 Norwegian discards were estimated by comparing length distributions of unprocessed commercial catches (sampling initiated in 2005) with those of landings (sampling initiated in 2007). Comparison of corresponding samples in 2008 gave negative discards, therefore the Norwegian landings were compared with the Danish landings as described for Swedish landings above.

**Bycatch and ecosystem effects.** In recent years, ICES has paid increasing attention to mixed fisheries in the North Sea area, especially those affecting stocks subject to recovery plans. In the shrimp fisheries in the North Sea and Skagerrak, there is bycatch of 10-20% of commercially valuable species, although regulations restrict the weights that may be landed. Since 1997, trawls used in Swedish national waters must be equipped with a Nordmøre grid, with bar spacing 19 mm, which excludes fish  $>20$  cm from the catch. Based on log-book information, landings delivered by vessels using this grid consist of 99% shrimp compared to only 80-90% in landings from trawls without grid (Table 5.2). In the area outside of Swedish national waters the grids are not mandatory, however, there has been an increase in their use, which constituted 33% of Swedish shrimp effort in 2008.

The effects of shrimp fisheries on the North Sea ecosystem have not been the subject of special investigation. It is known that deep-sea species such as Argentines, roundnose grenadier, rabbitfish, and sharks are frequently caught in shrimp trawls in the deeper parts of Skagerrak and the Norwegian Deep. However, no quantitative data on this mainly discarded catch component is available.



Table 5.2. Northern shrimp in Skagerrak and Norwegian Deep: Landings in the *Pandalus* fishery in 2008. Combined data from Danish and Swedish logbooks and Norwegian sale slips (t).

Species:	Sub-Div. IIIa, no grid		Sub-Div. IIIa, grid		Sub-Div. IVa East, no grid	
	Total (t)	% of total catch	Total (t)	% of total catch	Total (t)	% of total catch
Pandalus	9606	86.9	634	99.3	2126	77.0
Norway lobster	52	0.5	3	0.5	76	2.8
Angler fish	52	0.5	0	0.0	74	2.7
Whiting	9	0.1	0	0.0	5	0.2
Haddock	78	0.7	0	0.0	24	0.9
Hake	45	0.4	0	0.0	41	1.5
Ling	45	0.4	0	0.0	31	1.1
Saithe	510	4.6	0	0.0	233	8.4
Witch flounder	95	0.9	0	0.0	4	0.1
Norway pout	0	0.0	0	0.0	0	0.0
Cod	399	3.6	0	0.0	101	3.7
Other market fish	164	1.5	0	0.0	46	1.7

## b) Assessment Data

### i) Commercial fishery data:

**LPUE** The Danish catch and effort data from logbooks have been analysed and standardised (SCR Doc. 08/75, 09/69). A GLM standardisation of the LPUE series was performed on around 20 500 shrimp fishing trips conducted in the period 1987-2008:

$$\ln(\text{LPUE}) = \ln(\text{LPUE}_{\text{mean}}) + \ln(\text{vessel}) + \ln(\text{area}) + \ln(\text{year}) + \ln(\text{season}) + \text{error}$$

where ‘vessel’ denotes the horse power of the individual vessels, ‘year’ covers the period 1987-2008, ‘area’ covers Norwegian Deep and Skagerrak, ‘season’, in this case quarter, covers possible seasonal variation, and the variance of the error term is assumed to be normally distributed.

In the standardisation of the Norwegian LPUE (2000-2009) (SCR Doc. 09/68) a similar model was applied, but gear type (single and twin trawl) was also included as a variable:

$$\ln(\text{LPUE}) = \ln(\text{LPUE}_{\text{mean}}) + \ln(\text{vessel}) + \ln(\text{area}) + \ln(\text{year}) + \ln(\text{season}) + \ln(\text{gear}) + \text{error}$$

Here the variable ‘season’ denotes month and ‘gear’ covers single and twin trawl. Based on interviews with ship owners incorrect records of gear type were corrected. If reliable information on gear type was not received, the vessel was deleted from the data (8.6% of all recordings). In 2008, catches recorded in logbooks only included 20.5% and 26.4% of the respective landings in Divs. IIIa and IVa east. This is partly due to vessels <11 m not being required to fill in log-books. Unfortunately data are lacking also for larger vessels.

NIPAG decided to use both the Danish and Norwegian standardised LPUEs as the best available indicators for stock biomass (Fig. 5.2). The two time series show similar trends, increasing from 2000 to 2004, decreasing in 2005 and then increasing again until 2007. In 2008 both LPUE indices decreased and the Norwegian index decreased further in 2009 (preliminary data). However, since the mid-1990s the Danish standardised LPUE seems to fluctuate without any clear trend. NIPAG interprets this as a sign of stability of the stock.

The Swedish LPUE data were not used in the assessment (SCR Doc 09/69) because of uncertainties caused by discarding due to high-grading and lack of information necessary for standardization.

In previous assessments, estimates of harvest rates (H.R.) were estimated from landings and corresponding biomass indices from the Norwegian survey. Since the new survey only covers 4 years, a time series of standardised effort indices (total landings/Danish LPUE indices) has been estimated in addition to H.R. estimates for 2006-2008 (Fig. 5.3) Standardised effort seems to fluctuate without any clear trend indicating stability in the exploitation of the stock.

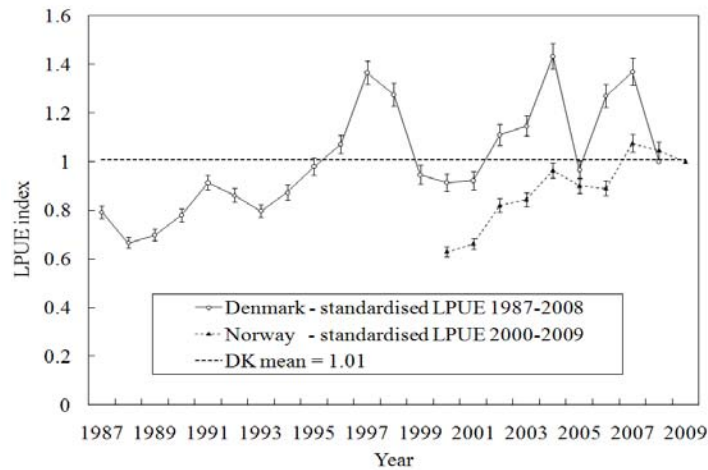


Fig. 5.2. Northern shrimp in Skagerrak and Norwegian Deep: Danish standardised LPUE until 2008 and Norwegian standardised LPUE until August 2009. Danish 2009 data were not available.

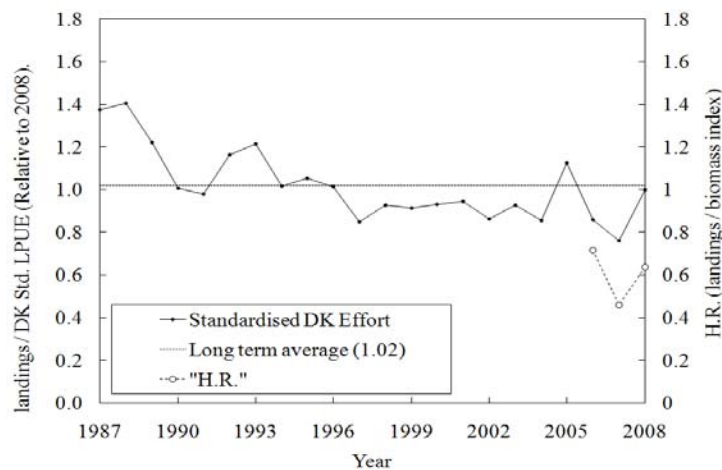


Fig. 5.3. Northern shrimp in Skagerrak and Norwegian Deep: Harvest rate (total landings/survey indices of biomass) and estimated standardised effort based on total landings and Danish standardised LPUE (1987-2008). Long term mean = 1.02

**ii) Sampling of landings.**

For cohort analysis purposes information on the size and subsequently age distribution of the landings are obtained by sampling the landings. The samples also provide information on sex distribution and maturity (SCR Doc. 09/69).

**iii) Survey data**

The Norwegian shrimp survey has gone through large changes in recent years (SCR Doc. 09/58) resulting in a series of four different surveys, lasting from one to nineteen years. NIPAG (2004) strongly recommended the survey to be

conducted in the 1st quarter as it gives good estimates of the 1-group (recruitment) and female biomass (SSB). Thus, a new time series at the most optimal time of year was established in 2006.

There was no trend in the annual survey biomass estimates from the mid 1990s to 2002, when the first series was discontinued. The 2004 and 2005 mean values of a new biomass index series were not statistically different (Fig. 5.4). In 2008 the index declined back to the 2006 level, and in 2009 the index has shown a further decline.

The abundance of age 1 shrimp in 2006 was equal to the abundance of age 1 shrimp in 2007. However the recruitment in 2008 and 2009 (age 1) is only 1/3-1/4 of the recruitment in the two previous years (Fig 5.5). NIPAG has, however, noticed that a decline in recruitment in a particular year has rarely caused serious decreases in adult biomass in subsequent years, and this stock has been fluctuating around a stable level for many years.

SSB (female biomass) has been calculated for the years 2006-2009 (Fig. 5.6). The index follows the overall biomass index, increasing from 2006 to 2007, then declining back to the 2006-level in 2008 and further declining in 2009

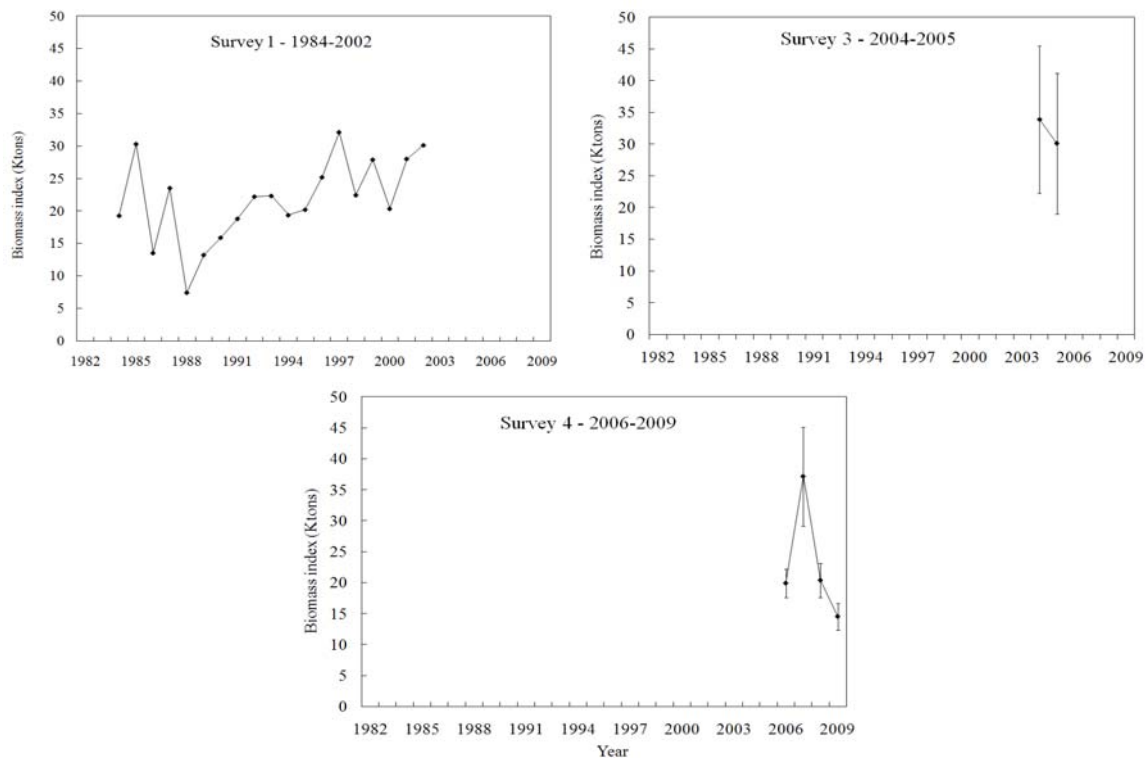


Fig. 5.4. Northern shrimp in Skagerrak and Norwegian Deep: Estimated survey biomass indices in 1984 to 2009. The four surveys are not calibrated to a common scale. Standard errors (error bars) have been calculated for the 2004-2009 surveys. Survey 1: October/November 1984-2002 with Campelen-trawl; Survey 2: October/November 2003 with shrimp trawl 1420 (not shown); Survey 3: May/June 2004-2005 with Campelen trawl; Survey 4: January/February 2006-2009 with Campelen trawl.

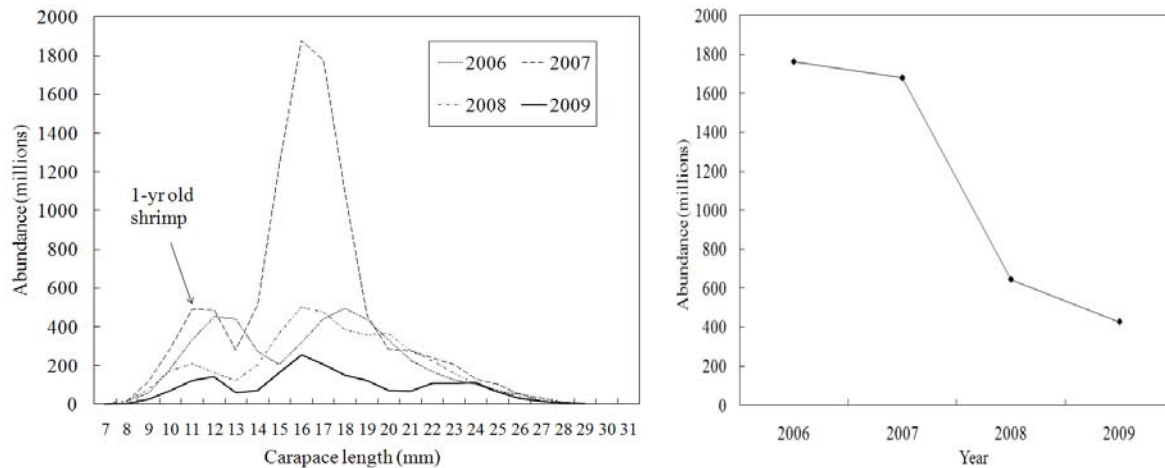


Fig. 5.5. Northern shrimp in Skagerrak and Norwegian Deep: Estimated length frequency distribution from the Norwegian shrimp surveys in 2006-2009, and recruitment indices from the same years. The recruitment index is calculated as the abundance of age 1 shrimp (the first mode in the length frequency distribution).

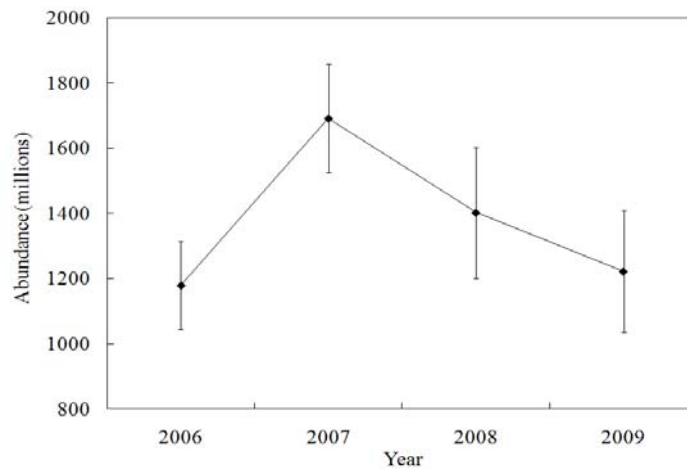


Fig. 5.6. Northern shrimp in Skagerrak and Norwegian Deep: SSB abundance from the Norwegian shrimp surveys in 2006-2009. The abundance index of the spawning stock is calculated as the abundance of females (except females in a resting stage). Error bars are SE.

