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**Quantifying the escape mortality of trawl caught Antarctic krill
(*Euphausia superba*)**

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1 **Quantifying the escape mortality of trawl caught Antarctic krill (*Euphausia superba*)**

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14 **Introduction**

15 In a regulated catch quota system, estimating unaccounted mortality is a vital factor
16 in the overall estimation of total fishing mortality [1,2]. Unaccounted mortality includes the
17 deaths that occur after escaping the fishing gear, due to physiological damage, stress or
18 trauma – factors which may also increase vulnerability to predators [3,2,4]. Antarctic krill
19 (*Euphausia superba*, hereafter krill), are circumpolar in distribution and constitute an
20 important fishery resource [5,6,7,8]. Krill are regarded as one of the most under-exploited
21 fisheries in the world [9,10], with a potential harvest from the Scotia Sea and southern Drake
22 Passage equivalent to 7 % of current global marine fisheries production [11]. The
23 distribution and level of the krill harvest is expected to expand [7], but the methods for
24 estimating unaccounted fishing mortality in krill remain poorly understood.

25 Trawlers involved in the krill fishery use various trawl designs, with different mesh
26 sizes, and estimates of the size-selectivity of various gears shows that escape occurs even from
27 some of the smallest meshes used commercially [12]. Underwater video recordings made
28 during commercial trawling indicate that the orientation of the animals escaping the meshes is
29 not random; escapees usually exit the trawl head first and relatively perpendicular to the
30 netting wall [12]. This suggests that individual krill may be able to orientate themselves
31 optimally in relation to the trawl and that this behavior could theoretically increase the
32 proportion escaping. Alternatively, the escape process may be more random, since a 200 m
33 long commercial trawl provides many opportunities for krill to contact the netting during their
34 journey to the codend and at some point individuals may meet the netting at an optimal
35 orientation purely by chance. The estimated 50 % retention body length (L₅₀) of krill in the
36 commonly used 16 mm mesh size was 33.91 mm [12]. Because many of the length classes of
37 krill can escape through the commonly used mesh sizes, it is important to estimate the
38 survival of escapees from these fishing gears to achieve responsible harvest levels and
39 sustainable management. If the escape mortality is high, non-selective mesh sizes would be
40 preferable.

41 Siegel estimated the escape mortality rate of krill at 5–25 % [13], based on the
42 assumption that the mortality rate of the individuals passing through the net meshes equals the
43 rate of lethally damaged individuals observed in the codend of the commercial trawl.
44 However, Broadhurst et al. [14] reported that inspection of damaged individuals from a trawl
45 catch is a poor proxy for mortality. But if such values are correct, the total mortality caused by
46 the commercial fishery might be considerably higher than reported catch values. More formal
47 estimates of unaccounted fishing mortality have been difficult to obtain, often due to the
48 complex logistics involved in survival studies (see review in [14]). Organisms escaping from
49 fishing gear must be subsequently and gently recaptured. A common approach used to collect

50 escapees from trawls involves attaching fine meshed bags or covers to or around the trawl
51 body, or more often to the codend [15,16,17]. The collected escapees are then gently
52 transferred to holding tanks or other enclosures in the field, which mimic natural conditions,
53 to assess any delayed mortality [18,19].

54 Studies of survival of escapees have been carried out for many different species
55 worldwide (reviews in [20,14]) and show great variability in species survival, reflecting
56 differences in species robustness and their ability to withstand physical stress and fatigue.
57 Crustaceans have a higher chance of survival compared to fish since their durable
58 exoskeletons provide increased protection against abrasion and compression [17,21,22,23].

59 Development and initial testing of a trawl based sampling technique to monitor
60 mortality rates of escaped krill employing a covered codend technique followed by onboard
61 observations in holding tanks have been published [24]. The results suggest that krill are
62 probably fairly tolerant to the capture-and-escape process, which is consistent with studies
63 involving other crustaceans [25,26,23]. The results also suggest that krill with smaller body
64 lengths suffered higher mortality. However, the large variation in the mortality rate
65 observed between relatively few replicates indicates inadequate holding tank conditions.
66 However, based on the accumulated experience from these trials, Krafft and Krag [24] made
67 several recommendations to increase the accuracy of the estimated escape mortality for
68 potential future studies.

69 This study set out to quantify the escape mortality of trawl caught krill, following
70 the study design and recommendations for methodological improvements given in Krafft
71 and Krag (24): i) increased number of replicates; ii) establishment of adequate experimental
72 control groups; and iii) optimized holding facilities to mimic natural conditions as closely as
73 possible. In addition, we provide a formal statistical approach to investigate mortality rates
74 of escapees against time, applying a non-parametric Kaplan Meier (KM) model [27] to the

75 data.

76

77 **Materials and Methods**

78 **Ethical statement**

79 This study did not involve endangered or protected species. Experimental fishing was
80 conducted on board a Norwegian commercial trawler. No permit was required to conduct the
81 study.

82 **Data collection**

83 This study was carried out on commercial fishing grounds off the coast of the South
84 Orkney Islands (60°35'S, 45°30'W) [28] during February 2015. The vessel used was the FV
85 *Juvel* (Olympic AS) a Norwegian, 99.5 m, 6000 kw/8158 hp (main engine) commercial ramp
86 trawler. Trawls were performed on acoustic registrations, using Simrad EK60 General
87 Purpose Transceivers connected to hull mounted ES60 transducers. The trawl used for the
88 experiment had a 6 × 6 m mouth opening, fitted with a 7 mm cover for the 16 mm codend
89 (see further details regarding the trawl design below). Krill were captured to establish a
90 control group for the survival experiment by closing the cover and keeping the inside codend
91 open. An initial haul provided 2.0 kg krill which were used to establish a control group for the
92 survival experiment. These krill were distributed between eight 15 L aquariums (n=42–193 in
93 each/aquarium). Two aquariums were placed in each of the four 500 L holding tanks (Fig. 1).
94 During the first 24 hours, the krill in the aquariums were regularly checked for visible signs of
95 abnormal swimming activities, discoloration due to punctured haemocoel or other potential
96 physical damage. A total of 24 hrs after this haul was taken on board, the control group was
97 considered established since no individuals had to be removed from any of the eight control
98 aquariums (Table 1). With the control established, the covered-codend experiment [21]
99 proceeded to collect replicates to monitor the survival of escapees.

100 The trawl had a 5 m long codend with 16 mm netting (standard commercial mesh size)
101 and a 26.5 m long cover net (7 mm stretched mesh) was added to collect any krill escaping
102 (Fig. 2). The cover net was stretched using a hoop cover design (two aluminum rings, of 4 m
103 diameter) to prevent masking the codend. We used underwater cameras mounted inside the
104 cover, facing the codend, to inspect the system (GoPro Hero 3 cameras in aluminum housings
105 (IQsub, 300 m water resistant)) (see Fig. 3).

106 We suspected that larger catches of escaped krill in the cover might impact the
107 animals' metabolism due to reductions in oxygen concentration. In addition, their increased
108 exposure to mechanical damage due to denser packing and prolonged handling time on deck
109 before transfer to the holding facilities might contribute to further increased mortality. Smaller
110 catches were therefore preferred and we took steps to try to limit catch size. Krill that had
111 escaped from the codend were collected from the rear part of the cover using a 5 L hard
112 plastic bucket with small holes, covered by 500 μm mesh netting. The plastic bucket was
113 attached to a hard nylon column and the rear cover rigged with a quick release system to
114 enable fast transfer of the krill to the holding facility.

