

1 **Environmental drivers of Atlantic salmon behaviour in sea-cages: a review**

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13

14 **Abstract**

15 Salmon may sense and respond to a range of environmental variables within sea-cages,  
16 including light, temperature, salinity, dissolved oxygen, water currents and certain chemical  
17 treatments used during production. Environments within sea-cages are typically highly  
18 variable in both space and time, with greatest variation occurring with depth. Preferred  
19 swimming depths and densities of salmon are the result of active trade-offs among  
20 environmental influences and an array of internal motivational factors such as feed and  
21 perceived threats. When preferred levels of multiple environmental cues exist at different  
22 depths, behavioural responses to temperature, light, the entry of feed, oxygen levels or the  
23 presence of treatment chemicals may dominate and override behavioural responses to all other  
24 drivers and determine swimming depths. Behavioural trade-offs in response to environmental  
25 drivers typically result in schooling at specific depths within sea-cages at densities 1.5 to 5  
26 times their stocked density, and up to 20 times in extreme cases. Understanding the spatial  
27 and temporal variability of key environmental variables within sea-cages and how salmon  
28 respond to them may enable modifications to sea-cage environments to improve welfare  
29 outcomes, feeding regimes, artificial light management strategies and the efficacy of sea-lice  
30 treatments.

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## 80 **1. Introduction**

### 81 *1.1. Scope and study limitations*

82 The majority of Atlantic salmon production takes place in marine net cages (hereafter sea-  
83 cages) where the fish are exposed to a complex natural and artificial environment. Their  
84 movements are restricted by the volume set by the net and the surface, wherein they display  
85 their preferences and aversions. Behavioural studies of caged Atlantic salmon have revealed  
86 that fish rarely distribute themselves randomly in sea-cages, but that their swimming depth  
87 and speed is a response to several environmental gradients (e.g. Juell, 1995; Oppedal et al.,  
88 2007; Johansson et al., 2007; Korsøen et al., 2009). In general, the metabolic rates of fish are  
89 governed by controlling (e.g. temperature) and limiting (e.g. metabolites, food, water and  
90 respiratory gases) factors and their preferences have been suggested to reflect behavioural  
91 adaptations aimed at optimising their position in the environment (Fry, 1947). Adjustments of  
92 management practices to the natural behavioural traits of salmon, utilising their adaptive  
93 capacities and avoiding maladaptive behaviours, may improve production efficiency and  
94 welfare of the farmed fish.

95 Salmon behaviours in sea-cages have been extensively studied. However, since the last  
96 comprehensive review that synthesised existing knowledge on the behaviour of salmon in  
97 relation to efficient cage-rearing (Juell, 1995), diverse new insights have emerged from a  
98 range of field and experimental studies (e.g. Oppedal et al., 2001a; 2007; Juell et al., 2003;  
99 Juell and Fosseidengen, 2004; Cubitt et al., 2005; Johansson et al., 2006; 2007; 2009;  
100 Dempster et al., 2008; 2009a; Korsøen et al., 2009). Approximately 20 experiments have been  
101 conducted in industry-scale sea-cages (Table 1). The majority of these studies used the echo-  
102 sounder techniques introduced by Bjordal et al. (1993), which have enabled measurement of  
103 the detailed vertical distribution of salmon groups in sea-cages with high temporal (seconds)  
104 and depth resolution (0.5 m depth increments). In addition to assessing vertical distributions  
105 of salmon, many of these studies have manipulated or simultaneously measured a range of  
106 environmental and production variables, such as light, salinity, temperature, oxygen, current  
107 speeds, feeding regimes and the application of chemical therapeutants, to test the behavioural  
108 responses of salmon.

109 Here, we synthesize the insights generated by these industry-scale trials as to how salmon  
110 respond to, and trade-off between, different environmental variables within sea-cages by  
111 altering their vertical positioning and modifying other behaviours. Finally, we make  
112 recommendations to ensure that the present knowledge is better utilised by the salmon  
113 farming industry to improve production parameters such as stocking densities, feeding  
114 regimes, artificial light management and the efficacy of sea-lice treatments, and propose new  
115 hypotheses regarding the behaviour of salmon in sea-cages that require testing.

## 116 **2. General overview of modern salmon production in sea-cages**

117 To provide general context, we first provide a brief overview of the extent of salmon  
118 aquaculture in sea-cages and typical culturing practices.

119 *2.1. Worldwide production of salmonids*

120 Worldwide, approximately 1.58 million tons of Atlantic salmon and 286 kilotons (kt) of  
121 rainbow trout were produced in 2008 (Table 2; reproduced from Kjørnhaug, 2009). Production  
122 is dominated by Norway and Chile, with Great Britain, North America, the Faroe Islands and  
123 Australia also significant producer nations.