The total index of shrimp predator biomass was estimated to 94.1 kg/nm in 2009, which is a decrease compared with 244.7 kg/nm in 2008 (SCR Doc. 09/58, Table 5.3). Variation in the predator biomass index is heavily influenced by variations in the saithe index, which in turn is influenced by which stations are trawled.

Table 5.3. Northern shrimp in Skagerrak and Norwegian Deep: Estimated indices of predator biomass (catch in kg per towed nautical miles) from the Norwegian shrimp survey in 2006-2009.

Species	biomass index			
	2006	2007	2008	2009
Blue whiting	0.13	0.13	0.12	1.21
Saithe	7.33	39.75	208.32	53.89
Cod	0.51	1.28	0.78	2.01
Roundnose Grenadier	3.22	6.85	19.02	19.03
Rabbit fish	2.24	2.15	3.41	3.26
Haddock	0.97	4.21	1.85	3.18
Redfishes	0.18	0.40	0.26	0.43
Velvet Belly	1.31	2.58	1.95	2.42
Skates, Rays	0.41	0.95	0.64	0.17
Long Rough Dab	0.22	0.64	0.42	0.28
Hake	0.98	0.78	0.64	2.56
Angler	0.15	0.91	0.87	1.25
Witch	0.24	0.74	0.54	0.16
Dogfish	0.31	0.19	0.28	0.14
Whiting	0.35	1.01	1.35	3.02
Blue Ling	0	0	0	0
Ling	0.04	0.11	0.34	0.79
Fourbearded Rockling	0.06	0.14	0.04	0.03
Cusk	0.20	0	0.02	0.05
Halibut	0.08	0.07	3.88	0.09
Pollack	0.06	0.25	0.03	0.13
Greater Fork-beard	0	0	0	0.01
<b>Total</b>	<b>18.99</b>	<b>63.14</b>	<b>244.76</b>	<b>94.11</b>

### c) Assessment Results

The 2007 assessment was based solely on Danish LPUE data, while the 2008 assessment was based on evaluation of both Danish and Norwegian standardised LPUEs, standardised effort from the fishery in 1987-2007, and the survey indices of recruitment and biomass. The 2009 assessment is based on the same indices as the 2008 assessment.

**LPUE.** The standardised Danish and Norwegian LPUEs show similar fluctuations since 2000 (Fig. 5.2). Since the mid-1990s the Danish standardised LPUE seems to fluctuate without any clear trend. NIPAG interprets this as a sign of stability of the stock. However, in 2008 both LPUE indices decreased and the Norwegian index decreased further in 2009 (preliminary data).

**Recruitment.** The recruitment in 2009 (age 1) has decreased slightly from last year and seems to be only 1/4 of the recruitment in 2006-2007 (Fig 5.5).

**Survey biomass.** The biomass index has decreased since 2007.

**State of the stock.** The LPUE indices indicate that the stock has been fluctuating without any clear trends since the mid-1990s. The 2008 stock indices are at lower levels than in 2007, and the survey indices for 2009 continue this decrease. This could indicate a decrease in stock biomass from 2007 to 2009. The recruitment in both 2008 and 2009 is lower than in 2006-2007 and may imply a further decline in stock biomass in 2010.

#### **d) Biological Reference Points**

No reference points were provided in this assessment.

#### **e) Management Recommendations**

NIPAG **recommends** that, for shrimp in Skagerrak and Norwegian Deep:

- *sorting grids or other means of facilitating the escape of fish should be implemented in this fishery.*
- *all Norwegian vessels should be required to fill in and deliver log books.*

#### **f) Research Recommendations**

NIPAG **recommends** that, for shrimp in Skagerrak and Norwegian Deep:

- *collaborate efforts should be made to standardize a means of predicting recruitment to the fishable stock.*
- *the Norwegian shrimp survey should be continued on an annual basis*
- *Differences in recruitment and stock abundance between Skagerrak and the Norwegian Deep should be explored.*

### **6. Northern Shrimp in Barents Sea and Svalbard area (ICES SA I and II) – ICES Stock**

(SCR Doc. 04/12, 06/64, 70; 07/86; 08/56; 09/61, 62, 63)

#### **a) Introduction**

Northern shrimp (*Pandalus borealis*) in the Barents Sea and in the Svalbard zone (ICES Sub-areas I and II) is considered as one stock. Norwegian and Russian vessels exploit the stock in the entire area, while vessels from other nations are restricted to the Svalbard fishery zone.

Norwegian vessels initiated the fishery in 1970. As the fishery developed, vessels from several nations joined and the annual catch reached 128 000 t in 1984 (Fig. 6.1). During the recent decade catches have varied between 26 000 and 83 000 t/yr, 70–90% of these were taken by Norwegian vessels and the rest by vessels from Russia, Iceland, Greenland and the EU (Table 6.1).

There is no TAC established for this stock. The fishery is partly regulated by effort control. Licenses are required for the Russian and Norwegian vessels. The fishing activity of these license holders are constrained only by bycatch regulations (see below) whereas the activity of third country fleets operating in the Svalbard zone is also restricted by the number of effective fishing days and the number of vessels by country. The minimum stretched mesh size is 35 mm. Other species are protected by mandatory sorting grids and by the temporary closing of areas where excessive bycatch of juvenile cod, haddock, Greenland halibut, redfish or shrimp <15 mm CL is registered.

The fishery is conducted mainly in the Hopen area (central Barents Sea) and on the Svalbard Shelf. The fishery takes place in all months but may in certain years be restricted by ice conditions. The lowest effort is generally seen in October through March, the highest in May to August.

*Catch.* Overall catches have ranged from 5 000 to 128 000 t/yr (Fig. 6.1). The most recent peak was seen in 2000 at approximately 83 000 t. Catches thereafter declined to about 26 000 t in 2008 due to reduced profitability of the fishery (reduced shrimp prices and increased fuel prices). Based on information from the industry, catch statistics until August and the seasonal fishing pattern of the most recent years the 2009 catches are estimated at 23 000 t.

Table 6.1. Shrimp in ICES SA I and II : Catches (1999 – 2008) and projected catches (2009) in metric tons, as used by NIPAG for the assessment.

	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009 <sup>1</sup>
Recommended TAC	-	-	-	-	-	-	41 299 <sup>2</sup>	40 000	50 000	50 000	50 000
Norway	52 612	55 333	43 031	48 799	34 172	35 918	36 966	27 352	25 403	20 638	19 000
Russia	10 765	19 596	5 846	3 790	2 186	1 170	933	0	9	370	0
Others	12 292	8 241	8 659	8 899	1 599	4 211	3 519	2 282	3 765	5 129	4 000
Total	75 669	83 170	57 536	61 488	37 957	41 299	41 418	29 634	29 177	26 137	23 000

<sup>1</sup> Catches projected to the end of the year;

<sup>2</sup> Should not exceed the 2004 catch level (ACFM, 2004).

*Discards and bycatch.* Discard of shrimp cannot be quantified but is believed to be small as the fishery is not limited by quotas. Bycatch rates of other species are estimated from surveillance and research surveys and are corrected for differences in gear selection pattern (SCR Doc. 07/86). The bycatch rates in specific areas are then multiplied by the corresponding shrimp catch from logbooks to give the overall bycatch.

Since the introduction of the Nordmøre sorting grid in 1992, only small cod, haddock, Greenland halibut, and redfish in the 5–25 cm size range are caught as bycatch. The bycatch of small cod ranged between 2–67 million individuals/yr and redfish between 2–25 million individuals/yr since 1992, while 1–9 million haddock/yr and 0.5–14 million Greenland halibut/yr were registered in the period 2000–2004 (Fig. 6.2). In recent years there has been a decline in bycatch following a reduced effort in the shrimp fishery. Details of bycatch is reported in AFWG.

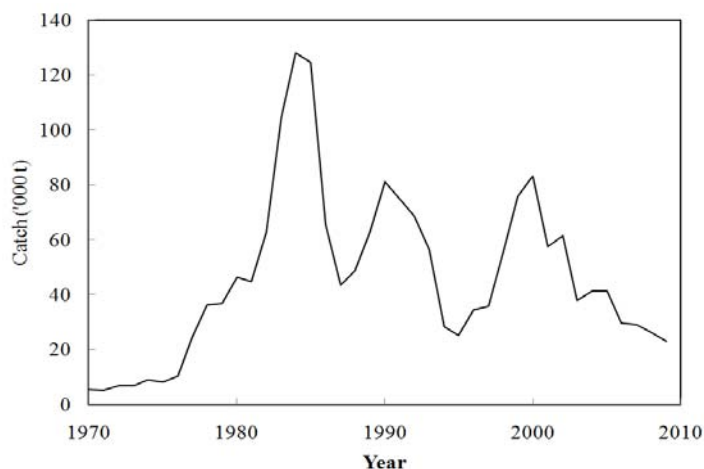


Fig. 6.1. Shrimp in ICES SA I and II: total catches 1970–2009 (2009 projected to the end of the year).

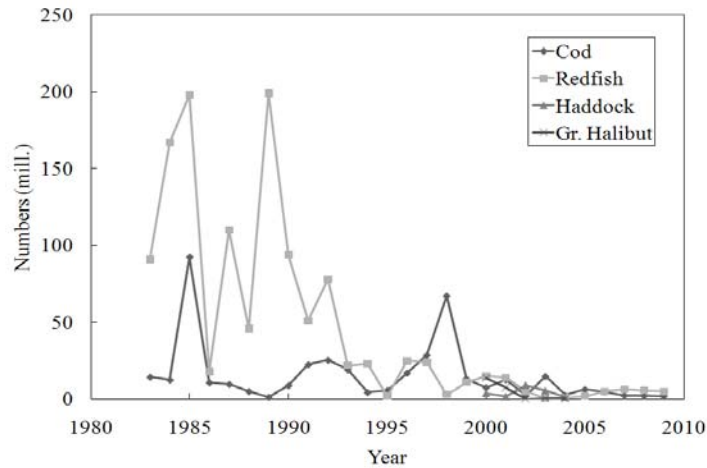


Fig. 6.2. Shrimp in ICES SA I and II: Estimated bycatch of cod, haddock, Greenland halibut and redfish in the Norwegian shrimp fishery (million individuals).

*Environmental considerations.* The trend in the period 1996–2006 has been of warming and increased salinity in the upper layers of the ocean. The summer temperatures decreased in 2007 and 2008, but the temperatures in late winter 2008 (March) were record-high in the western Barents Sea. However, as the Atlantic inflow in late March and April was well below average, the typical temperature increase in spring did not occur in 2008. In summary the climatic situation in the Barents Sea has been somewhat extraordinary in 2008. The low temperatures in spring may increase the mortality of young shrimp.

In late winter 2009 the bottom temperatures in the northern Hopen Trench were below the long-term mean and 0.5–1°C colder than in the winter of 2008. In late summer 2009 most of the Barents Sea had bottom temperatures above the long-term mean (in particular the areas east of 40°E). The recent shift eastwards in shrimp distribution as observed in the survey (Fig. 6.3) may be explained by the changes in ocean climate, with shrimp found mainly in 0–4°C water.



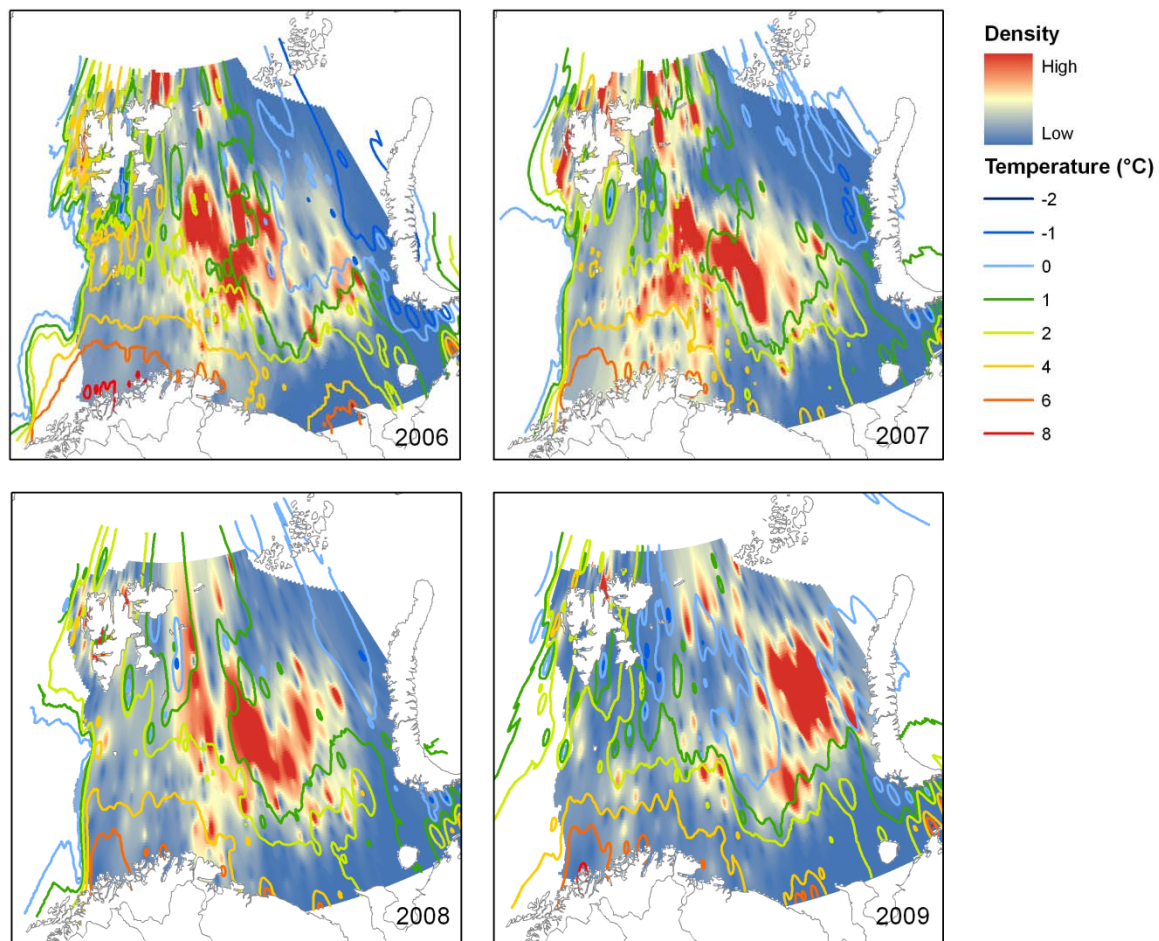


Fig. 6.3. Shrimp in ICES SA I and II: Bottom temperature contour overlays from the 2006 to 2009 ecosystem surveys on shrimp density distributions.

## b) Input Data

### i) Commercial fishery data

A major restructuring of the shrimp fishing fleet towards fewer and larger vessels has taken place since the mid-1990s. At that time an average vessel had around 1 000 horse powers (HP); 10 years later this value had increased to more than 6 000 HP (Fig. 6.4). Until 1996 the fishery was conducted by using single trawls only. Double trawls were then introduced, and in 2002 approximately  $\frac{2}{3}$  of the total effort spent was by using two trawls simultaneously. In 2000 a few vessels started to experiment with triple trawls: 50% of the effort in 2009 is accounted for by this fishing method (Fig. 6.5). An individual vessel may alternate between single and multiple trawling depending on what is appropriate on given fishing grounds.