115 Hydrographic data were acquired using a mini CTD (Star-Oddi) mounted to the trawl
116 beam, logging at 10-second intervals (Table 2), and a trawl eye sensor (type A1,
117 www.marport.com) attached to the headline gave depth and temperature information during
118 fishing operations. The trawl was towed at commercial speeds of about 2.0–2.5 knots.

119 After each haul the entire towing rig with opened codend and cover was cleaned by
120 dragging it on the surface for 10–15 min and then hung and flushed on deck to wash out any
121 krill remaining from the previous haul. Of a total of 17 hauls, eight were successful in
122 catching krill in the cover (shown as hauls 1–8; Table 2). The hauls were performed day and
123 night, to reflect commercial fishing practice.

124 **Experimental conditions**

125 Surface seawater was pumped directly on board into a 1000 L insulated buffer tank via
126 the vessels saltwater intake system. Two pumps (Fountain Pumps, Allegro) delivered 440 L
127 water/hr into each of the four 500 L holding tanks used for this experiment (Fig. 1). The
128 buffer tank system was chosen to reduce the possibility of ambient oxygen oversaturation in
129 the turbulent water delivered from the vessel's large internal pump system. The high level of
130 water exchange was chosen to most closely resemble the natural temperature conditions. The
131 four 500 L holding tanks were fitted with a light cover (tarpaulin), hydrological conditions
132 were monitored continuously using oxygen sensors (Oxyguard Handy Polaris 2) and mini
133 CTDs (Star-Oddi) recorded temperature and salinity every 10 sec (Table 3). Groups of krill
134 and krill replicates were held and separated using 15 L transparent plastic aquariums and the
135 krill were then placed into the four 500 L holding tanks. The aquariums were perforated with
136 3 mm diameter holes, 320 on the side walls and 100 in the lid, to ensure sufficient exchange
137 of water. The perforated 15 L aquariums had the advantage of reducing vessel induced
138 movement of the individuals held in the aquariums while in the 500 L holding tanks, as well
139 as separating the different experimental groups. The entire experimental set-up, including
140 sensors and circulating water in all of the tanks (1000 L, 500 L and 15L), was switched on 48
141 hours prior to the first arrival of control groups of krill to ensure that all components were
142 functioning properly.

143 When a trawl was landed on deck, a sample of krill was promptly poured from the 5 L
144 hard plastic bucket into one of the 15 L aquariums filled with surface seawater. Because the
145 krill used in the experiment were mostly from the top layer of the krill accumulated in the
146 bucket, they probably represented individuals from the later stages of the selection process.
147 The individually marked closed plastic aquariums representing a particular haul were then
148 submerged into one of the four 500 L holding tanks and inspected at regular intervals to
149 assess krill mortality. Dead individuals were removed from the aquariums, counted and

150 measured. All length measurements in this study were made from the anterior margin of the
151 eye to the tip of the telson, excluding the setae (± 1 mm), according to Marr [29].

152 **Estimation of time-dependent mortality**

153 To investigate the time-dependent probability of mortality, we fitted a non- parametric
154 KM curve [27] to the data for individual hauls. The KM curve provides an estimate of the
155 proportion of individuals surviving against time. The zero point for the time parameter in the
156 analysis was set as the time when the gear arrived on deck. The survival analysis was carried
157 out using the statistical software tool R (version 2.15.2; www.r-project.org) using the survival
158 package with the function survfit for estimating the KM curves. In addition to the KM curve
159 for individual hauls, we also fitted a KM curve for the survival data, pooled over all hauls of
160 krill escaping from the codend mesh.

161 A KM curve was also fitted to the survival data from the control groups.

162 **Investigation of parameters potentially affecting the survival probability**

163 To investigate the potential effect of different operational parameters on the survival
164 probability of krill in the codend mesh escapement trials we investigated the dependency of
165 survival rate after 60 hours (P60) for individual hauls (obtained from the individual KM
166 curves) against the values of six operation parameters: haul duration, sea temperature,
167 maximum fishing depth, cover catch weight, codend catch weight and seawater salinity. This
168 was investigated by testing individual single parameter linear models (Table 4), to check if the
169 individual explanatory parameters had significant effects on P60.

170 This analysis was conducted using the lm function in the software tool R. If any of the
171 parameters were found to be significant (p-value < 0.05) models considering multiple
172 parameters simultaneously were also tested.

173 **Estimation of the size-dependent survival probability**

174 To investigate the potential effect of krill size on their survival probability, the krill
 175 that had escaped from the codend mesh and those in the control experiment were sorted into 1
 176 mm size groups. The number of krill alive and dead at the end of the experiment were counted
 177 separately for the mesh escapement trials and the control trial. This provided an experimental
 178 survival rate for each length group. These data had the same structure as the codend size
 179 selectivity data [21] and the same methods that were applied to model the flexible size-
 180 selection curves could therefore be applied to the model size-dependent krill survival
 181 probability. For this analysis, we applied a flexible survival probability model $s(l)$ of the form:
 182

$$183 \quad s(l, \mathbf{v}) = \frac{\exp(f(l, \mathbf{v}))}{1 + \exp(f(l, \mathbf{v}))} \quad (1)$$

184
 185 where f is a polynomial of order m with the coefficients v_0 to v_m . We applied (1) with f of the
 186 following form:

$$188 \quad f(l, \mathbf{v}) = \sum_{i=0}^m v_i \times \left(\frac{l}{100.0}\right)^i \quad (2)$$

189
 190 where we considered the orders $m \leq 4$. Leaving out one or more of the parameters v_0 to v_4 led
 191 to 31 additional models that needed to be considered as potential models for the size-
 192 dependent survival probability of krill. Estimation of the average survival probability between
 193 hauls involves pooling data from the different hauls. We used a double bootstrapping
 194 technique that accounts for both within- and between-haul variation in the survival
 195 probability. For each case analyzed, 1000 bootstrap repetitions were conducted to estimate the
 196 Efron percentile 95 % confidence limits [30, 31]. Because this technique is similar to the one
 197 applied by Herrmann et al. [32], it is not described further here. We tested different
 198 parametric models for $s(l, \mathbf{v})$, where \mathbf{v} is a vector consisting of the parameters of the model.

199 The purpose of the analysis is to estimate the values of the parameter ν that give the most
200 likely observed experimental data, averaged over hauls, assuming that the model is able to
201 describe the data sufficiently well. Thus, function (3) was minimized, which is equivalent to
202 maximizing the likelihood for the observed data:

203

$$204 \quad -\sum_j \sum_l \{ns_{jl} \times \ln(s(l, \nu)) + nd_{jl} \times \ln(1.0 - s(l, \nu))\} \quad (3)$$

205

206 where the summations are over hauls j and length classes l , and where ns_{jl} and nd_{jl} are the
207 number of surviving and dead krill respectively.