124 *2.2. Farm sites and sea-cage sizes*

125 Salmonid farming sites are located in bays, sounds, fjords or scattered amongst islands within  
126 archipelagos. Farms in coastal areas typically have relatively homogenous water quality, are  
127 subject to a stronger and more variable current regime, and may experience wind-driven  
128 upwelling of colder water with lower oxygen saturation levels. Farms located in fjords are less  
129 likely to experience upwelling events, but typically experience greater seasonal variation in  
130 environmental conditions with strong vertical stratifications variations in salinity, temperature,  
131 oxygen and water currents (e.g. Johansson et al., 2007; Oppedal et al., 2007).

132 Salmon are typically held in either square or rectangular sea-cages of 20-40 m sides, 20 to 35  
133 m deep or circles of 90-157 m in circumference and up to 48 m deep. Cage volumes range  
134 from 20000-80000 m<sup>3</sup>. Square cages are typically clustered together in a steel platform with  
135 between 4-28 cages per site with little distance (2-4 m) between adjacent cages. Circular cages  
136 are arranged in mooring grids in single or double rows but with typically greater space  
137 between them (>20 m) than square cages.

138 *2.3 Biomasses and stocking densities*

139 Cages may contain up to 200000-400000 individuals at densities typically up to 25 kg m<sup>-3</sup>  
140 (maximum allowable stocking density = 25 kg m<sup>-3</sup> in Norway; Norwegian Ministry of  
141 Fisheries and Coastal Affairs, 2008). In practice, the largest Norwegian sites produce more  
142 than 10000 tons of salmon biomass per generation (pers. comm., Trine Danielsen, Marine  
143 Harvest) involving more than 2 million individual salmon per site. In 2008, approximately 300  
144 million individual Atlantic salmon and rainbow trout were held in sea-cages in Norway at any  
145 given time (Norwegian Fisheries Directorate, 2009).

146 *2.4. Underwater lights, sexual maturation and growth*

147 To arrest and reduce the incidence of sexual maturation, artificial lights are used during winter  
148 for both spring- (Hansen et al., 1992; 2000; Oppedal et al., 1997; Porter et al., 1999) and  
149 autumn seawater-transferred (Oppedal et al., 2006) Atlantic salmon. Photoperiodic treatment  
150 also alters the seasonal growth cycle and larger salmon may be produced in shorter time  
151 (Oppedal et al., 1997; 1999; 2003; 2006; Nordgarden et al. 2003). Typical growth rates are in  
152 the range of 0.3-2% of the stocked biomass in the cage, depending on season, artificial  
153 photoperiod, fish size and water temperature (e.g. Oppedal et al., 2006; Skretting, 2009) with  
154 daily feed rations at similar levels.

155 **3. Group and individual behaviours**

156 *3.1 Schooling patterns and swimming speeds*

157 Salmonids typically form a circular swimming pattern at daytime and avoid both the  
158 innermost part of the cage volume and the cage corners (Sutterlin et al. 1979; Fernö et al.  
159 1988; Juell and Westerberg 1993; Huse and Holm, 1993; Juell et al. 1994; Oppedal et al.  
160 2001a; Dempster et al. 2008; 2009a; Korsøen et al. 2009). While this behaviour is not  
161 'classical' schooling, which involves tightly organised synchronised swimming direction and  
162 speed (Cushing and Harden Jones, 1968), its semi-organised nature means that it is often  
163 referred to as such throughout the literature. Schooling typically leads to packing of the fish in  
164 certain areas within the cage at densities 1.5-5 times the stocking density, reaching as high as  
165 20 times in extreme cases (Table 1).

166 When salmon are held at commercial densities, the cumulative interactions of all individuals  
167 in avoiding the sea-cage and other individuals are believed to cause the characteristic circular  
168 schooling patterns observed during the day (Juell and Westerberg, 1993; Fernö et al., 1995;  
169 Juell, 1995; Føre et al. 2009). Salmon require a certain density in order to form schools; an  
170 increase from 30 to 530 individuals in a 500 m<sup>3</sup> cage was required before structured schooling  
171 was observed (Juell and Westerberg, 1993). Similarly, schooling was first observed in a group  
172 size of 243 individuals in a 2000 m<sup>3</sup> cage, and further pronounced when the group size was  
173 increased to 729 individuals (Folkedal, 2006). This schooling pattern was recently verified in  
174 an individual-based model by Føre et al. (2009) based on a set of simple rules defining the  
175 responses of salmon to other individuals, avoiding the cage and including a stochastic  
176 component. The structured behavioural patterns seen at the group level are an 'emergent  
177 property' of the combined individual behaviours that ultimately create a self-organising  
178 school (Føre et al., 2009).