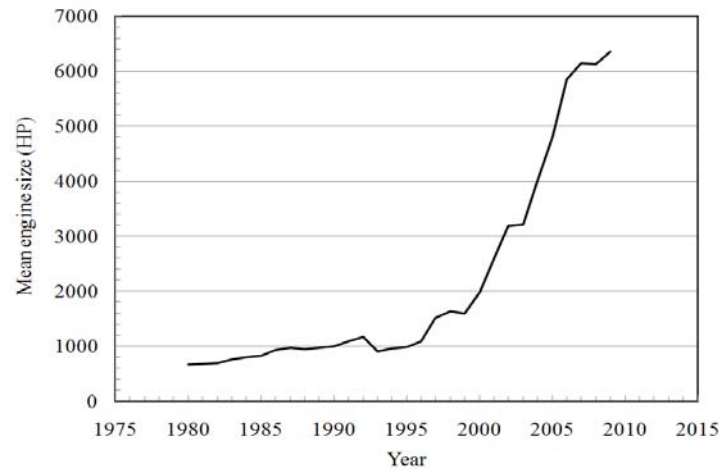


Fig. 6.4. Shrimp in ICES SA I and II: Mean engine size (horse power) of trawling in the years 1980–2009.

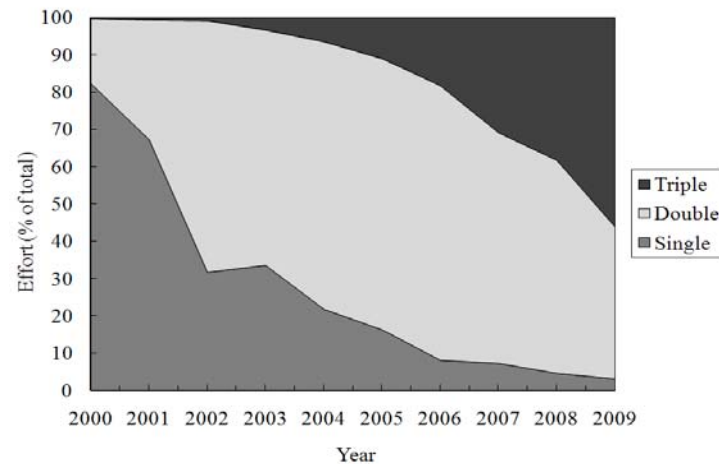


Fig. 6.5. Shrimp in ICES SA I and II: Percentage of total fishing effort spent by using single, double or triple trawls 2000–2009. Norwegian data.

Norwegian logbook data were used in a multiplicative model (GLM) to calculate standardized annual catch rate indices (SCR Doc. 09/62). The new index series based on individual vessels rather than vessel groups was introduced in 2008 (SCR Doc. 08/56) in order to take into account the changes observed in the fleet. The GLM model to derive the CPUE indices included the following variables: (1) vessel, (2) season (month), (3) area and (4) gear type (single, double or triple trawl). The resulting series is assumed to be indicative of the biomass of shrimp  $\geq 17$  mm CL, *i.e.* females and older males.

The standardized CPUE declined by 60% from a maximum in 1984 to the lowest value of the time series in 1987 (Fig. 6.6). Since then it has showed an overall increasing trend. A new peak was reached in 2006. The 2007 to 2009 mean values are all about 10% lower than the 2006-value, but is still above the average of the series. The standardized effort (Fig. 6.7) has shown a decreasing trend since 2000.

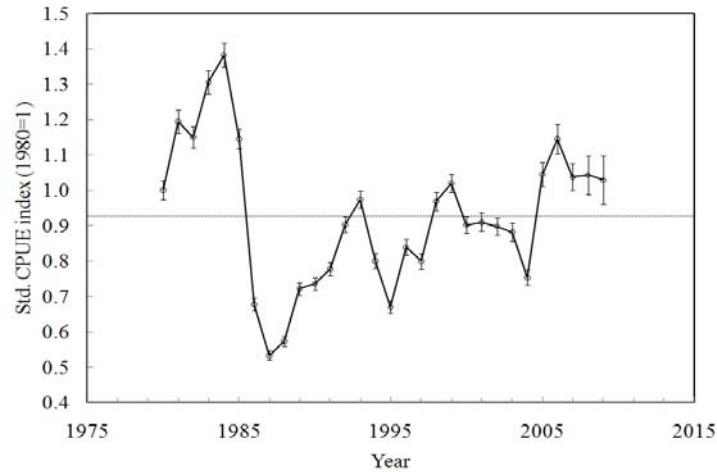


Fig. 6.6. Shrimp in ICES SA I and II: standardized CPUE based on Norwegian data. Error bars represent one standard error; dotted line is the overall mean of the new series.

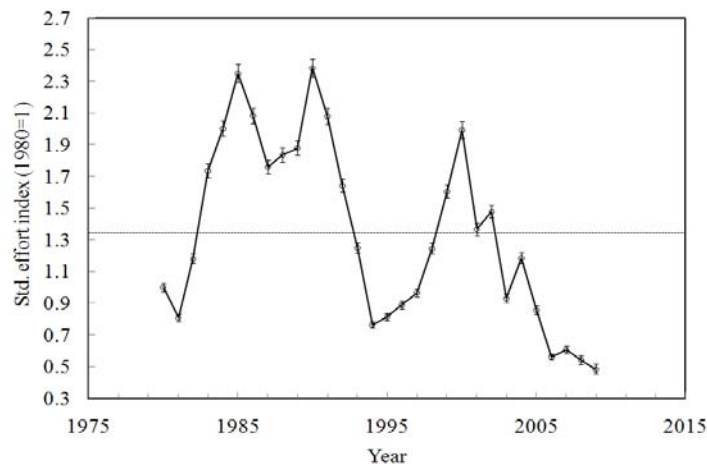


Fig. 6.7. Shrimp in ICES SA I and II: Standardized effort (Catch divided with standardized CPUE). Error bars represent one standard error; dotted line is the overall mean of the series.

## ii) Research survey data

Russian and Norwegian shrimp surveys have been conducted to assess the stock status of northern shrimp in their respective EEZs of the Barents Sea since 1982 (SCR Doc. 06/70, 07/75). The main objectives were to obtain indices for stock biomass, abundance, recruitment and demographic composition. In 2004, these surveys were replaced by the joint Norwegian-Russian "Ecosystem survey" which monitors shrimp along with a multitude of other ecosystem variables.

The Norwegian shrimp survey 1982–2004, representing the most important shrimp grounds for that period, and the Joint Russian Norwegian Ecosystem survey 2004-present representing the entire area was used as input for the assessment model.

*Biomass.* The Biomass indices of the Norwegian shrimp survey have varied in a cyclic manner with periods of approximately 7 years since the start of the series in 1982 (Fig. 6.8).

The Ecosystem survey has not been calibrated to the ones discontinued in 2004. The estimate of mean biomass increased by about 66% from 2004 to 2006 and then decreased again to the 2004-level in 2008 (Fig. 6.8). The 2009 value is 20% up compared to 2008.

The geographical distribution of the stock in 2009 is more easterly compared to that of the previous years (Fig. 6.9).

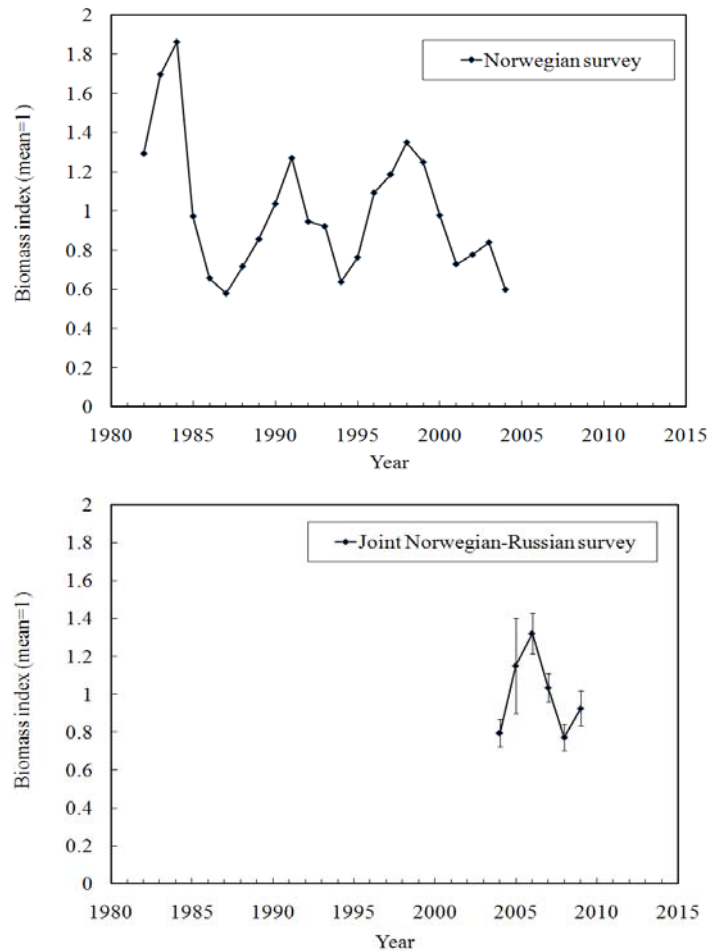


Fig. 6.8. Shrimp in ICES SA I and II: Indices of total stock biomass from the 1982-2004 Norwegian shrimp survey (*upper panel*) and the joint Russian-Norwegian ecosystem survey (*lower panel*). Error bars represent one standard error.

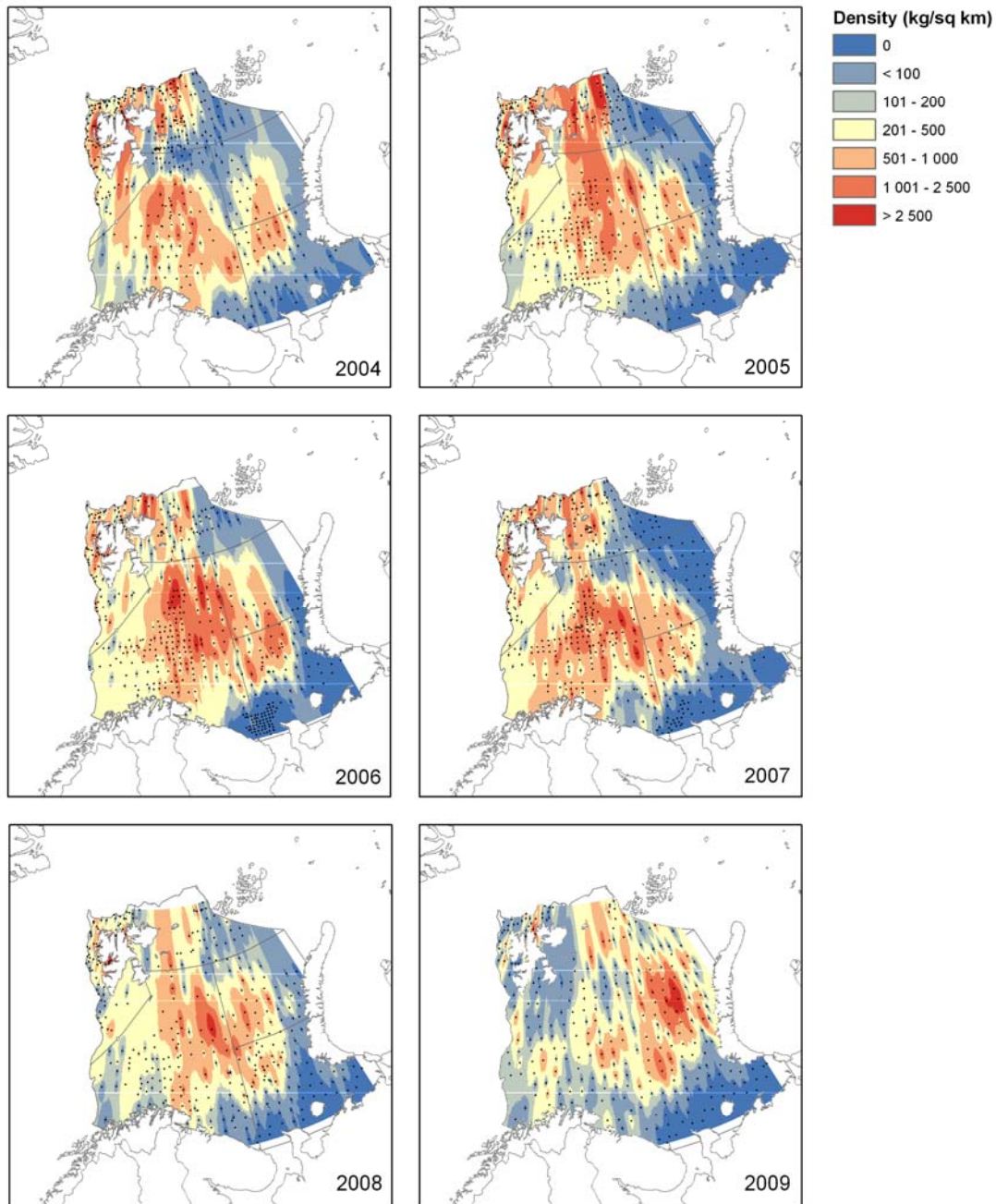


Fig. 6.9. Shrimp in ICES SA I and II: Shrimp density ( $\text{kg}/\text{km}^2$ ) as calculated from the Ecosystem survey data 2004–2009).

*Length composition.* Overall size distributions (Fig. 6.10) indicate a relatively large amount of smaller shrimp in 2004 which resulted in the increase in stock biomass until 2006 (Fig. 6.8). The recruitment index – estimated abundance of shrimp at 13–17mm CL supposed to enter the fishery in the following 1–2 years) decreased from 2004 to 2008 (Fig. 6.11). Demographic information was not available for 2009.

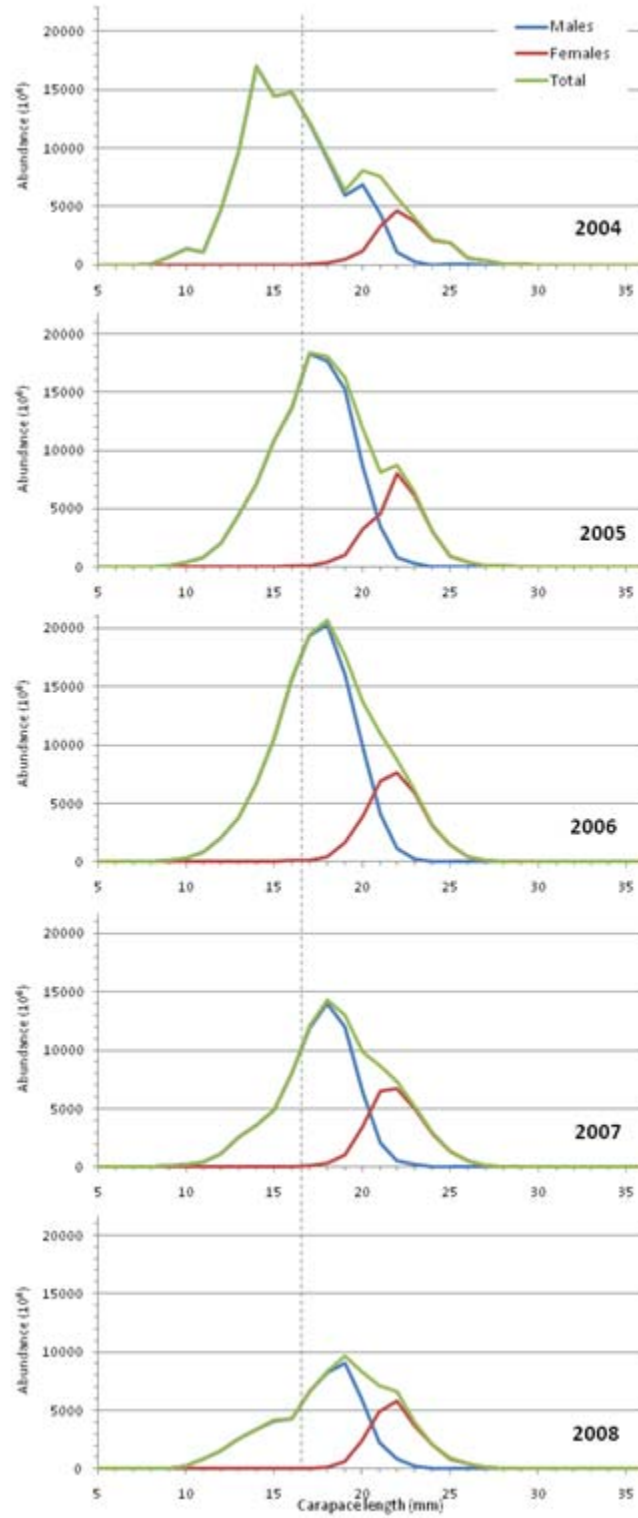


Fig. 6.10. Shrimp in ICES SA I and II: size distribution of males, females and total 2004–2008 (no data available for 2009).