208 We evaluated the ability of the model to describe the data sufficiently well based on
209 (3) based on calculation of the corresponding p-value, which expresses the likelihood of
210 obtaining at least as big a discrepancy between the fitted model and the observed
211 experimental data by chance. Therefore, for the fitted model to be a candidate to model the
212 size-dependent survival data, this p-value should not be below 0.05. Model deviance versus
213 degree of freedom can also be applied in the model evaluation [21]. Selection of the best
214 model among those with acceptable p-values is based on comparing the AIC values for the
215 models. The selected model is the one with the lowest AIC value [33]. If the model with the
216 lowest AIC value does not produce an acceptable p-value, it could be due to the model's
217 inability to describe the length-based structure of the data or to over-dispersion in the data.
218 Residual plots can be used to discriminate between over-dispersion and structural problems in
219 a model's ability to describe experimental data [21,34].

220 The analysis was conducted using the software tool SELNET (Herrmann et al., 2012).
221 Estimating the uncertainty of the size-dependent survival probability, we took the uncertainty
222 related to model selection [35] into account by incorporating automatic model selection into

223 each of the bootstrap iterations carried out in the estimation procedure for estimating the
224 uncertainty in the survival probability.

225

226 **Results**

227 **Data collection/holding conditions**

228 The duration of experimental trawl hauls varied from 30–53 minutes, with maximum
229 hauling depth ranging between 22–191 m (Table 2). Catch weight of krill varied from 0–84
230 kg in the 16 mm codend and 0.06–15 kg in the 7 mm trawl cover. Small differences between
231 hauling and holding hydrological conditions were recorded (Tables 2 and 3). Minimum water
232 temperature and surface temperature during hauls were more variable than surface
233 temperature during hauling and the temperature during the entire holding period. The mean
234 salinity levels were slightly higher for some of the hauls, compared with the mean salinity
235 levels measured over the entire holding period. Oxygen concentrations were high, and the
236 holding conditions were stable and similar to natural surface conditions throughout the
237 observation period.

238 **Estimation of the time-dependent survival probability**

239 The survival probability 60 hours (P60) after the trawl arrived on deck for codend
240 mesh escapement hauls ranged between hauls from 0.88 to full survival; the average was 0.96
241 ± 0.04 (Tables 2 and 5, Fig. 4). This equals a between-haul escape mortality variation ranging
242 from 0–12 %, averaging 4.4 ± 4.4 %.

243 **Investigation of parameters potentially affecting the survival probability**

244 There were no significant effects on survival probability of individual hauls versus
245 different operational parameters: haul duration, sea temperature, maximum fishing depth,
246 codend catch weight, cover catch weight or seawater salinity (Table 6, Fig. 5). Pooled KM
247 survival probability curves for the codend escapement trial and control experiment show that

248 the small mortality observed in the control groups, which includes potential mortality induced
249 by the holding conditions, also influenced the observed escape mortality (Fig. 6). We assumed
250 natural mortality rates to be the same between controls and experimental groups.

251 **Estimation of the size-dependent survival probability**

252 The model in Fig. 7 produced a p-value at 0.70, indicating that it is likely that the
253 discrepancies observed between data points and the model are coincidental. The model
254 therefore describes the experimental data sufficiently well. This model has an AIC value of
255 422.39, while a model without the length dependency has an AIC value that is 1.58 higher
256 (423.97). Based on this difference in AIC values, length dependency in survival probability is
257 supported.

258 The control groups display a linear horizontal model in this regard, indicating no length
259 dependent mortality (Fig. 8, Table 1).

260

261 **Discussion**

262 In this study of the escape mortality of krill, a control group kept in stable conditions
263 comparable to their natural environment was first established to validate the quality of the
264 experimental holding facilities. All eight successful experimental hauls, in which krill
265 escaping the trawl were subsequently collected in the trawl cover and monitored on board for
266 post-escape mortality, displayed similar mortality patterns. The highest mortality rates were
267 observed during the first 24 hours, followed by a flattening of the survival curve (Fig. 4). Our
268 results show that the survival probability of a krill escaping the commercial trawl netting 60
269 hours (P60) after the trawls arrived on deck was 96 %. Taking the modest between-haul
270 variations into account, the mortality of krill escaping the codend in our study was 4.4 ± 4.4
271 %. This clearly shows that krill are fairly tolerant of the capture-and-escape process. It also

272 agrees with the expected escape mortality rates discussed in [24] and is consistent with studies
273 involving other crustaceans, which also showed low mortality rates [25,26,23].

274 Post-escape conditions in commercial trawling situations differ from those pertaining
275 during this experiment. Krill escaping during commercial harvests are released directly into
276 the sea outside of the trawl body, while escapees collected with a cover face additional
277 physical stress and environmental change during retrieval and transfer to a holding tank. We
278 took great care during the experiment to reduce the degree of exposure to such stresses to a
279 minimum, so as to increase the chance of isolating and studying the effect of escape on
280 mortality. The success of this care was evident in that the variation in observed escape
281 mortality between replicates was unaffected by any of the fixed effects. Mortality was
282 unaffected by haul duration, exposure to different hydrological conditions, maximum fishing
283 depth or catch composition, nor were there any negative effects associated with holding
284 conditions. Nevertheless, other factors could be involved, such as the actual time that krill
285 enter the trawl in relation to total hauling time. Also the critical process of hauling the trawl
286 from the surface to the slip and up onto the deck, which was done as quickly as possible,
287 exposed the krill to the air and possibly increased physical wear caused by the extra
288 gravitation when out of the water. These stresses were difficult to standardize and may cause
289 some between-haul variation in mortality rates. All things considered, our results probably
290 represent maximum estimates for the mortality of krill escaping trawl nets.

291 Conventional commercial krill trawls may differ in design and operational conditions.
292 Some are towed for up to an hour and the catch landed on deck may reach ten tonnes [36].
293 Other trawls may be emptied at the sea surface using a pump system, while a more recently
294 developed “eco-harvesting technology” (patent WO2005004593), brings krill continuously to
295 the production deck of the vessel from a submerged trawl through a hose attached to the
296 codend. The effect on escape probabilities of various gear technologies and their mode of use

297 (*e.g.* towing speed), probably differ. In general, larger catches probably reduce escape
298 probability due to denser packing of individual krill, preventing them from orienting their
299 bodies so as to enable penetration of the net mesh.

300 We found indications that krill size influences survival probability, though not
301 significantly, with smaller body sizes suffering higher mortality. It is worth noting that no
302 such influence was found in the control groups. Krafft and Krag [24] found that small body
303 length predicted higher mortality in their study, and speculated whether this was because the
304 exoskeletons of smaller krill tend to be softer than those of larger krill, making them more
305 vulnerable. A number of studies of fish demonstrate negative correlations between length and
306 skin injury or mortality post-escape [18,37,38,39,19,40]. Such relationships might be related
307 to size-dependent swimming ability and the possibility that larger fish make sustained escape
308 attempts to avoid stressors such as netting panels and other parts of the towed gear that could
309 increase physiological damage.

310 Animals have different tolerances for injury and it is important to understand the time
311 requirements for this kind of holding experiment [14]. Wassenberg and Hill [41] maintained a
312 large array of fishes and invertebrates with injuries from trawl nets for one week in laboratory
313 tanks to understand the effects over time. They concluded that holding for four days was
314 adequate to show permanent effects for most fishes and invertebrates. In our study, the
315 duration of trials between hauls varied from 2.5 days to almost 6 days. This between-haul
316 variation in monitoring time was due to the available ship time. In any case, the escape
317 mortality signatures from the KM plots display similar survival curves with the highest
318 mortality rates during the first 24 hours (Fig. 4), indicating that the duration of our study trials
319 was adequate for a representative description of post-escape mortality for this particular
320 species.

321 Post-escape mortality studies quantify delayed mortality rates, often determined after
322 several days. Such values do not therefore provide any information regarding conditions such
323 as ambient stress levels that a single escapee may experience after a successful escape from the
324 trawl. Further work on potential post-escape vulnerability to predators is still required to fully
325 understand the effect of unaccounted fishery mortality [3,2,4]. Any possible increased
326 predation on escaped krill could not be investigated or verified using our study design. Future
327 studies could investigate potential post-escape vulnerability to predators in the field by
328 measuring stress levels in the post-escape process using *e.g.* portable blood physiology point-
329 of-care devices (*e.g.* [42]).

330 We observed low mortality of krill captured by a trawl and then penetrating the mesh,
331 being transported on board and studied in holding tanks over a sustained period. The control
332 group, which were exposed to the same stresses described above except that they did not
333 escape a mesh, suffered almost no mortality. This shows that we succeeded in providing
334 stable, high quality holding conditions throughout the study. The effect of escape is therefore
335 shown by the difference in mortality between the control and experimental groups, even
336 though the control represented only a single haul. We found low between-haul mortality
337 variations in the escape experiment hauls, and some of this variation could be explained by
338 stresses induced post-heaving and between holding conditions. A comparison of mortality
339 between the control and experimental groups should ideally include several control hauls to
340 determine whether any between-haul variations exist. We conclude that krill are fairly tolerant
341 to the capture-and-escape process. This knowledge is valuable for the adoption of gear based
342 management measures and for future fishing gear development to reduce escapement and
343 unaccounted mortality, which in turn will also increase the long term economic profitability
344 of the fishery.

345

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494 **Tables and Figures**

495

496 Table 1: Summary of mortality inspections made for control groups. X: no inspection made.

Inspection time (day:hour:min)	No. dead Box A1	No. dead Box A2	No. dead Box B1	No. dead Box B2	No. dead Box C1	No. dead Box C2	No. dead Box D1	No. dead Box D2	Total
06:12:05 (on deck)	0	0	0	0	0	0	0	0	0
06:13:12	0	0	0	0	0	0	0	0	0
07:12:30	0	0	0	0	0	0	0	0	0
07:19:18	0	0	0	0	0	0	0	0	0
08:14:30	0	0	0	0	0	0	1	0	1
09:00:25	0	0	0	0	0	0	1	0	1
09:10:00	0	0	0	0	0	0	0	0	0
09:22:00	0	0	0	0	0	0	0	0	0
10:10:00	0	0	0	0	0	0	1	0	0
10:22:00	0	0	0	0	0	0	1	0	0
11:08:30	0	0	0	0	0	0	0	0	0
11:22:00	0	0	0	0	0	1	0	0	1
12:13:00	0	0	0	0	0	0	0	0	0
12:23:00	0	0	0	0	0	0	0	0	0
13:12:53	0	0	0	0	0	0	0	0	0
13:22:00	0	0	0	0	0	0	0	0	0
14:13:00	0	0	0	0	0	0	0	0	0
14:17:20	0	0	X	X	0	0	0	0	0
14:18:30	0	1	X	X	0	0	0	0	1
14:20:05	0	0	X	X	0	0	0	0	0
14:22:04	0	0	X	X	0	0	0	0	0
15:01:50	0	0	X	X	0	0	0	0	0
15:12:19	0	0	0	0	0	0	0	0	0
Total no. live krill	73	68	88	65	61	45	117	84	601
Total no. dead krill	0	1	0	0	0	1	4	0	6

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500

501 Table 2: Operational conditions and survival probability 60 hours (P60) after trawl arrived on
502 deck for codend mesh escapement hauls

Haul no.	Max. depth (m)	Haul duration (min.)	Min. temperature (°C)	Temperature surface (°C)	Salinity (g/L) Mean ± SD	Cover catch (kg)	Codend catch (kg)	P60
1	152	36	-1.4	1.2	33.4 ± 0.3	0.06	0	0.99
2	165	34	-1.2	0.6	33.3 ± 0.1	0.5	10	1.00
3	185	46	-1.2	0.8	33.3 ± 0.2	0.05	1	0.98
4	126	42	-1.3	0.9	33.0 ± 2.7	6	58	0.98
5	191	30	-1.2	0.7	33.2 ± 0.3	7	50	0.94
6	93	36	-1.1	0.6	31.3 ± 5.6	0.5	9	0.98
7	111	53	-1.1	-1.1	33.1 ± 3.0	0.25	15	0.88
8	22	30	0.0	0.1	33.1 ± 0.1	15	84	0.90

503

504 Table 3. Holding conditions during entire monitoring period 06:12:05–15:12:19
505 (day:hour:min)

Holding conditions	Mean ± SD
Water temp (°C)	1.0 ± 0.8

Salinity (PSU)	31.9 ± 0.3
Oxygen mg/L	11.2 ± 0.3
Oxygen Sat. (%)	100.1 ± 2.1

506

507 Table 4. Model input of survival probability after 60 hours (P60) for individual hauls
 508 (obtained from the individual KM curves) versus the value of six operational parameters on
 509 the survival probability of krill in the codend mesh escapement trials.

Model input
P60~Intercept + Haul duration
P60~Intercept + Temperature
P60~Intercept + Max. depth
P60~Intercept + Cover catch
P60~Intercept + Codend catch
P60~Intercept + Salinity

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518 Table 5: Summary of mortality inspections made for experimental groups of escapees: T:
 519 terminated

Inspection time (day:hour:min)	Haul no. 1 (On deck 07:12:07) No. dead	Haul no. 2 (On deck 07:17:29) No. dead	Haul no. 3 (On deck 07:21:46) No. dead	Haul no. 4 (On deck 08:09:32) No. dead	Haul no. 5 (On deck 08:10:40) No. dead	Haul no. 6 (On deck 12:14:45) No. dead	Haul no. 7 (On deck 12:17:15) No. dead	Haul no. 8 (On deck 13:01:13) No. dead
07:13:30	0							
07:19:18	0	0						
08:14:30	1	0	0	1	8			
09:00:25	0	0	1	3	0			
09:10:00	0	0	0	0	1			
09:22:00	0	0	0	2	0			
10:10:00	0	0	0	0	0			
10:22:00	0	0	0	0	0			
11:08:30	0	0	0	0	0			
11:22:00	0	0	1	1	1			
12:13:00	0	0	0	1	0			
12:23:00	0	0	0	1	1	1	3	
13:12:53	T	T	0	1	0	0	3	9
13:22:00			0	T	0	0	1	4
14:13:00			T		T	1	0	4
14:17:20						0	0	0
14:18:30						0	0	0
14:20:05						0	1	1
14:22:04						0	0	0