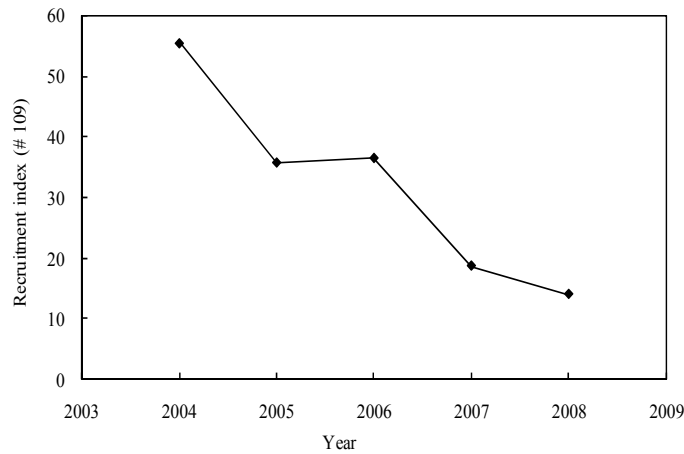


Fig. 6.11. Shrimp in ICES SA I and II: Index of recruitment: abundance of shrimp at size 13–17 mm CL (no data available for 2009).

### c) Estimation of Parameters

The modelling framework introduced in 2006 (Hvingel, 2006) was used for the assessment. All model settings were kept similar to the ones used in previous years and input data was similar to last year's except for the addition of an extra year of data.

Within this model parameters relevant for the assessment and management of the stock is estimated, based on a stochastic version of a surplus-production model. The model is formulated in a state-space framework and Bayesian methods are used to construct "posterior" likelihood distributions of the parameters (SCR Doc. 09/63).

The model synthesized information from input priors, three independent series of shrimp biomass and one series of shrimp catch. The three series of shrimp biomass indices were: a standardized series of annual commercial-vessel catch rates for 1980–2009 (SCR Doc. 09/62); and two trawl-survey biomass index for 1982–2004 and 2004–2009 (SCR Doc. 07/75, 09/61). These indices were scaled to true biomass by catchability parameters and lognormal observation errors were applied. Total reported catch in ICES Div. I and II 1970–2009 was used as yield data (Fig. 6.1, SCR Doc. 09/62). The fishery being without major discarding problems or variable misreporting, reported catches were entered into the model as error-free.

Absolute biomass estimates had relatively high variances. For management purposes, it was therefore desirable to work with biomass on a relative scale in order to cancel out the uncertainty of the "catchability" parameters (the parameters that scale absolute stock size). Biomass,  $B$ , was thus measured relative to the biomass that would yield Maximum Sustainable Yield,  $B_{msy}$ . The estimated fishing mortality,  $F$ , refers to the removal of biomass by fishing and is scaled to the fishing mortality at MSY,  $F_{msy}$ . The state equation describing stock dynamics took the form:

$$P_{t+1} = \left( P_t - \frac{C_t}{B_{MSY}} + \frac{2 MSY P_t}{B_{MSY}} \left( 1 - \frac{P_t}{2} \right) \right) \cdot \exp(v_t)$$

where  $P_t$  is the stock biomass relative to biomass at MSY ( $P_t = B_t / B_{MSY}$ ) in year  $t$ . This frames the range of stock biomass ( $P$ ) on a relative scale where  $P_{MSY} = 1$  and the carrying capacity denoted  $K = 2$ . The 'process errors',  $v$ , are normally, independently and identically distributed with mean 0 and variance  $\sigma_v^2$ .

The observation equations had lognormal errors,  $\omega$ ,  $\kappa$  and  $\varepsilon$ , giving:

$$CPUE_t = q_C B_{MSY} P_t \exp(\omega_t)$$

$$survR_t = q_R B_{MSY} P_t \exp(\kappa_t)$$

$$survE_t = q_E B_{MSY} P_t \exp(\varepsilon_t)$$

The observation error terms,  $\omega$ ,  $\kappa$  and  $\varepsilon$  are normally, independently and identically distributed with mean 0 and variance  $\sigma_\omega^2$ ,  $\sigma_\kappa^2$  and  $\sigma_\varepsilon^2$ .

Estimates of selected parameters are shown in Table 6.2.

Table 6.2. Shrimp in ICES SA I and II : Summary of parameter estimates: mean, standard deviation (sd) and 25, 50, and 75 percentiles of the posterior distribution of selected parameters (symbols are as in the text). MSY = Maximum Sustainable Yield (kt), K = carrying capacity, r = intrinsic growth rate, qC, qR and qE are catchability parameters,  $P_{1970}$  = the ‘initial’ stock biomass in 1970,  $\sigma$  = CV of CPUE and surveys, and  $\sigma_p$  = the process error.

	Mean	Sd	25 %	Median	75 %
<i>MSY</i> (ktons)	254	190	114	201	343
<i>K</i> (ktons)	3289	1850	1872	2864	4288
<i>R</i>	0.32	0.16	0.20	0.31	0.43
<i>q<sub>R</sub></i>	0.14	0.10	0.07	0.10	0.17
<i>q<sub>E</sub></i>	0.19	0.14	0.09	0.14	0.24
<i>q<sub>C</sub></i>	4.87E-04	3.71E-04	2.38E-04	3.75E-04	6.18E-04
<i>P<sub>1970</sub></i>	1.50	0.26	1.33	1.51	1.68
<i>P<sub>2009</sub></i>	1.85	0.42	1.63	1.86	2.08
$\sigma_R$	0.18	0.03	0.16	0.18	0.20
$\sigma_E$	0.17	0.04	0.14	0.16	0.19
$\sigma_C$	0.13	0.02	0.11	0.12	0.14
$\sigma_p$	0.19	0.03	0.17	0.19	0.21

#### d) Assessment Results

The results of this year’s model run are similar to those of the three previous years.

*Stock size and fishing mortality.* Since the 1970s, the estimated median biomass-ratio has been above its MSY-level (Fig. 6.12) and the probability that it had been below the optimum level ( $B_{msy}$ ) was small for most years, *i.e.* it seemed likely that the stock had been at or above its MSY level since the start of the fishery (SCR Doc. 09/63).



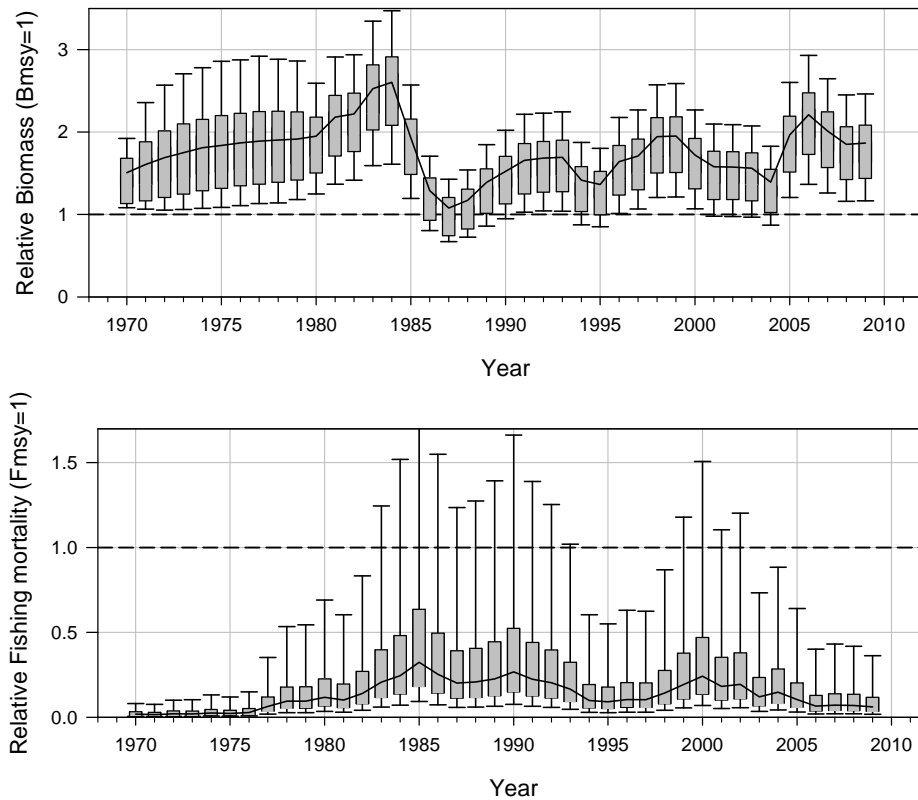


Fig. 6.12. Shrimp in ICES SA I and II: estimated relative biomass ( $B_t/B_{msy}$ ) and fishing mortality ( $F_t/F_{msy}$ ) 1970–2009. Boxes represent inter-quartile ranges and the solid black line at the (approximate) centre of each box is the median; the arms of each box extend to cover the central 95% of the distribution.

A steep decline in stock biomass was noted in the mid 1980s following some years with high catches and the median estimate of biomass-ratio went close to the optimum (Fig. 6.12). Since the late 1990s the stock has varied with an overall increasing trend and reached a level in 2009 estimated to be close to 80%  $K$ . The estimated risk of stock biomass being below  $B_{msy}$  in 2009 was 3% (Table 6.3). The median fishing mortality ratio ( $F$ -ratio) has been well below 1 throughout the series (Fig. 6.12). In 2009 there is 1% risk of the  $F$ -ratio being above  $F_{msy}$  (Table 6.3).

Table 6.3. Shrimp in ICES SA I and II: stock status for 2008 and predicted to the end of 2009. ( $F_{msy}=F_{lim}$ ;  $1.7F_{msy}$ =fishing mortality that corresponds to a  $B_{lim}$  at  $0.3B_{msy}$ ).

Status	2008	2009*
Risk of falling below $B_{lim}$ ( $0.3B_{MSY}$ )	0.0 %	0.0 %
Risk of falling below $B_{MSY}$	3.0 %	2.9 %
Risk of exceeding $F_{MSY}$	1.2 %	1.0 %
Risk of exceeding $1.7F_{MSY}$	0.6 %	0.5 %
Stock size (B/B <sub>msy</sub> ), median	1.84	1.84
Fishing mortality (F/F <sub>msy</sub> ),	0.14	0.14
Productivity (% of MSY)	30 %	30 %

\*Predicted catch = 23ktons

For stocks assessed with production models, the NAFO Scientific Council has developed limit reference points for stock size ( $B_{lim}$  at 30% of  $B_{msy}$ ) and for fishing mortality ( $F_{lim}$  at 100% of  $F_{msy}$ ) (SCS Doc. 04/12) (the reference point  $1.7 F_{msy}$  is discussed in the ‘Other studies’-section).

Estimated median biomass has been above  $B_{lim}$ . Fishing mortality ratio has been below  $F_{lim}$  throughout the time series (Fig. 6.13). At the end of 2009 there is less than 1% risk that the stock would be below  $B_{lim}$ , while the risk that  $F_{lim}$  was exceeded is 1% (Table 6.3).

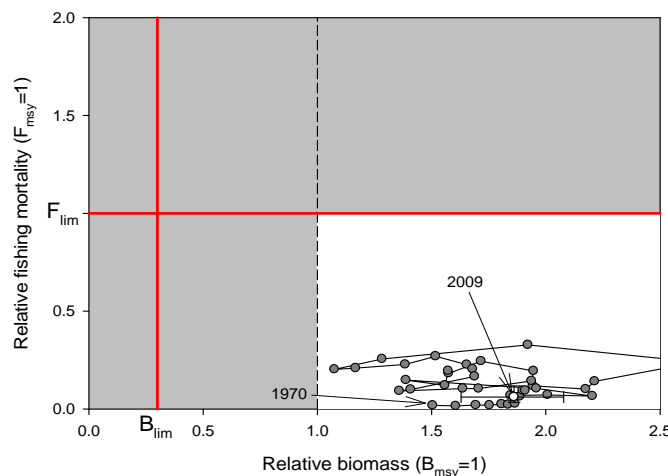


Fig. 6.13. Shrimp in ICES SA I and II: Estimated annual median biomass-ratio ( $B/B_{msy}$ ) and fishing mortality-ratio ( $F/F_{msy}$ ) 1970–2009. The reference points for stock biomass,  $B_{lim}$ , and fishing mortality,  $F_{lim}$ , are indicated by the red (bold) lines. Error bars on the 2009 value are inter-quartile range.

*Predictions.* Given the high probabilities of the stock being considerably above  $B_{msy}$ , risk of stock biomass falling below this optimum level within a one-year perspective is low. Risk associated with six optional catch levels for 2010 are as follows:

Catch option 2010 (ktons)	30	40	50	60	70	90
Risk of falling below $B_{lim}$ ( $0.3B_{MSY}$ )	0.0 %	0.0 %	0.0 %	0.0 %	0.0 %	0.0 %
Risk of falling below $B_{MSY}$	3.9 %	4.1 %	4.2 %	4.4 %	4.3 %	4.6 %
Risk of exceeding $F_{MSY}$	1.7 %	2.6 %	3.8 %	5.1 %	6.5 %	9.8 %
Risk of exceeding $1.7F_{MSY}$	0.7 %	1.2 %	1.7 %	2.3 %	2.9 %	4.4 %
Stock size (B/Bmsy), median	1.85	1.83	1.83	1.82	1.82	1.79
Fishing mortality (F/Fmsy),	0.08	0.11	0.14	0.16	0.19	0.25
Productivity (% of MSY)	28 %	31 %	31 %	33 %	34 %	37 %

The risk associated with ten-year projections of stock development assuming annual catch of 30 000 to 90 000 t were investigated (Fig. 6.14). For all options the risk of the stock falling below  $B_{msy}$  in the short to medium term (1-5 years) is low, (<11%). The stock has a less than 1% risk of being below  $B_{lim}$  in 2009 and none of these catch options are likely to increase that risk above 5% over a 10 year period (Fig. 6.14). Catch options up to 50 000 t, have a low risk (<5%) of exceeding  $F_{lim}$  and are likely to maintain the stock at its current high level.