15:01:50	0	0	1
15:12:19	0	0	1
15:13:26	0	0	0
15:13:57	T	0	0

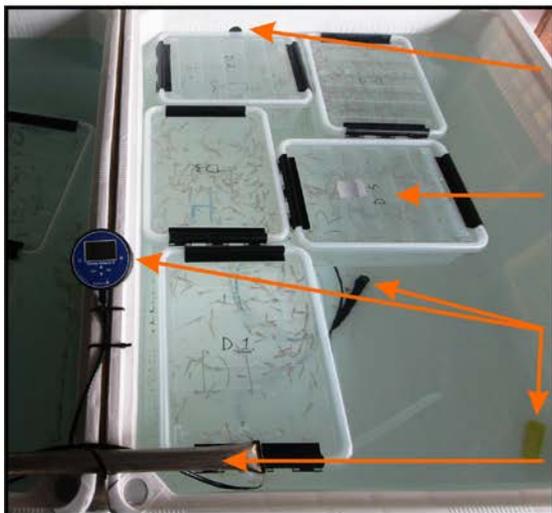
520

521 Table 6: Summary for linear models for effect on 60 hours survival rate

Model	Intercept value	p-value for intercept	Explanatory parameter	Value for Explanatory parameter	p-value for explanatory parameter	R²-value
P60~Intercept + Haul duration	1.00827	2.61e-05	Haul duration	-0.00137	0.56	0.0588
P60~Intercept + Temperature	0.90311	5.03e-08	Temperature	-0.08234	0.07	0.4445
P60~Intercept + Max. depth	0.89963	5.07e-07	Max. depth	0.00043	0.18	0.2744
P60~Intercept + Cover catch	0.96979	4.08e-09	Cover catch	-0.00387	0.26	0.2074
P60~Intercept + Codend catch	0.97401	8.28e-09	Codend catch	-0.00065	0.27	0.1983
P60~Intercept + Salinity	1.19789	0.23	Salinity	-0.00735	0.89	0.0121

522

523



Water drain (diameter = 4 cm)

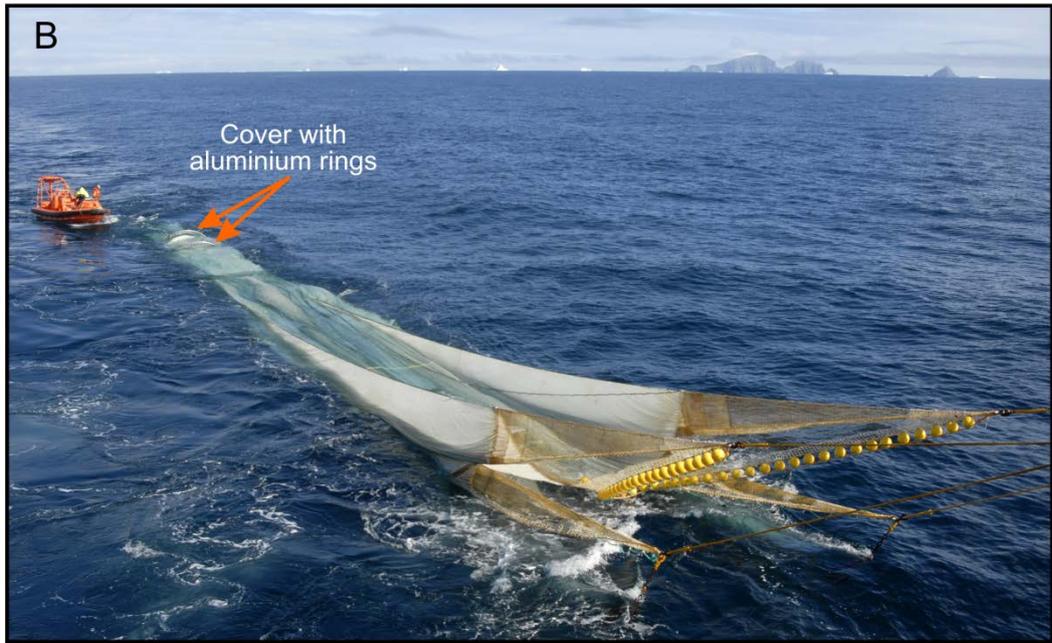
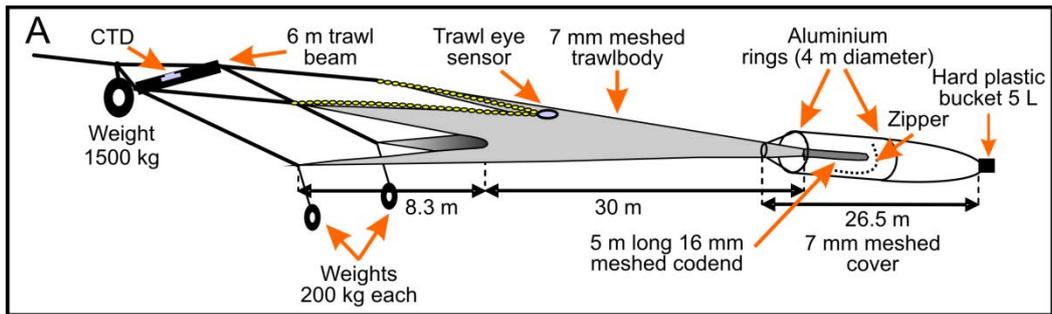
15 L aquariums

Oxygen and temperature sensors

Water supply

525

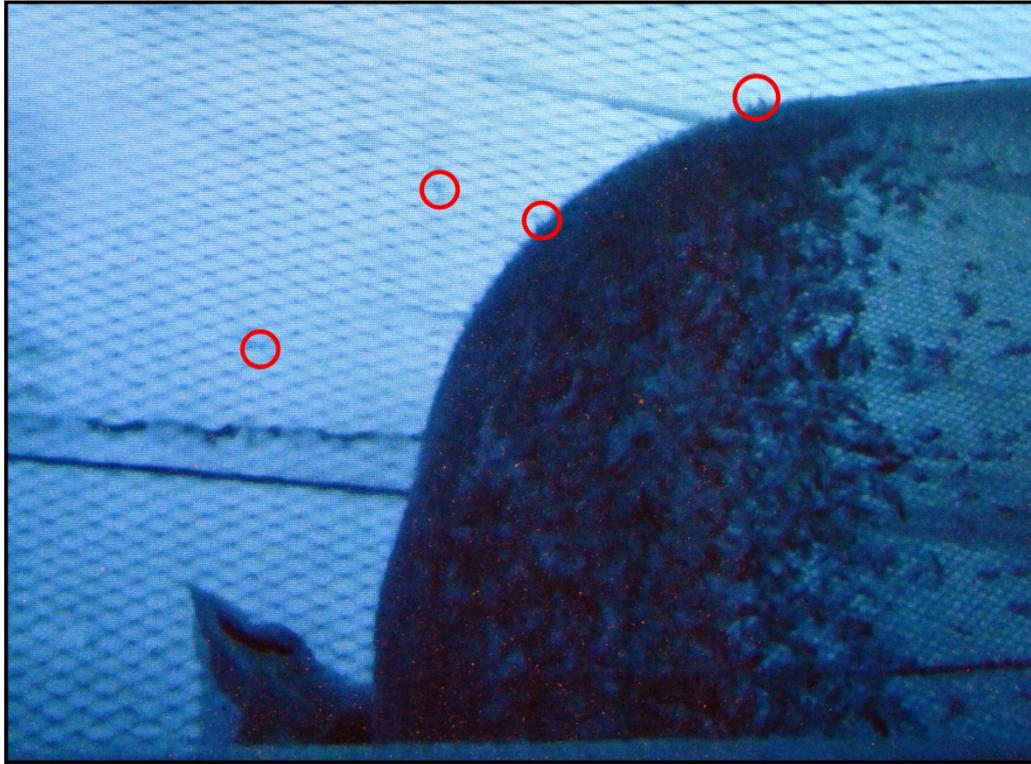
526 Figure 1. Experimental holding tank set-up with krill control groups and trawl caught
527 escapees to monitor escape mortality.



528

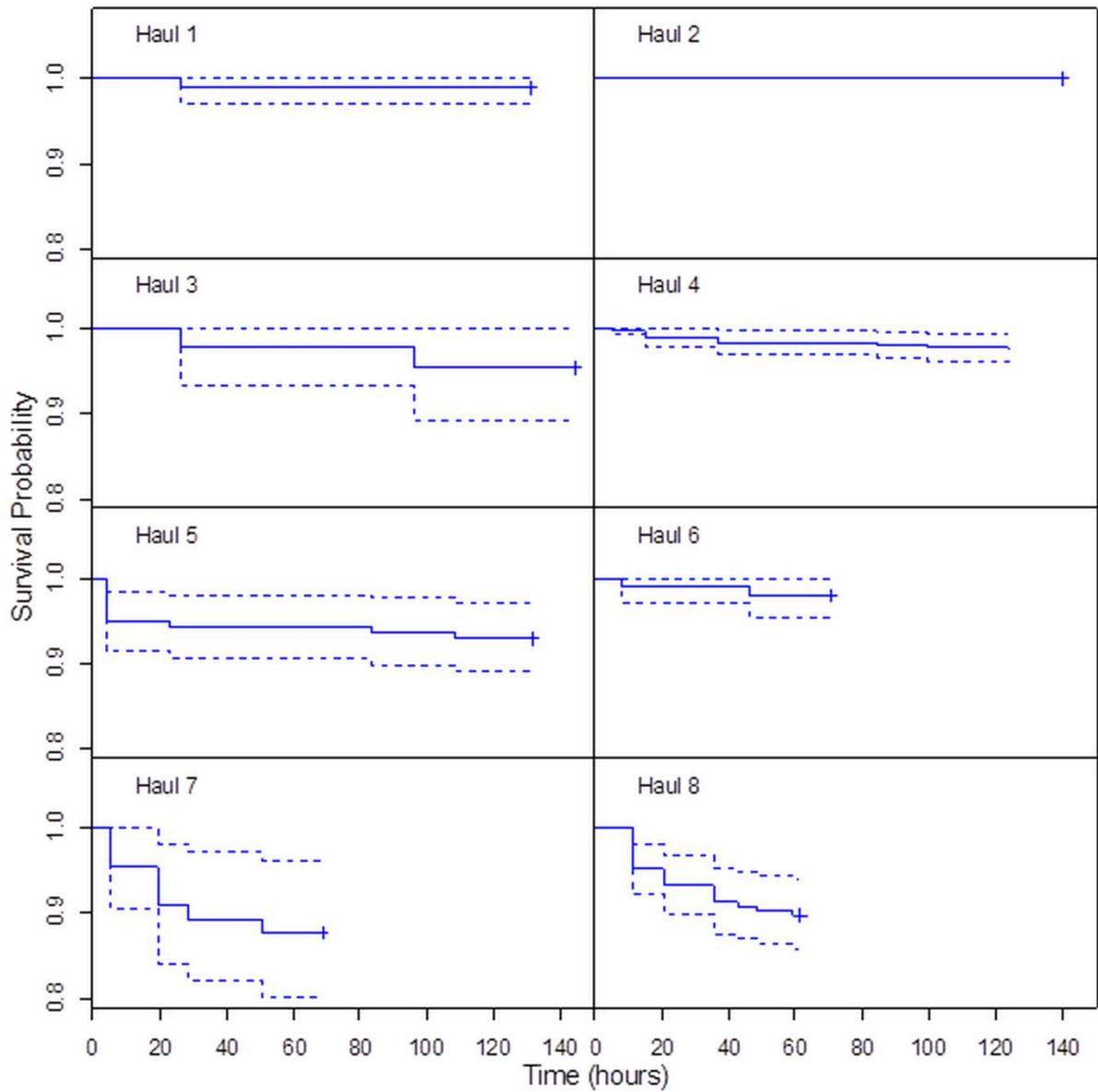
529 Figure 2. Covered codend sampling system used to collect krill trawl escapees (A and B).

530



531

532 Figure 3. Image captured inside the cover facing the codend during fishing, using underwater
533 video, Red circles indicate krill penetrating 16 mm meshes in the codend and escapees within
534 the cover. The cover mesh was 7 mm supported by a 200 mm protection net.