Taking 70 000 t/yr will increase risk of going below  $B_{msy}$  to about 11% during the ten years of projection (Fig. 6.14). The risk that catches of this magnitude will not be sustainable ( $prob(F > F_{MSY})$ ), in the longer term doubles as compared to the 50 000 t option but is still below or at 10% after five years.

If the catches are increased to 90 000 t/yr, the stock is still not likely to go below  $B_{msy}$  in the short term, but whether this catch level will be sustainable in the longer term is uncertain.

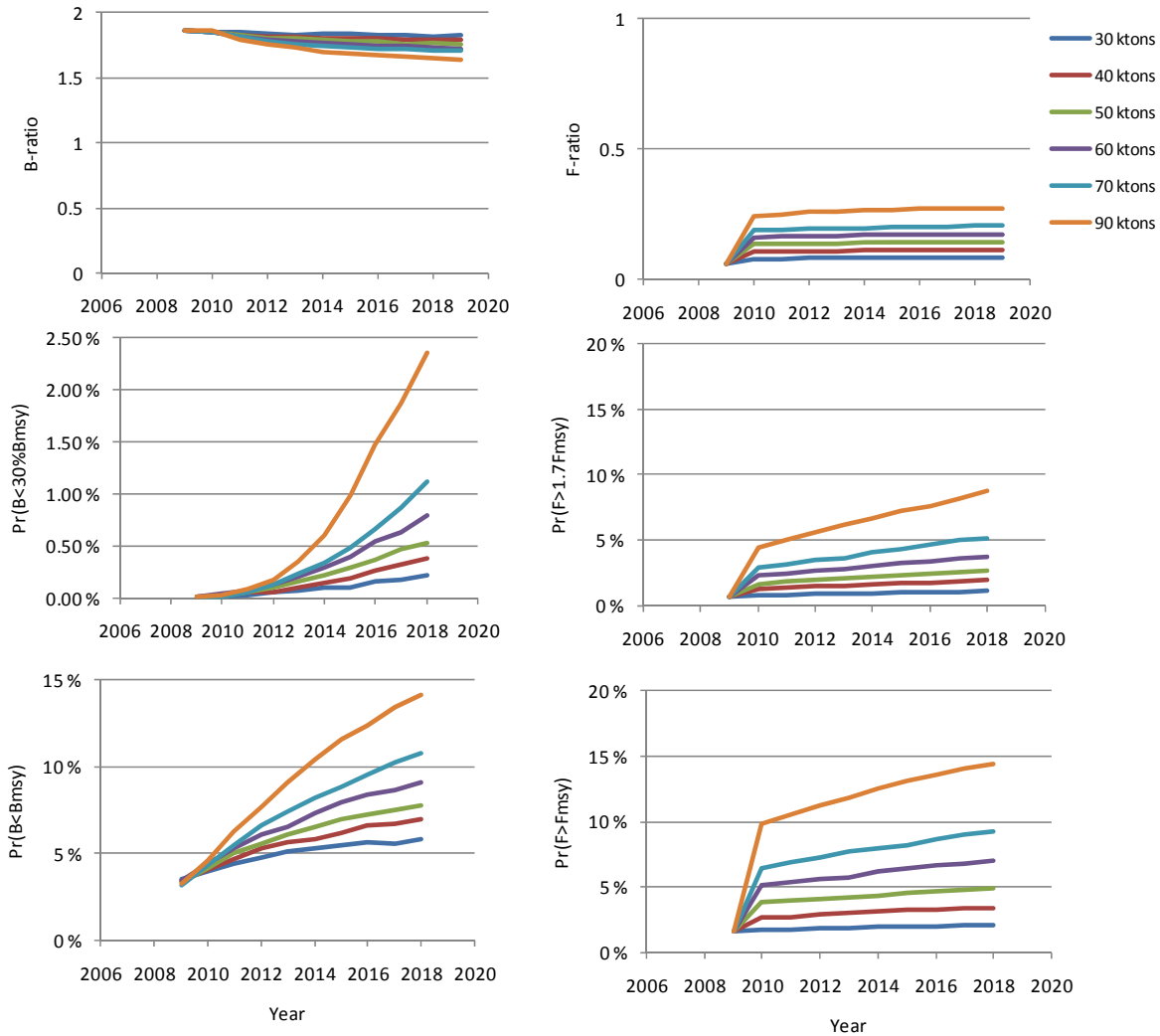


Fig. 6.14. Shrimp in ICES SA I and II: Projections (*top*): Medians of estimated posterior biomass and fishing mortality ratios; estimated risk (*right and below*) of going below  $B_{msy}$  and  $B_{lim}$ , and of exceeding  $F_{lim}$  and  $1.7 F_{MSY}$  given different catch options (see legend).

**Additional considerations**

*Model performance.* The model was able to produce reasonably good simulations of the observed data (Fig. 6.15) and the observations did not lie in the extreme tails of their posterior distributions (SCR Doc. 09/63) The retrospective pattern of relative biomass series estimated by consecutively leaving out from 0 to 10 years of data did not reveal any problems with sensitivity of the model to particular years (Fig. 6.16).

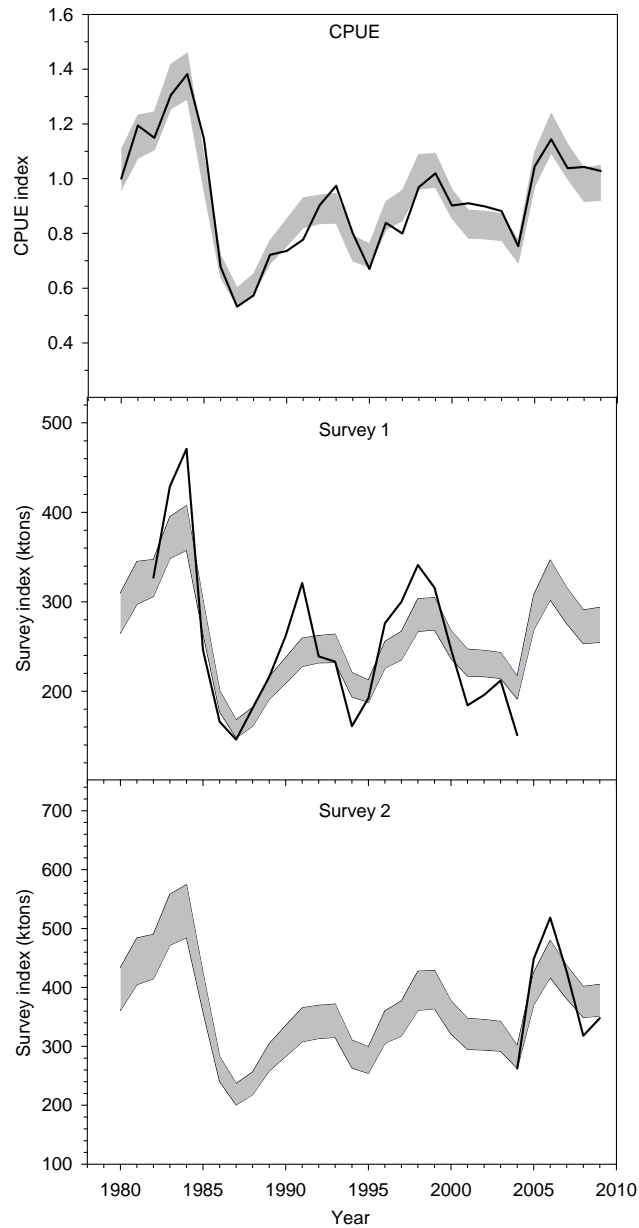


Fig. 6.15. Shrimp in ICES SA I and II: Observed (solid line) and estimated (shaded) series of the included biomass indices: the standardized catch-per-unit-effort (CPUE), the 1982–2004 shrimp survey (survey 1) and the joint Norwegian-Russian Ecosystem survey (survey 2). Grey shaded areas are the inter-quartile range of the posteriors.

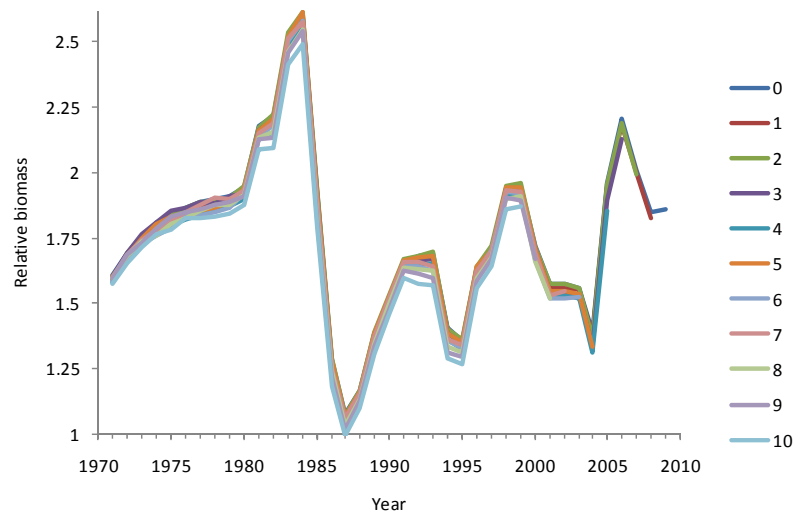


Fig. 6.16. Shrimp in ICES SA I and II: Retrospective plot of median relative biomass ( $B/B_{msy}$ ). Relative biomass series are estimated by consecutively leaving out from 0 to 10 years of data.

*Predation.* Both stock development and the rate at which changes might take place can be affected by changes in predation, in particular by cod, which has been estimated to consume on average 4–5 times the catches. If predation on shrimp were to increase rapidly outside the range previously experienced by the shrimp stock within the modelled period (1970–2009), the shrimp stock might decrease in size more than the model results have indicated as likely. The cod stock has recently increased (AFWG, ICES). However, as the total predation depends on the abundance of cod, shrimp and also of other prey species (e.g. capelin) the likelihood of such large reductions is at present hard to quantify.

Continuing investigations to include cod predation as an explicit effect in the assessment model has not so far been successful as it has not been possible to establish a relationship between shrimp/cod densities.

*Recruitment/reaction time of the assessment model.* The model used is best at describing trends in stock development and will have some inertia in its response to year-to-year changes. Large and sudden changes in recruitment may therefore not be fully captured in model predictions.

#### Other studies (SCR Doc. 09/63)

In the NAFO approach  $F_{lim}=F_{msy}$  and  $B_{lim}=0.3B_{msy}$ , i.e.  $F_{lim}$  would not be the fishing mortality that drives the stock to  $B_{lim}$ . Instead  $F_{lim}$  would get the stock to  $B_{msy}$  – the stock size that gives maximum yield. This might be considered somewhat confusing and lead to inconsistencies in the definitions of ‘limit reference points’.

$B_{lim}$ . The Schaefer production curve fitted by the assessment model corresponds to the estimated stock-recruitment relation. The slope of this curve is decreasing linearly (Fig. 6.17) i.e. there is not a distinct “change-point” where recruitment starts to decline rapidly as the stock is reduced, which could provide a candidate for a  $B_{lim}$  reference. A  $B_{lim}$  equal to 30%  $B_{msy}$  has been used in previous assessments. At 30%  $B_{msy}$  production is reduced to 50% of its maximum (Fig. 6.17). This is equivalent to the SSB-level (spawning stock biomass) at 50%  $R_{max}$  (maximum recruitment). The  $B_{lim}$  value of 30%  $B_{msy}$  is arbitrarily chosen and is not necessarily appropriate for all stocks. As an alternative  $B_{lim}$  could be based on the time it takes for the stock to recover from this point (cf. Cadrin 1999).

$F_{lim}$ . An F-ratio ( $F/F_{msy}$ ) corresponding to a yield of 50% MSY (50%  $R_{max}$ ) at a stock biomass of 30%  $B_{msy}$  ( $=B_{lim}$ ) may be derived from the equations of the assessment model (see section ‘estimation of parameters’) as follows:

$$\frac{production}{B_{MSY}} = \frac{2 MSY P_t}{B_{MSY}} \left(1 - \frac{P_t}{2}\right),$$

at equilibrium:  $C = production$  and

$$F = \frac{C}{B} = \frac{C}{B_{MSY}} \frac{B_{MSY}}{B} \Rightarrow$$

$$F = \frac{2 MSY P_t}{B_{MSY}} \left(1 - \frac{P_t}{2}\right) \frac{1}{P}, \quad \text{as } F_{MSY} = \frac{MSY}{B_{MSY}} \Rightarrow$$

$$\frac{F}{F_{MSY}} = Fratio = 2 - P$$

Thus, if  $B_{lim}$  is 30%  $B_{msy}$  ( $P=0.3$ ) then the corresponding F-ratio is 1.7 (Fig.6.17).

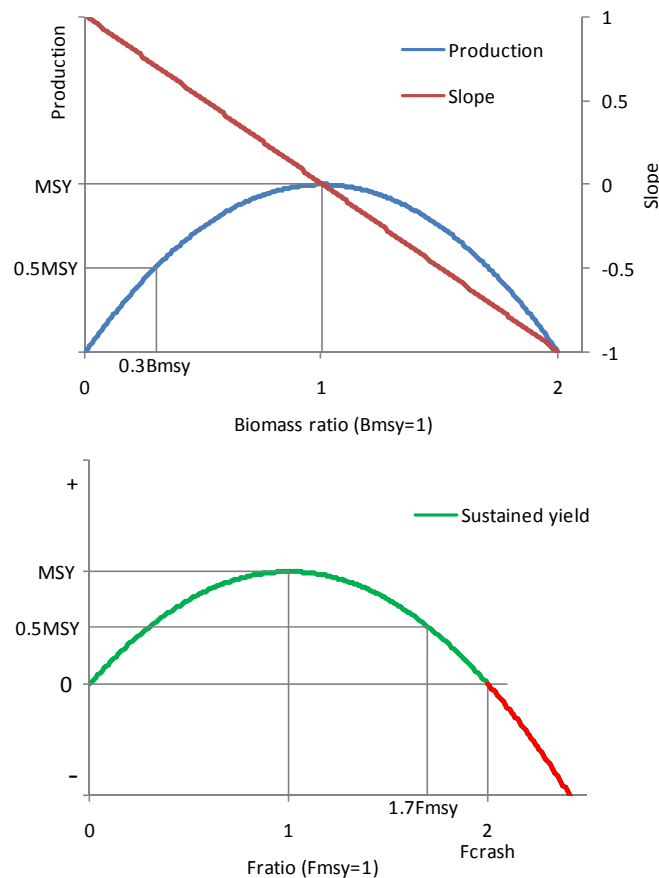


Fig. 6.17. Shrimp in ICES SA I and II: The logistic production curve in relation to stock biomass ( $B/B_{msy}$ ) (upper) and fishing mortality ( $F/F_{msy}$ ) (lower). Upper: points of maximum sustainable yield (MSY) and corresponding stock size are shown as well as the slope (red line) of the production curve (blue line); lower: points of MSY and corresponding fishing mortality and  $F_{crash}$  ( $F \geq F_{crash}$  do not have stable equilibria and will drive the stock to zero).

### e) Summary

*Mortality.* The fishing mortality has been below the upper limit reference ( $F_{lim}=F_{msy}$ ) throughout the exploitation history of the stock. The risk that  $F$  exceeded  $F_{lim}$  is estimated at about 1% for 2009, given a projected 2009 catch of 23 000 t.

*Biomass.* Stock size decreased slightly from 2006 to 2009, but is still estimated to be at a relatively high level. The estimated risk of stock biomass being below  $B_{msy}$  at end 2009 was 3%, and less than 1% of being below  $B_{lim}$ .

*Recruitment.* The recruitment index has decreased by 75% from 2004 to 2008.

*State of the Stock.* The stock biomass estimates have varied above its MSY level throughout the history of the fishery. Biomass at the end of 2009 is estimated to be well above  $B_{msy}$  and fishing mortality well below  $F_{msy}$ . However, estimated numbers of small shrimp decreased from 2004 to 2008 which may result in reduced recruitment to the fishery in the near future.

### f) Research Recommendations for 2010

NIPAG **recommends** that, for the shrimp stock in in Barents Sea and Svalbard (ICES Div. I and II):

- Demographic information continue to be collected
- Collaborative efforts should be made to standardize a means of predicting recruitment to the fishable stock.
- Work to include explicit information on recruitment in the assessment model should be continued.

### g) Management Recommendations

NIPAG **recommends** that, for the shrimp stock in ICES Div. I and II:

- *nations active in the fishery must be required to provide information on the shrimp length and sex distributions in the catches in advance of the assessment (1 September).*

### 7) Northern shrimp in Fladen Ground (ICES Division IVa) – ICES Stock

From the 1960s up to around 2000 a significant shrimp fishery exploited the shrimp stock on the Fladen Ground in the northern North Sea. A short description of the fishery is given, as a shrimp fishery could be resumed in this area in the future. The landings from the Fladen Ground have been recorded from 1972 (SCR Doc. 09/69, Table 9). Total reported landings since 1997 have fluctuated between zero in 2006 to above 4000 t (Table 6.1). The Danish fleet accounts for the majority of these landings, with the Scottish fleet landing a minor portion. The fishery took place mainly during the first half of the year, with the highest activity in the second quarter. Since 2006 no landings have been recorded from this stock.

Since 1998 landings have decreased steadily and since 2004 the Fladen Ground fishery has been virtually non-existent with total recorded landings being less than 25 t. Interview information from the fishing industry obtained in 2004 gives the explanation that this decline is caused by low shrimp abundance, low prices on the small shrimp which are characteristic of the Fladen Ground, and high fuel prices. This stock has not been surveyed for several years, and the decline in this fishery may reflect a decline in the stock.



Table 7.1. Northern shrimp in Fladen Ground: Landings of *Pandalus borealis* (t) from the Fladen Ground (ICES Div. IVa) estimated by NIPAG.

Country/Fleet	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
Denmark	3 022	2 900	1 005	1 482	1 263	1 147	999	23	10	0	0	0
Norway	9	3	9		18	9	8	0	0	0	0	0
Sweden							1	0	0	0	0	0
UK (Scotland)	365	1 365	456	378	397	70		0	0	0	0	0
Total	3 396	4 268	1 470	1 860	1 678	1 226	1 008	23	10	0	0	0

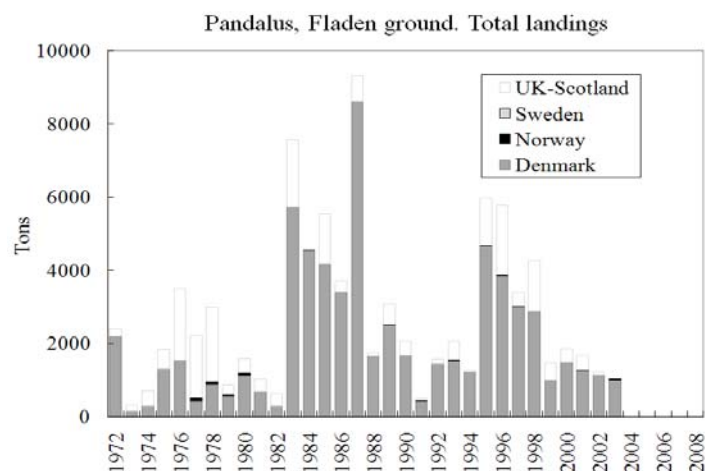


Fig. 7.1. Northern shrimp in Fladen Ground: Catches

#### IV. OTHER BUSINESS

There was no other business.

#### V. ADJOURNMENT

The NIPAG meeting was adjourned at 1210 hours on 29 October 2009. The Co-Chairs thanked all participants, especially the designated experts and stock coordinators, for their hard work. The Co-Chairs thanked the NAFO Secretariat for all of their logistical support.

**APPENDIX 1. AGENDA NIPAG MEETING, 21-29 OCTOBER 2009**

I. Opening (Co-chairs: Michael Kingsley and Michaela Aschan)

1. Appointment of Rapporteur
2. Adoption of Agenda
3. Plan of Work

II. General Review

1. Review of Recommendations in 2007 and in 2008
2. Review of Catches

III. Stock Assessments

- Northern shrimp (Division 3M) – NAFO Stock
- Northern Shrimp (Divisions 3LNO) – NAFO Stock
- Northern shrimp (Subareas 0 and 1) – NAFO Stock
- Northern shrimp (in Denmark Strait and off East Greenland) – NAFO Stock
- Northern Shrimp in Skagerrak and Norwegian Deep (ICES Divisions IIIa and Iva East) – ICES Stock
- Northern shrimp in Fladen Ground – ICES Stock
- Northern shrimp in Barents Sea and Svalbard area (ICES Sub-areas I & II) – ICES Stock

IV. Other Business

VI. Adjournment

### **Annex 1. Fisheries Commission's Request for Scientific Advice on Management in 2010 and beyond of Certain Stocks in Subareas 2, 3 and 4 and other matters**

1. The Fisheries Commission with the concurrence of the Coastal State as regards the stocks below which occur within its jurisdiction, requests that the Scientific Council, at a meeting in advance of the 2009 Annual Meeting, provide advice on the scientific basis for the management of the following fish and invertebrate stocks or groups of stocks in 2010:

Northern shrimp in Div. 3M, 3LNO

Greenland halibut in SA 2 and Div. 3KLMNO

Noting that SC will meet in October of 2008, FC requests SC to update its advice for 2009, as well as to provide advice for 2010, for both shrimp stocks referenced above.

2. The Fisheries Commission with the concurrence of the Coastal State as regards the stocks below which occur within its jurisdiction, requests that the Scientific Council, at a meeting in advance of the 2009 Annual Meeting, provide advice on the scientific basis for the management of the following fish stocks according to the following assessment frequency:

Two year basis

American plaice in Div. 3LNO

Capelin in Div. 3NO

Redfish in Div. 3M

Thorny skate in Div. 3LNOPs

White hake in Div. 3NOPs

Yellowtail flounder in Div. 3LNO

Three year basis

American plaice in Div. 3M

Cod in Div. 3NO

Cod in Div. 3M

Northern shortfin squid in SA 3+4

Redfish in Div. 3LN

Redfish in Div. 3O

Witch flounder in Div. 2J+3KL

Witch flounder in Div. 3NO

To continue this schedule of assessments, the Scientific Council is requested to conduct the assessment of these stocks as follows:

In 2009, advice should be provided for 2010 and 2011 for American plaice in Div. 3LNO, yellowtail flounder in Div. 3LNO, redfish in Div. 3M, cod in Div. 3M, white hake in Div. 3NO and capelin in Div. 3NO.

- In 2007, advice was provided for 2008, 2009 and 2010 for redfish in Div. 3LN, redfish in Div. 3O, cod in Div. 3NO and witch flounder in Div. 2J+3KL. These stocks will be next assessed in 2010.
- In 2008, advice was provided for 2009 and 2010 for yellowtail flounder in Div. 3LNO, and thorny skate in Div. 3LNOPs. These stocks will be next assessed in 2010.
- In 2008, advice was provided for 2009, 2010 and 2011 for cod in Div. 3M, American plaice in Div. 3M, witch flounder in Div. 3NO, redfish in Div. 3LN and northern shortfin squid in SA 3+4. These stocks will be next assessed in 2011. For redfish in Div. 3LN, the Scientific Council conducted full assessments and provided advice in 2007 and 2008 for this stock.

The Fisheries Commission requests the Scientific Council to continue to monitor the status of all these stocks annually and, should a significant change be observed in stock status (e.g. from surveys) or in by-catches in other fisheries, provide updated advice as appropriate.

3. The Commission and the Coastal State request the Scientific Council to consider the following in assessing and projecting future stock levels for those stocks listed above. These evaluations should provide the information necessary for the Fisheries Commission to consider the balance between risks and yield levels, in determining its management of these stocks:
  - a) The preferred tool for the presentation of a synthetic view of the past dynamics of an exploited stock and its future development is a stock assessment model, whether age-based or age-aggregated.
  - b) For those stocks subject to analytical-type assessments, the status of the stocks should be reviewed and catch options evaluated in terms of their implications for fishable stock size in both the short and long term. As general reference points, the implications of fishing at  $F_{0.1}$  and  $F_{2008}$  in 2010 and subsequent years should be evaluated. The present stock size and spawning stock size should be described in relation to those observed historically and those expected in the longer term under this range of options.
  - c) For those stocks subject to general production-type assessments, the time series of data should be updated, the status of the stock should be reviewed and catch options evaluated in the way described above to the extent possible. In this case, the level of fishing effort or fishing mortality (F) required to take two-thirds MSY catch in the long term should be calculated.

- d) For those resources for which only general biological and/or catch data are available, few standard criteria exist on which to base advice. The stock status should be evaluated in the context of management requirements for long-term sustainability and the advice provided should be consistent with the precautionary approach.
- e) Spawning stock biomass levels considered necessary for maintenance of sustained recruitment should be recommended for each stock. In those cases where present spawning stock size is a matter of scientific concern in relation to the continuing reproductive potential of the stock, options should be offered that specifically respond to such concerns.
- f) Information should be provided on stock size, spawning stock sizes, recruitment prospects, fishing mortality, catch rates and catches implied by these management strategies for the short and the long term in the following format:
  - I. For stocks for which analytical-type assessments are possible, graphs should be provided of all of the following for the longest time-period possible:
    - historical yield and fishing mortality;
    - spawning stock biomass and recruitment levels;
    - catch options for the year 2010 and subsequent years over a range of fishing mortality rates (for as many years as the data allow)
    - (F) at least from  $F_{0.1}$  to  $F_{max}$ ;
    - spawning stock biomass corresponding to each catch option;
    - yield-per-recruit and spawning stock per recruit values for a range of fishing mortalities.
  - II. For stocks for which advice is based on general production models, the relevant graph of production as a function of fishing mortality rate or fishing effort should be provided. Age aggregated assessments should also provide graphs of all of the following for the longest time period possible:
    - exploitable biomass (both absolute and relative to  $B_{MSY}$ )
    - yield/biomass ratio as a proxy for fishing mortality (both absolute and relative to  $F_{MSY}$ )
    - estimates of recruitment from surveys, if available.
  - III. Where analytical methods are not attempted, the following graphs should be presented, for one or several surveys, for the longest time-period possible:
    - time trends of survey abundance estimates, over:
      - an age or size range chosen to represent the spawning population
      - an age or size-range chosen to represent the exploited population
      - recruitment proxy or index for an age or size-range chosen to represent the recruiting population.
      - fishing mortality proxy, such as the ratio of reported commercial catches to a measure of the exploited population.

For age-structured assessments, yield-per-recruit graphs and associated estimates of yield-per-recruit based reference points should be provided. In particular, the three reference points, actual F,  $F_{0.1}$  and  $F_{max}$  should be shown.

4. Noting the Precautionary Approach Framework as endorsed by Fisheries Commission, the Fisheries Commission requests that the Scientific Council provide the following information for the 2009 Annual Meeting of the Fisheries Commission for all stocks under its responsibility requiring advice for 2010:
  - a) the limit and precautionary reference points as described in Annex II of the UN Fisheries Agreement indicating areas of uncertainty (for those stocks for which precautionary reference points cannot be determined directly, proxies should be provided);
  - b) the stock biomass and fishing mortality trajectory over time overlaid on a plot of the PA Framework (for those stocks where biomass and/or fishing mortality cannot be determined directly, proxies should be used);
  - c) information regarding the current Zone the stock is within as well as proposals regarding possible harvest strategies which would move the resource to (or maintain it in) the Safe Zone, including medium term considerations and associated risk or probabilities which will assist the Commission in developing the management strategies described in paragraphs 4 and 5 of Annex II in the Agreement.

5. The following elements should be taken into account by the Scientific Council when considering the Precautionary Approach Framework:
  - a) References to “risk” and to “risk analyses” should refer to estimated probabilities of stock population parameters falling outside biological reference points.
  - b) Where reference points are proposed by the Scientific Council as indicators of biological risk, they should be accompanied by a description of the nature of the risk associated with crossing the reference point such as recruitment overfishing, impaired recruitment, etc.
  - c) When a buffer reference point is proposed in the absence of a risk evaluation in order to maintain a low probability that a stock, measured to be at the buffer reference point, may actually be at or beyond the limit reference point, the Scientific Council should explain the assumptions made about the uncertainty with which the stock is measured.
  - d) Wherever possible, short and medium term consequences should be identified for various exploitation rates (including no fishing) in terms of yield, stability in yield from year to year, and the risk or probability of maintaining the stock within, or moving it to, the Safe Zone. Whenever possible, this information should be cast in terms of risk assessments relating fishing mortality rates to the trends in biomass (or spawning biomass), the risks of stock collapse and recruitment overfishing, as well as the risks of growth overfishing, and the consequences in terms of both short and long term yields.
  - e) When providing risk estimates, it is very important that the time horizon be clearly spelled out. By way of consequence, risks should be expressed in timeframes of 5, 10 and 15 years (or more), or in terms of other appropriate year ranges depending on stock specific dynamics. Furthermore, in order to provide the Fisheries Commission with the information necessary to consider the balance between risks and yield levels, each harvesting strategy or risk scenario should include, for the selected year ranges, the risks and yields associated with various harvesting options in relation to  $B_{lim}$ , and  $F_{lim}$  and target  $F$  reference points selected by managers.
6. Many of the stocks in the NAFO Regulatory Area are well below any reasonable level of  $B_{lim}$  or  $B_{buf}$ . For these stocks, the most important task for the Scientific Council is to inform on how to rebuild the stocks. In this context and building on previous work of the Scientific Council in this area, the Scientific Council is requested to evaluate various scenarios corresponding to recovery plans with timeframes of 5 to 10 years, or longer as appropriate. This evaluation should provide the information necessary for the Fisheries Commission to consider the balance between risks and yield levels, including information on the consequences and risks of no action at all.
  - a) information on the research and monitoring required to more fully evaluate and refine the reference points described in paragraphs 1 and 3 of Annex II of the Agreement; these research requirements should be set out in the order of priority considered appropriate by the Scientific Council;
  - b) any other aspect of Article 6 and Annex II of the Agreement which the Scientific Council considers useful for implementation of the Agreement's provisions regarding the precautionary approach to capture fisheries; and
  - c) propose criteria and harvest strategies for new and developing fisheries so as to ensure they are maintained within the Safe Zone.
7. Regarding pelagic *S. mentella* redfish in NAFO Subareas 1-3, the Scientific Council is requested to review the most recent information available on the distribution and abundance of this resource, as well as any new information on the affinity of this stock to the pelagic redfish resource found in the ICES Sub-area XII, parts of SA Va and XIV and to the shelf stocks of redfish found in ICES Sub-areas V, VI and XIV, and NAFO Subareas 1-3 for 2009.
8. Noting the FC Rebuilding Plan for 3NO cod adopted in September 2007, Fisheries Commission requests Scientific Council to advise, before September 2010, on possible measures the Commission may consider to ensure by-catch of cod is kept at the lowest possible level.
9. Recognizing the initiatives on vulnerable marine ecosystems (VME), and with a view to completing fishery impact assessments at the earliest possible date, Fisheries Commission requests the Scientific Council to:
  - a) Provide, as soon as possible in 2008, delineations, if any, of significant concentrations of corals in the NAFO Regulatory Area, by species, for the identification of VMEs. This should include the size and catch characteristics of corals obtained respectively from commercial fishing vessels and fisheries research vessels and the assessment of significant adverse impacts, with a particular focus on those species which involve interactions with commercial fisheries. The data should include absence/presence of corals as well as density.
  - b) Provide, by June 30, 2009, delineations, if any, of significant concentrations of sponges in the Regulatory Area by species, including the size and catch characteristics of sponges obtained respectively from commercial fishing vessels and fisheries research vessels, with a particular focus on those species which involve interactions with commercial fisheries. The data should include absence/presence of sponges as well as density.
  - c) With respect to corals and sponges in canyons denoted in the Scientific Council's response on the area denoted as “Southern Flemish Pass to Eastern Canyons”, provide detailed information as soon as practicable or at least a report on