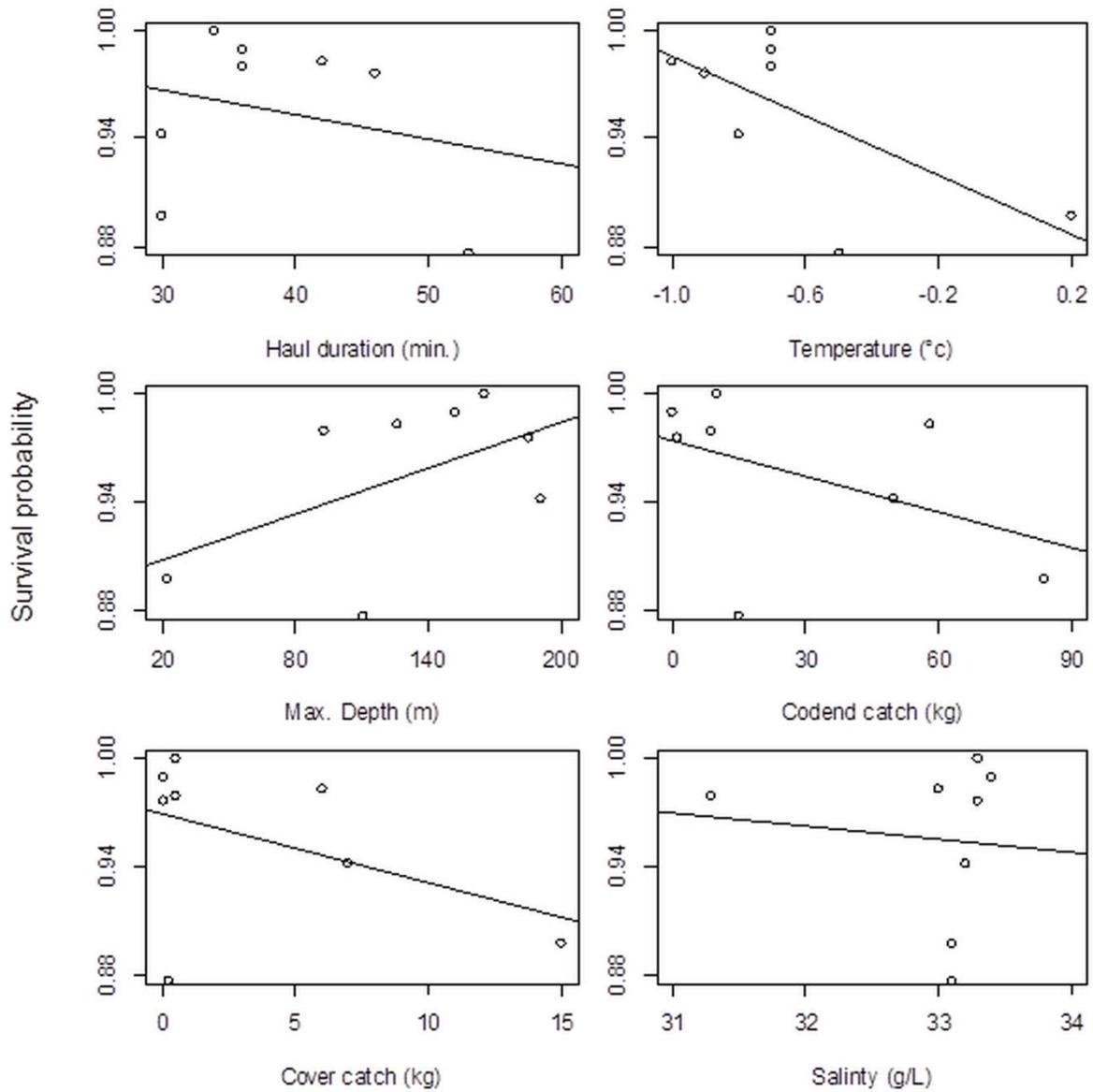


535

536 Figure 4. Kaplan-Meier survival probability curves for individual codend escapement hauls.

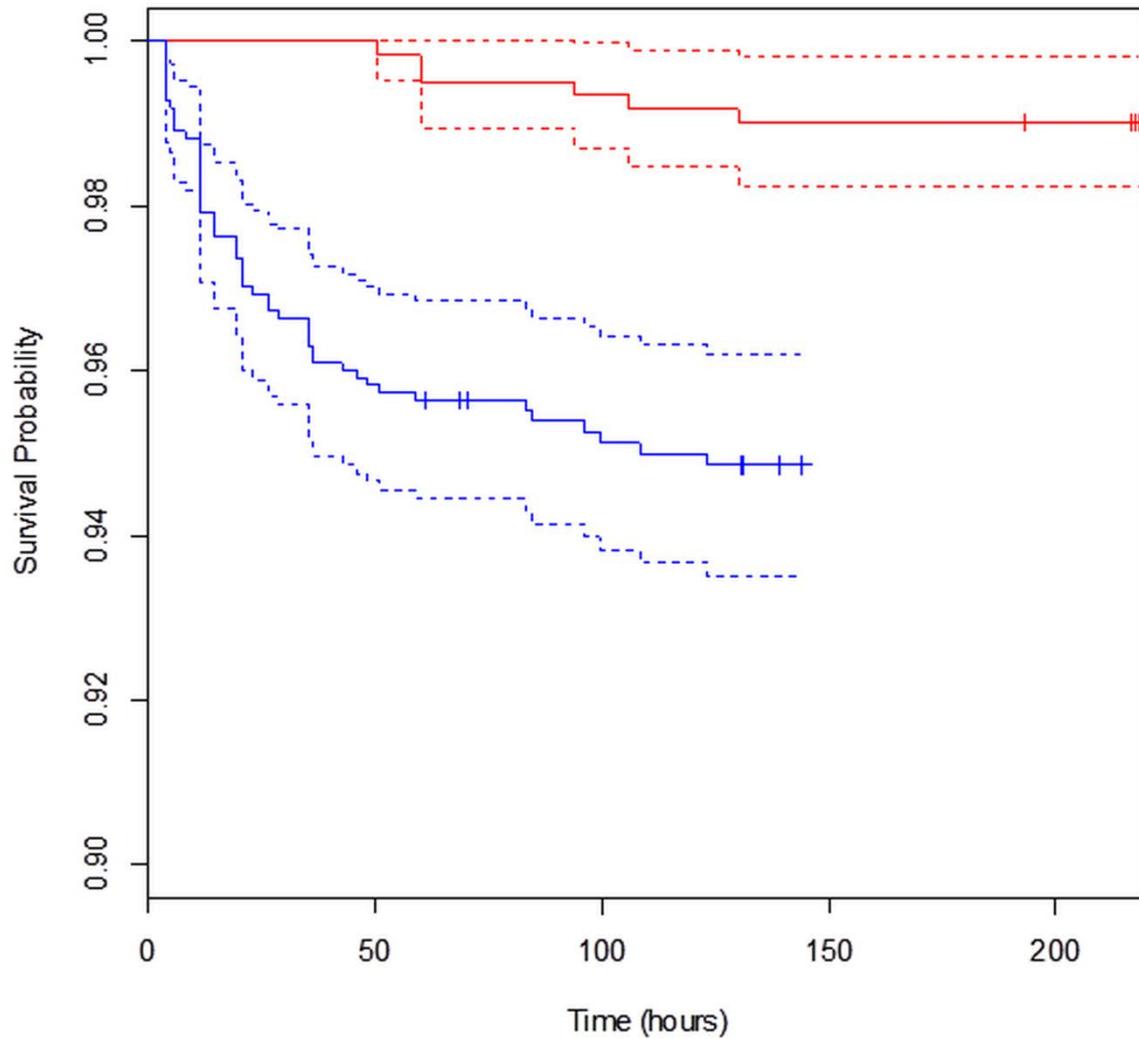
537 Dashed lines represent 95 % confidence bands. Time on x-axis is given in hours from arrival

538 of the catch on deck.



539

540 Figure 5. Survival probability in individual hauls 60 hours after the catch arrived on deck
 541 against different operational parameters: haul duration, sea temperature, max. fishing depth,
 542 codend catch weight, cover catch weight, seawater salinity. The lines in the plots represent the
 543 fit of the individual single parameter models (Table 4).