- progress by June 30, 2009, with a particular focus on those species which involve interactions with commercial fisheries.
10. With respect to Greenland halibut in SA 2 + Div. 3KLMNO, Fisheries Commission requests Scientific Council, in its 2009 assessment of this stock, in addition to the information requested above:
    - a) To complete an evaluation of alternate assessment models for this stock. This evaluation will enable the determination of the robustness of the assessment model currently used.
    - b) To advise Fisheries Commission, if catches of this stock are 16,000 tons in 2009 and in subsequent years, what is the biomass trajectory over these years, based on the most recent assessment?
  11. For stocks currently under moratorium, but showing recent increases as assessed by Scientific Council, such as 3M cod and 3LNO American plaice, Scientific Council is asked to provide catch, biomass, and fishing mortality projections where possible, for as many years as the data will allow, at the following levels of fishing mortality:  $F=0$ ;  $F_{0.1}$ ; and  $F_{2008}$ , in addition to any projections requested in the sections above.
  12. Noting that the Scientific Council assessments of American plaice and yellowtail in Div. 3LNO are currently scheduled to be done in alternate years, Fisheries Commission requests that Scientific Council provide full assessments of both these stocks in the same year. Noting the schedule of assessments currently followed, this would require an additional assessment of yellowtail flounder to be conducted in 2009.
  13. Fisheries Commission requests Scientific Council to examine the consequences resulting from a decrease in mesh size in the mid-water trawl fishery for redfish in Div. 3M, to 100 mm or lower.
  14. Noting the desire of NAFO to apply ecosystem considerations in the conservation and management of fish stocks in the NAFO area, the Scientific Council is requested to provide the Fisheries Commission at its next annual meeting in 2009 with an overview of present knowledge related to role of seals in the marine ecosystem of the Northwest Atlantic and their impact on fish stocks in the NAFO area, taking into account the proceedings at the September 29 – October 1, 2008 Symposium in Dartmouth.

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## **Annex 2. Canadian Request for Scientific Advice on Management in 2010 of Certain Stocks in Subareas 0 to 4**

1. Canada requests that the Scientific Council, at its meeting in advance of the 2009 Annual Meeting of NAFO, subject to the concurrence of Denmark (on behalf of Greenland), provide advice on the scientific basis for management in 2010 of the following stocks
 

Shrimp (Subareas 0 and 1)  
Greenland halibut (Subareas 0 and 1)

The Scientific Council has noted previously that there is no biological basis for conducting separate assessments for Greenland halibut throughout Subareas 0-3, but has advised that separate TACs be maintained for different areas of the distribution of Greenland halibut. The Council is therefore, subject to the concurrence of Denmark (on behalf of Greenland) as regards Subarea 1, to provide an overall assessment of status and trends in the total stock area throughout its range and comment on its management in Subareas 0+1 for 2010, and to specifically:

  - a) advise on appropriate TAC levels for 2010, separately, for Greenland halibut in the offshore area of Divisions 0A+1AB and Divisions 0B+1C-F. The Scientific Council is also asked to advise on any other management measures it deems appropriate to ensure the sustainability of these resources.
  - b) advise on the impact on the Greenland halibut in Subarea 0 and Divisions 1A (offshore) + 1B-F of increases in the catch in Divisions 0B+1C-F, in 2010, of 10%, 25%, and 50% above the 2009 TAC.
  - c) with respect to shrimp, it is recognized that the Council may, at its discretion, delay providing advice until later in the year, taking into account data availability, predictive capability, and the logistics of additional meetings.
2. Canada requests the Scientific Council to consider the following options in assessing and projecting future stock levels for Shrimp and Greenland halibut in Subareas 0 and 1:
  - a) For those stocks subject to analytical-type assessments, the status of the stock should be reviewed and management options evaluated in terms of their implications for fishable stock size in both the short and long term. The implications of no fishing as well as fishing at  $F_{0.1}$ , and  $F_{2008}$  in 2010 and subsequent years should be evaluated in relation to precautionary reference points of both fishing mortality and spawning stock biomass. The present stock size and spawning stock size should be described in relation to those observed historically and those to be expected in the longer term under this range of fishing mortalities, and any other options Scientific Council feels worthy of consideration under the NAFO Precautionary Approach Framework.

Opinions of the Scientific Council should be expressed in regard to stock size, spawning stock sizes, recruitment prospects, catch rates and catches implied by these management strategies for the short and long term. Values of  $F$  corresponding to the reference points should be given. Uncertainties in the assessment should be evaluated and presented in the form of risk analyses related to Blim (Bbuf), and Flim (Fbuf), as per the NAFO Precautionary Approach Framework.

- b) For those stocks subject to general production-type assessments, the time series of data should be updated, the status of the stock should be reviewed and management options evaluated in the way described above to the extent possible. Management options should be within the NAFO Precautionary Approach Framework.
  - c) For those resources for which only general biological advice and/or catch data are available, few standard criteria exist on which to base advice. The stock status should be evaluated in the context of the management requirements for long-term sustainability and management options evaluated in the way described above to the extent possible. Management options should be within the NAFO Precautionary Approach Framework.
  - d) Presentation of the results should include the following:
    - I. For stocks for which analytical-type assessments are possible:
      - A graph of historical yield and fishing mortality for the longest time period possible;
      - A graph of spawning stock biomass and recruitment levels for the longest time period possible. The biomass graph should indicate the stock trajectory compared to Blim;
      - Graphs and tables of catch options for the year 2010 and subsequent years over a range of fishing mortality rates ( $F$ ) at least from  $F=0$  to  $F_{0.1}$  including risk analyses;
      - Graphs and tables showing spawning stock biomass corresponding to each catch option including risk analyses;
      - Graphs showing the yield-per-recruit and spawning stock per recruit values for a range of fishing mortalities.
    - II. For stocks for which advice is based on general production models, the relevant graph of production on fishing mortality rate or fishing effort.
 

In all cases, the reference points,  $F=0$ , actual  $F$ , and  $F_{0.1}$  should be shown. As well, Scientific Council should provide the limit and precautionary reference points as described in the NAFO Precautionary Approach Framework, indicating areas of uncertainty (when reference points cannot be determined directly, proxies should be provided).
3. Regarding Greenland halibut in Subarea 2 + Divisions 3KLMNO, Canada requests the Scientific Council:
- 1) to advise on appropriate TAC levels for 2010, based on biomass distribution, for Greenland halibut in these areas separately: SA 2+Division 3K and Divisions 3LMNO.
  - 2) to provide information on the status of Greenland halibut in SA 2+Divisions 3KLMNO in relation to the Greenland Halibut Rebuilding Plan and Strategy, including commentary on progress in relation to the targets described in the Strategy.
  - 3) Recognizing FC request 10 a) “To complete an evaluation of alternate assessment models for this stock. This evaluation will enable the determination of the robustness of the assessment model currently used”, the Scientific Council is also requested to consider alternative formulations of any assessment models it evaluates that would include acceptable fishery-based CPUE indices.

Yours sincerely,

David Bevan  
 Assistant Deputy Minister  
 Fisheries and Aquaculture Management  
 Fisheries and Oceans Canada  
 Ottawa, Canada

**Annex 3. Denmark (Greenland) Request for Scientific Advice on Management in 2010 of Certain Stocks in Subareas 0 and 1**

1. In the Scientific Council report of 2008, scientific advice on management of Roundnose grenadier in Subarea 0+1 was given as a 3-year advice (for 2009, 2010 and 2011). Denmark, on behalf of Greenland, requests the Scientific Council to continue to monitor the status of Roundnose grenadier in Subarea 0+1 annually and, should significant change in stock status be observed (e.g. from surveys), the Scientific Council is requested to provide updated advice as appropriate.
2. Advice for redfish (*Sebastes spp.*) and other finfish (American plaice (*Hippoglossoides platessoides*), Atlantic wolffish (*Anarhichas lupus*), spotted wolffish (*A. minor*) and thorny skate (*Raja radiata*)) in Subarea 1 was in 2008 given for 2009-2011. Denmark, on behalf of Greenland, requests the Scientific Council to continue to monitor the status of Redfish (*Sebastes spp.*) and other finfish in Subarea 1 annually and, should significant change in stock status be observed (e.g. from surveys), the Scientific Council is requested to provide updated advice as appropriate.
3. Subject to the concurrence of Canada as regards Subarea 0+1, the Scientific Council is requested to provide advice on appropriate TAC levels for 2010, separately, for Greenland halibut in the offshore area of Divisions 0A+1AB and Divisions 0B+1C-F. The Scientific Council is also asked to advise on any other management measures it deems appropriate to ensure the sustainability of these resources. Scientific Council is also requested to provide advice on the impact on the Greenland halibut in Subarea 0 and Divisions 1A (offshore) + 1B-F of increases in the catch in Divisions 0B + 1C-F, in 2010, of 10%, 25%, and 50% above the 2009 TAC.
4. Advice for Greenland halibut in Subarea 1A inshore was in 2008 given for 2009-2010. Denmark, on behalf of Greenland, requests the Scientific Council to continue to monitor the status of Greenland halibut in Subarea 1A inshore annually and, should significant change in stock status be observed (e.g. from surveys), the Scientific Council is requested to provide updated advice as appropriate.
5. Subject to the concurrence of Canada as regards Subarea 0+1, Denmark, on behalf of Greenland, further requests the Scientific Council of NAFO before December 2009 to provide advice on the scientific basis for management of Northern shrimp (*Pandalus borealis*) in Subarea 0 and 1 in 2010, and as many years forward as data allow.

Further, the Council is requested to advise, in co-operation with ICES, on the scientific basis for management of Northern shrimp (*Pandalus borealis*) in Denmark Strait and adjacent areas east of southern Greenland in 2010, and as many years forward as data allow.

On behalf of  
The Agency of Fisheries, Hunting and Agriculture  
Sincerely

Emanuel Rosing  
Director-General



#### Annex 4. ICES ToRs for NIPAG

From 2009 ACOM and ACOM Expert Group ToR's

(<http://www.ices.dk/iceswork/recs/2008%20Resolutions/ACOM/All%20ToRs%202009.pdf>)

#### Generic ToRs for Regional and Species Working Groups

The following ToRs apply to: AFWG, HAWG, NWWG, **NIPAG**, WGWIDE, WGBAST, WGBFAS, WGNSSK, WGCSE, WGHMM and WGANSA.

The working group should focus on: ToRs a) to g) for stocks that will have advice; ToRs b) to d) and f) for stocks with same advice as last year; ToRs b) to c) and f) for stocks with no advice.

- a) Produce a first draft of the advice on the fish stocks and fisheries under considerations and the regional overview according to ACOM guidelines.
- b) Update, quality check and report relevant data for the working group:
  - i) Load fisheries data on effort and catches (landings, discards, bycatch, including estimates of misreporting when appropriate) in the INTERCATCH database by fisheries/fleets; ii) Abundance survey results; iii) Environmental drivers. iv) Propose specific actions to be taken to improve the quality of the data (including improvements in data collection).
- c) Produce an overview of the sampling activities on a national basis based on the INTERCATCH database);
- d) In cooperation with the Secretariat, update the description of major regulatory changes (technical measures, TACs, effort control and management plans) and comment on the potential effects of such changes including the effects of newly agreed management and recovery plans.
- e) For each stock update the assessment by applying the agreed assessment method (analytical, forecast or trends indicators) as described in the stock annex. If no stock annex is available this should be prepared prior to the meeting.
- f) Produce a brief report of the work carried out by the Working Group. This report should summarise for the stocks and fisheries where the item is relevant:
  - i) Input data (including information from the fishing industry and NGO that is pertinent to the assessments and projections); ii) Where misreporting of catches is significant, provide qualitative and where possible quantitative information and describe the methods used to obtain the information; iii) Stock status and 2010 catch options; iv) Historical performance of the assessment and brief description of quality issues with the assessment; v) Mixed fisheries overview and considerations; vi) Species interaction effects and ecosystem drivers; vii) Ecosystem effects of fisheries; viii) Effects of regulatory changes on the assessment or projections;
- g) Where appropriate, check for the need to reopen the advice in autumn based on the new survey information and the guidelines in AGCREFA

**2008/2/ACOM11 The Joint NAFO/ICES *Pandalus* Assessment Working Group [NIPAG]**

(Chair: Carsten Hvingel\*, Norway) will meet in Halifax, Canada 21–29 October 2009 to:

- a) address generic ToRs for Fish Stock Assessment Working Groups (see table below);
- b) consider shrimp stocks as decided by NAFO Sc. C.
- c) compile, update, analyse and document time-series of by-catches in the shrimp fishery

The assessments will be carried out on the basis of the stock annex in National Laboratories, prior to the meeting. This will be coordinated as indicated in the table below.

NIPAG will report by 30 October 2009 on the ICES shrimp stocks for the attention of ACOM

## APPENDIX II. TECHNICAL MINUTES FROM THE ICES REVIEW GROUP FOR THE 2009 NIPAG REPORT (ICES STOCKS)

### Review of ICES STOCKS of NAFO/ICES Pandalus Assessment Group (NIPAG) (Report 2009)

*29.10.2009. – 30.10-2009*

*By correspondence*

#### Reviewers:

Max Cardinalle, Morten Vinter and Fátima Cardador (chair)

Chair WG- ICES Stocks: Carsten Hvingel

Secretariat: Barbara Schoute

*Audience to write for: advice drafting group, ACOM, benchmark groups and next years EG.*

#### General

The Review Group considered the following stocks:

Species	Stock name	Type assessment
pand-sknd	Northern shrimp ( <i>Pandalus borealis</i> ) in Division IIIa West and Division IVa East (Skagerrak and Norwegian Deeps)	Updated - advice
pand-barn	Northern Shrimp ( <i>Pandalus borealis</i> ) in Subareas I and II (Barents Sea)	Updated - advice
pand-flad	Northern shrimp ( <i>Pandalus borealis</i> ) in Division IVa (Fladen Ground)	No assessment - Same advice as last year

The review group had worked by correspondence. Each stock was revised by two reviewers and a final overall check was done by all..

#### General comments

The report is very well organized, easy to follow and to interpret it. As in the previous years, the report refers several working documents important to clarify some issues.

No Management consideration section is presented in each section as it was recommended last year by the RG.

#### NORTHERN SHRIMP IN SKAGERRAK AND NORWEGIAN DEEPS (ICES DIV. IIIA WEST AND IVA EAST (REPORT SECTION 5))

- 1) **Assessment type: update**, trends in LPUE and one Norwegian shrimp survey
- 2) **Assessment:** no analytical assessment
- 3) **Forecast:** not performed
- 4) **Assessment model:** Standardized LPUE (GLM) and Stock size index from surveys (Stratified sampling including swept area)
- 5) **Consistency:** consistent with last year assessment.
- 6) **Stock status:** Biomass and recruitment abundance declining since 2007. No reference points defined.
- 7) **Man. Plan.:** None

## General Comments

Several working documents are referred in the report which implies to read them in order to clarify some issues. In future it is important to include the relevant text from the **NAFO SCR docs** in the report to avoid this need. At present we recommend to include as annexes of the report the documents concerning the ICES stocks.

## Technical comments

- 50% of the shrimp are boiled at sea in Swedish and Norwegian fisheries and Danish. The conversion factor from boiled to fresh of 1.13 must be included in the report in future. *At present it is in the WD SCR doc.09/069, page 3.*
- Table 5.2 – grid effects in the catches very useful, but why the % for no grid is different in IIIa and IVa, particularly for Norway lobster and Saithe? If possible, these results should be explained.
- The standardization procedures are appropriate but it should be stated clearly that vessel HP and gear type are both proxy of the catching efficiency. However, trawl size should be used instead of HP and gear type as the catching efficiency is mainly related to swept area of the trawl and same gear code or vessel with HP might use increasingly larger trawlers with the same HP and gear type.
- The Swedish LPUE data should be also modelled as those are an important part of the catch information in the area.
- Fig 5.2 – LPUE Norway mean is not shown.
- Fig 5.4 – Survey 1 – confidence intervals are important to be included in the plot.
- Norwegian survey with the same methodology took place since 2006, 4 years of data. Recruitment indices (1 year old) are estimated from modal analysis but confidence intervals were not estimated to assess the accuracy of those estimates.
- Biomass and recruitment indices estimates from the Norwegian survey indicate a decrease since 2007 mainly to a high value in stratum 16 in 2007, but if this stratum is excluded the decrease remains (see Excel file in sharepoint).

## Conclusions and recommendations

- This stock was to be updated. However several assessment methods could be explored in view of data available, namely, length frequency distribution, CPUEs, surveys indices and even catch-at-age estimates. It is strongly recommended to take action on these issues in near future. **A Benchmark Workshop for Pandalus is advisable in 2011?**
- A proxy of Z could be explored based on the length frequency distributions or catch at age presented on doc SCR 09/069, Figures 5b, 6a and Table 5. **This recommendation was done last year by the RG.**
- Both Danish LPUE series are fluctuating above average in 2006-2008 (no data in 2009), but Norwegian LPUE decreased in the most recent years.
- Biomass and recruitment indices estimates from the Norwegian survey indicate a decrease since 2007.
- The perspective of the state of the stock is different from last year, so the recommended advice is no increase in landings above 2008 level until more survey indices are available.

**NORTHERN SHRIMP IN BARENTS SEA AND SVALBARD AREA (ICES SUBAREAS I AND II  
(REPORT SECTION 6)**

- 1) **Assessment type: Update**
- 2) **Assessment: accepted**
- 3) **Forecast: stochastic forecast (10 years)**
- 4) **Assessment model: Bayesian version of a surplus-production model:** Input commercial CPUE, two surveys CPUE and total catch
- 5) **Consistency: consistent with last year assessment.**
- 6) **Stock status:  $B > B_{lim}$  and  $F < F_{lim}$**  being  $F_{lim} = F_{msy}$  and  $B_{lim} = 0.3B_{msy}$ . B is above  $B_{msy}$  with a high probability
- 7) **Man. Plan.: No management plan is agreed for this stock.**

**General comments**

Interesting to see how NAFO organize its working group report and how details are provided in working documents. ICES might learn from this setup.

The assessment is an update assessment and ICES must have reviewed the method before.

This is a well documented and well ordered, however heavily dependent on the readers understanding of the method.

**Technical comments**

- Effort standardization of commercial CPUE is crucial for the method. The method used (NAFO SCR Doc. 09/062) is the same as used last year. The method uses the individual vessel as class variable in the GLM analysis. It is unclear to me if a “vessel” represents a vessel ID, or if it also has been taken into account that a vessel can be updated with extra HP, electronic equipment, ship length (GT) etc.
- There is a steep increase in mean vessel HP size over the last 10+ years, which might be difficult to standardize in the right way. I will suggest some kind of “retrospective analysis” to see the effect on the estimated year effect of stepwise leaving out the most recent years of the analysis.
- It is assumed that the standardized CPUE series was fully updated. In Doc 09/063 it is stated that data were updated from the 2008 estimate!?
- It would be useful to present figures 6.6, and 6.8 into one figure, which enable an easier comparison.
- The CPUEs standardization procedures are appropriate but it should be stated clearly that vessel HP and gear type are both proxy of the catching efficiency. However, trawl size should be used instead of HP and gear type as the catching efficiency is mainly related to swept area of the trawl and same gear code or vessel with HP might use increasingly larger trawlers with the same HP and gear type.
- Although the production model sensitivity analysis shows that CPUEs standardization issue might be a moderate problem here. Anyhow, although the different series grasp the same cyclic pattern, lack of proper standardisation of the effort might explain the different in the level in the least years between surveys and CPUEs, as the survey show the same level of biomass as in the 1985 in the last year but the CPUEs gives a much higher ones.
- The important issue is the definition of **reference points for this stock**. Reference points are set using NAFO standards:  $30\% B_{msy} = B_{lim}$  and  $F_{lim} = F_{msy}$ , which is different from the standard ICES approach. In this case there is no direct link between  $B_{lim}$  and  $F_{lim}$  as would be normal in the ICES PA framework.

- The email consultation with the WG Chair (Carsten Hvingel) clarifies this issue: "*The reference points used are the ones also used for the other shrimp stocks in the North Atlantic - and they are of NAFO origin (references to relevant doc's should be in the report). I have some discussions in 'Other studies' (NIPAG report) on the subject - but of course that might be a little short if one is not familiar with the subject. If one chooses to use  $F_{msy}$  as  $F_{lim}$  the 5% is very conservative (and NAFO doesn't use 5%; the use 'low risk' without defining precisely what that is)*".
- **The RG recommend that ACOM** should clarify this situation taking into account criteria already adopted in Anglerfish in VIIIc and IXa, where  $F_{msy}$  was set as a target, not a limit.

### Conclusions

The assessment has been performed in the same way as last year and correctly.

#### **NORTHERN SHRIMP IN FLADEN GROUND (ICES DIVISION IVA) (REPORT SECTION 7)**

##### **Assessment type: no assessment**

- No direct shrimp fishery since 2005.

**APPENDIX III. LIST OF RESEARCH AND SUMMARY DOCUMENTS, 21-29 OCTOBER 2009**

**RESEARCH DOCUMENTS (SCR)**

<b>SCR No.</b>	<b>Ser. No.</b>	<b>Author(s)</b>	<b>Title</b>
SCR Doc. 09-053	N5714	Michael C. S. Kingsley	A Provisional Assessment of the Shrimp Stock off West Greenland in 2009
SCR Doc. 09-054	N5715	J. M. Casas	Northern Shrimp ( <i>Pandalus borealis</i> ) on Flemish Cap Surveys 2009
SCR Doc. 09-055	N5716	J. M. Casas, C. González, E. Marull and J. Teruel	Northern Shrimp ( <i>Pandalus borealis</i> , Krøyer) from Spanish Bottom Trawl Survey 2009 in NAFO Div. 3LNO
SCR Doc. 09-056	N5717	J. M. Casas	Assessment of the International Fishery for Shrimp ( <i>Pandalus borealis</i> ) in Division 3M (Flemish Cap), 1993-2009
SCR Doc. 09-057	N5718	J. M. Casas	Comparison of Northern Shrimp Age composition calculated from Length distributions in the EU Survey and from Commercial Samples in 3M Division
SCR Doc. 09-058	N5719	G. Søvik and T. Thangstad	Results of the Norwegian Bottom Trawl Survey for Northern Shrimp ( <i>Pandalus borealis</i> ) in Skagerrak and the Norwegian Deep (ICES Divisions IIIa and IVa east) in 2009
SCR Doc. 09-059	N5720	D.C. Orr, P.J. Veitch and D.J. Sullivan	The 2009 assessment of the Northern Shrimp ( <i>Pandalus borealis</i> , Krøyer) resource in NAFO Divisions 3LNO
SCR Doc. 09-060	N5721	Michael C. S. Kingsley	Precisions for biomass-index series in fitting a stock-production model of the dynamics of the West Greenland shrimp stock by Bayesian methods.
SCR Doc. 09-061	N5722	C. Hvingel, T. Thangstad and P. Lyubin	Research survey information regarding northern shrimp ( <i>Pandalus borealis</i> ) in the Barents Sea and Svalbard area 2004-2009
SCR Doc. 09-062	N5723	Carsten Hvingel and Trond Thangstad	The Norwegian fishery for northern shrimp ( <i>Pandalus borealis</i> ) in the Barents Sea and round Svalbard
SCR Doc. 09-063	N5724	C. Hvingel	An assessment of the shrimp ( <i>Pandalus borealis</i> ) stock in the Barents Sea 2009
SCR Doc. 09-064	N5725	Arboe and Kingsley	Catch Table Update for the West Greenland Shrimp Fishery
SCR Doc. 09-065	N5726	Kaj Sünksen and Nikoline Ziemer	A preliminary estimate of Atlantic cod ( <i>Gadus morhua</i> ) biomass in West Greenland offshore waters (NAFO Subarea 1) for 2009 and recent changes in the spatial overlap with Northern shrimp ( <i>Pandalus borealis</i> )
SCR Doc. 09-066	N5727	Nanette Hammeken Arboe and Michael C.S. Kingsley	The Fishery for Northern Shrimp ( <i>Pandalus borealis</i> ) off West Greenland, 1970-2009
SCR Doc. 09-067	N5728	Nikoline Ziemer and Helle Siegstad.	Results of the Greenland Bottom Trawl Survey for Northern shrimp ( <i>Pandalus borealis</i> ) Off West Greenland (NAFO Subarea 1 and Division 0A), 1988-2009
SCR Doc. 09-068	N5729	T. Thangstad and G. Søvik	The Norwegian Fishery for Northern Shrimp ( <i>Pandalus borealis</i> ) in Skagerrak and the Norwegian Deep (ICES Divisions IIIa and IVa east), 1970-2009
SCR Doc. 09-069	N5730	S. Munch-Petersen, O. Eigaard, G. Søvik and M. Ulmestrand	The Northern shrimp ( <i>Pandalus borealis</i> ) Stock in Skagerrak and the Norwegian Deep (ICES Divisions IIIa and IVa East)
SCR Doc. 09-070	N5736	Hammeken Arboe, N. and Helle Siegstad	An assessment of the shrimp stock in Denmark Strait/ off East Greenland - 2009

**SUMMARY DOCUMENTS (SCS)**

<b>SCR No.</b>	<b>Ser. No.</b>	<b>Author(s)</b>	<b>Title</b>
SCS 09/27	N5731		NIPAG Report
SCS 09/28	N5732		NAFO Scientific Council Report



**APPENDIX IV. LIST OF PARTICIPANTS**

<b>CANADA</b>		
W. (Bill) B. Brodie	Fisheries and Oceans Canada Northwest Atlantic Fisheries Center, P.O. Box 5667, St John's NF A1C 5X1, Canada	Phone +709 772 3288 Email: bill.brodie@dfo-mpo.gc.ca
Joanne Morgan Co-Chair NIPAG	Fisheries and Oceans Canada Northwest Atlantic Fisheries Center, P.O. Box 5667, St John's NF A1C 5X1, Canada	Phone +709 772 2261 Email: joanne.morgan@dfo-mpo.gc.ca
David Orr	Fisheries and Oceans Canada Northwest Atlantic Fisheries Center, P.O. Box 5667, St John's NF A1C 5X1, Canada	Phone +709 772 7347 Email: david.orr@dfo-mpo.gc.ca
Don Stansbury	Fisheries and Oceans Canada, PO Box 5667, St. John's NL A1C 5X1, Canada	Phone + 709 772 0559 Email: don.stansbury@dfo-mpo.gc.ca
<b>DENMARK (in respect of Faroe Islands and Greenland)</b>		
Michael C.S. Kingsley,	Greenland Institute for Natural Resources, P.O. Box 570, GL-3900 Nuuk, Greenland	Phone +299 36 1200 Email: mcsk@natur.gl
Nanette Hammeken Arboe	Greenland Institute for Natural Resources, P.O. Box 570, GL-3900 Nuuk, Greenland	Phone +299 361200/361205 Email: NaHa@natur.gl
Helle Siegstad	Greenland Institute for Natural Resources, P.O. Box 570, GL-3900 Nuuk, Greenland	Phone +299 36 1200 Email: HeSi@natur.gl
Nikoline Ziemer	Greenland Institute for Natural Resources, P.O. Box 570, GL-3900 Nuuk, Greenland	Phone +299 361200 Email: nizi@natur.gl
<b>EUROPEAN UNION (EU)</b>		
Ricardo Alpoim Chair of SC	Instituto Nacional de Recursos Biológicos (INRB/IPIMAR), Av. de Brasilia, 1449-006 Lisbon, Portugal	Phone: +351 21 302 7000 – E-mail: ralpoim@ipimar.pt
José Miguel Casas Sanchez	Instituto Español de Oceanografía Centro Oceanográfico de Vigo, P.O. Box 1552, E-36200 Vigo (Pontevedra), Spain	Phone +34 986 492 111 Email: mikel.casas@vi.ieo.es
Sten Munch-Petersen	The National Institute of Aquatic Resources, Department of Sea Fisheries, Charlottenlund Slot, Jægersborg Alle 1, DK-2920 Charlottenlund, Denmark	Phone 45 33063390 Email: smp@aqua.dtu.dk
Silver Sirp	Estonian Marine Institute, 10a Mäealuse Street, EE-12618 Tallinn, Estonia	Phone +372 5295396 Email: silver.sirp@ut.ee
<b>NORWAY</b>		
Carsten Hvingel Co-Chair NIPAG	Institute of Marine Research, P.O. Box 1870, N-5817 Bergen, Norway	Phone +47 77609750 Email: carsten.hvingel@imr.no
Guldborg Søvik	Institute of Marine Research, P.O. Box 1870, N-5817 Bergen, Norway	Phone +47 5523 5348 Email: guldborg.soevik@imr.no
Trond Thangstad	Institute of Marine Research, P.O. Box 1870, N-5817 Bergen, Norway	Phone +47 77609741 Email: trond@imr.no
<b>SWEDEN</b>		
Mats Ulmestrand	Swedish Board of Fisheries, Institute of Marine Research, Lysekil, P.O. Box 4, SE-453 21 Lysekil, Sweden	Phone +46 52318700 Email: mats.ulmestrand@fiskeriverket.se

**NAFO Secretariat**

Anthony Thompson	Scientific Council Coordinator, Northwest Atlantic Fisheries Organization, P.O. Box 638 Dartmouth NS B2Y 3Y9 Canada	Phone +1 902 468 7542 Email: athompson@nafo.int
Barbara Marshall	Information Officer, Northwest Atlantic Fisheries Organization, P.O. Box 638 Dartmouth NS B2Y 3Y9 Canada	Phone +1 902 468 8598 Email: bmarshall@nafo.int
George Campanis	IT Manager, Northwest Atlantic Fisheries Organization, P.O. Box 638 Dartmouth NS B2Y 3Y9 Canada	Phone +1 902 468 7182 Email: gcampanis@nafo.int
Lisa Pelzmann	Office Manager, Northwest Atlantic Fisheries Organization, P.O. Box 638 Dartmouth NS B2Y 3Y9 Canada	Phone +1 902 468 5590 Email: lpelzmann@nafo.int