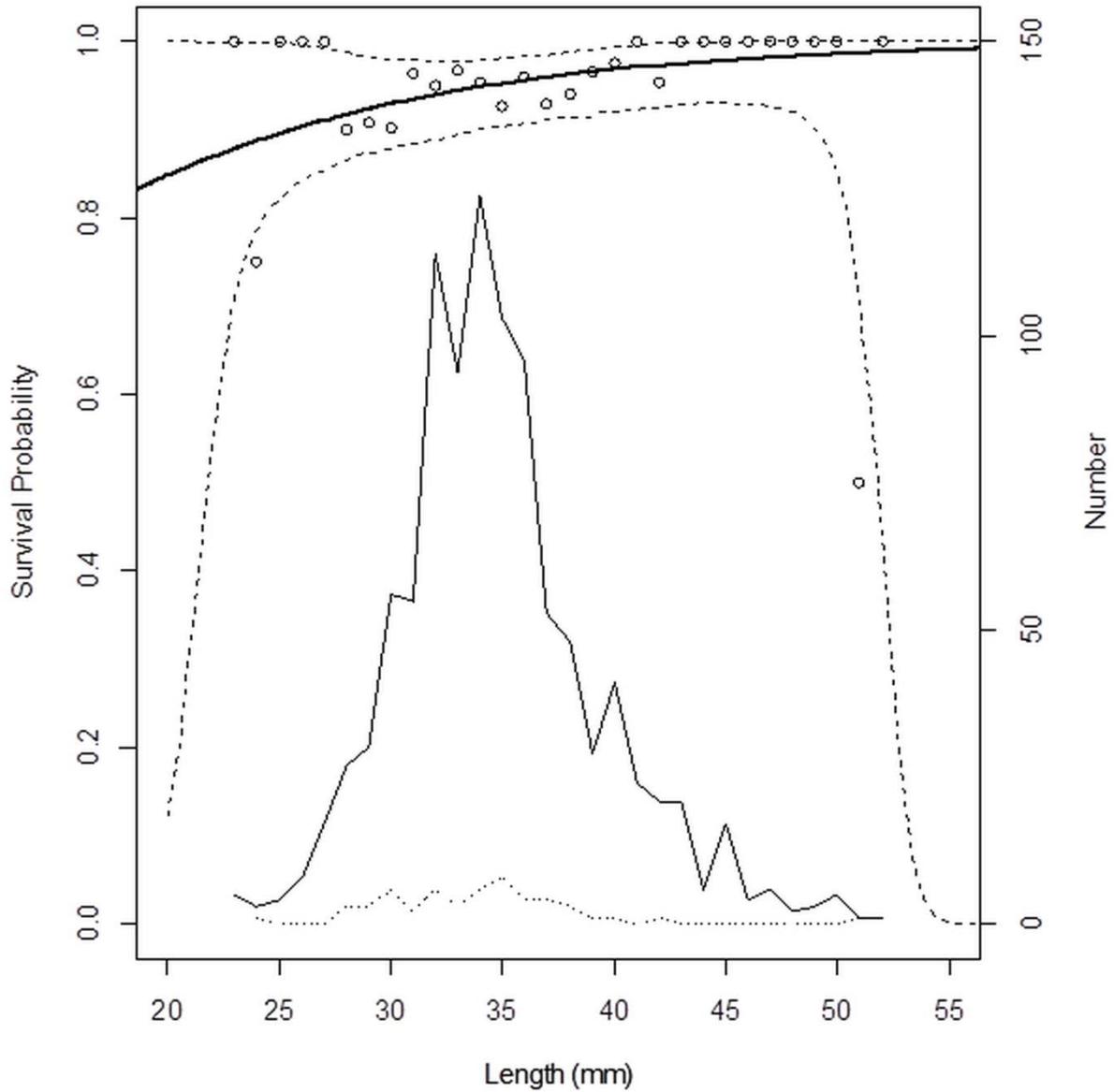


544

545 Figure 6. Kaplan-Meier survival probability curves for pooled hauls: codend escapement trials

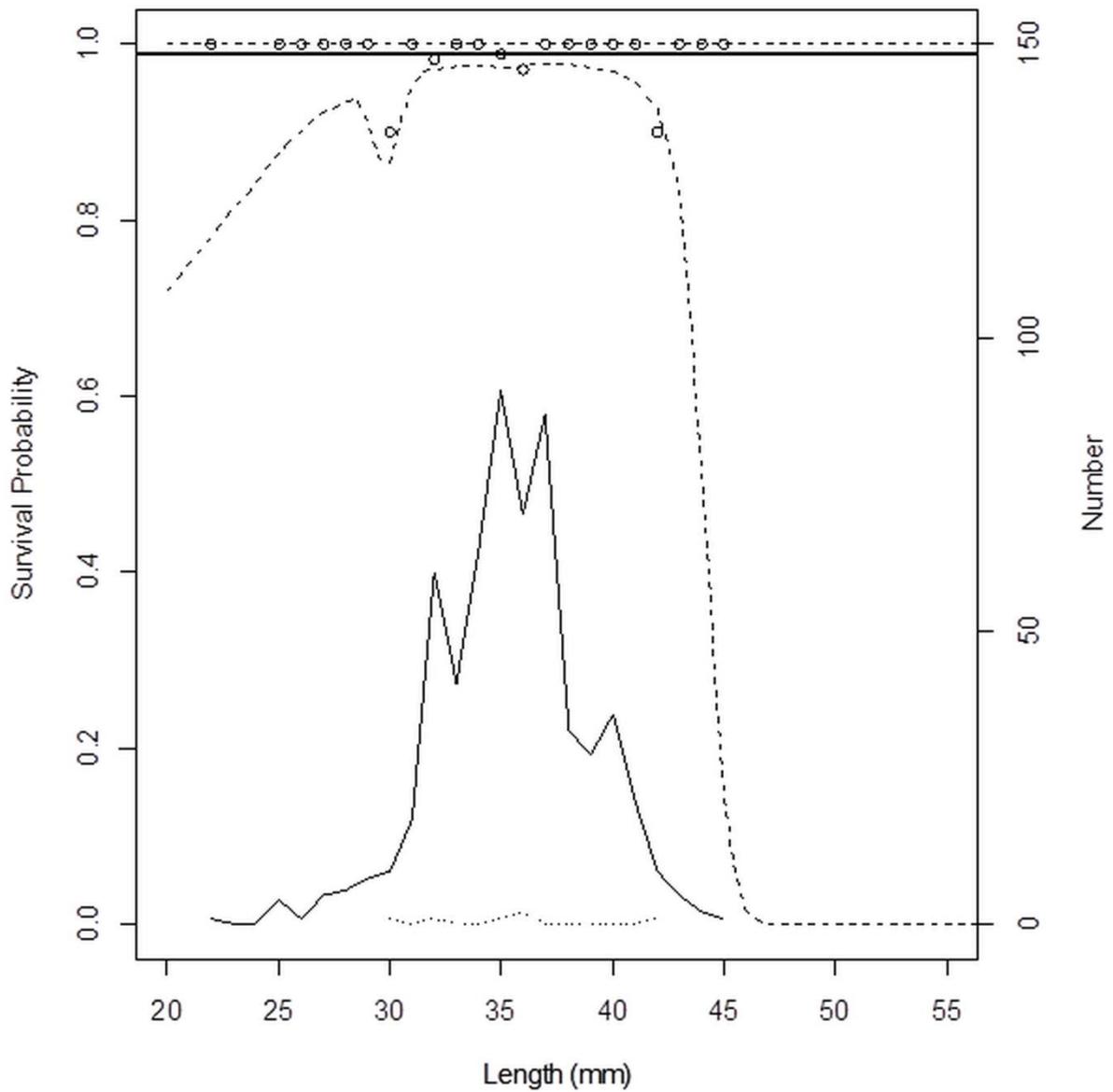
546 (blue), control experiment (red). Dashed lines represent 95 % confidence limits. Time is given

547 in hours from when the catch arrived on deck.



548

549 Figure 7. Length-dependent survival probability pooled over hauls. Circles represent
 550 experimentally observed survival probabilities. Thick solid line represents the modelled
 551 length-dependent survival rate at the end of the observation period. Dashed lines represent 95
 552 % confidence limits for the survival probability. Thin solid line shows the number of
 553 surviving krill of different sizes. Dotted line shows the number of dead krill of different sizes.



554

555 Figure 8. Length-dependent survival probability in control groups. Circles represent
 556 experimentally observed survival probability. Solid thick line represents the modelled length-
 557 dependent survival rate at the end of observation period. Dashed lines represent 95 %
 558 confidence limits for the survival probability. Thin solid line shows the number of surviving
 559 krill of each length. Dotted line shows the number of dead krill of different sizes.

560