179 During feeding, circular schooling largely breaks down and fish swim towards the food  
180 pellets which are normally distributed in a centralised feeding area (Sutterlin et al., 1979;  
181 Huse and Holm, 1993; Juell et al., 1994; Ang and Petrell, 1998) and move back towards the  
182 periphery as hunger is reduced (Juell et al., 1994). When fish are continuously fed throughout  
183 the day, they form a ring-like structure, characterised by organised foraging (Ang and Petrell,  
184 1998). In contrast, when fed in batches, salmon initially form a spiral-like structure followed  
185 by foraging in a disorganised style until feed becomes unavailable. Avoidance of the cage  
186 bottom is often observed (Huse and Holm, 1993; Fernö et al., 1995) and could represent anti-  
187 predator avoidance, as large piscivorous fish are frequently observed immediately below the  
188 cages (e.g. saithe and cod in Norway; Dempster et al., 2009b).

189 Swimming speeds during the day are typically faster than at night (day: 0.2-1.9 BL sec<sup>-1</sup>;  
190 Sutterlin et al., 1979; Kadri et al., 1991; Blyth et al., 1993; Juell and Westerberg, 1993; Smith  
191 et al., 1993; Oppedal et al., 2001a; Andrew et al., 2002; Dempster et al., 2008; 2009a;  
192 Korsøen et al., 2009; night: < 0.4 BL sec<sup>-1</sup>; Korsøen et al., 2009) as illustrated in Fig. 1  
193 (reproduced from Korsøen et al., 2009). The breakdown in schooling behaviour after sunset  
194 may be responsible for this change in swimming speed (Fernö et al., 1988; Juell, 1995,  
195 Oppedal et al., 2001a; Korsøen et al., 2009) and may also lead to salmon spreading  
196 themselves more evenly throughout the cage on the horizontal plane.

197 *3.2. Feed and feeding motivation*

198 Salmonids in sea-cages are fed through the distribution of feed at the water surface. As pellets  
199 become available in the surface water layer, salmon display a range of horizontal and vertical  
200 behaviours in response, such as horizontal movement towards pellets, change in swimming  
201 speed and swimming depths (Sutterlin et al., 1979; Huse and Holm, 1993; Juell et al., 1994;  
202 Ang and Petrell, 1998). The rate at which fish naturally respond when feed becomes available  
203 is principally related to hunger level (e.g. review by Dill, 1983).

204 Juell et al. (1994) determined that the vertical distribution of caged Atlantic salmon was a  
205 good indicator of their hunger level or feeding motivation, with responses to feed input  
206 clearer at high compared to low feeding intensities. In essence, salmon ascend to the surface  
207 feeding area to feed and thereafter descend gradually in the cage during the course of the  
208 feeding period as they become satiated and their feeding motivation declines (Bjordal et al.,  
209 1993; Juell et al., 1994; Fernö et al., 1995; Ang and Petrell, 1998; Johansson et al., 2007).  
210 Hungry fish remain at the surface in the feeding area after the feeding period and fish fed at  
211 high intensities move towards the surface more rapidly than at low intensities (Juell et al.,  
212 1994). The response rates of hungry fish correlated with their initial hunger levels. Infrequent,  
213 intensively fed fish generally remained deeper than fish fed throughout the day in small  
214 batches (Fernö et al., 1995).

215 An observed rise to the surface during the hour prior to the start of feeding may be interpreted  
216 as an anticipatory behaviour related to feeding time and suggests that not only the presence  
217 but also the expectation of food has an effect on vertical distribution (Fernö et al. 1995).  
218 Several salmon farmers have reported increased surface activities prior to feeding either as  
219 responses to feed time, feed boat arrival or start of feeding systems, suggesting anticipatory  
220 feed behaviours may be learnt and triggered by unintentional cues such as noise. Recent tank  
221 studies have shown that blinking lights can be used to teach salmon to anticipate feeding and  
222 respond by moving towards the point of feed entry (Thomassen and Fjæra, 1991; Stien et al.,  
223 2007; Bratland et al., 2010; Folkedal, 2010). Fernö et al. (2006) suggest that fishes learn, for  
224 example, to associate the footsteps of the farmer or the sound of pellets in the feeding pipes  
225 with food and can show strong anticipatory behaviour (e.g. rise to surface and increased  
226 surface activity) before the food arrives. The anticipatory behaviour functions as an arousal  
227 for appetitive responses and is a positive emotional event that should increase feeding  
228 motivation and welfare (Lamb, 2001; Spruijt et al., 2001).

229 In addition to the immediate pre-feeding period anticipatory response, several studies have  
230 hypothesized that the gradual seasonal movement of salmon towards the surface from winter  
231 to summer in sea-cages occurs due to a seasonal increase in hunger level (Oppedal et al.,  
232 2001a; Juell et al., 2003; Juell and Fosseidengen, 2004). Smith et al. (1993) recorded a surge  
233 in appetite in spring, which was independent of temperature, further suggesting that a  
234 seasonal movement to shallower depths may be related to increasing appetite. If this is the  
235 case, fish will be easily “underfed” when feed-ration calculations are based on temperature  
236 alone.

237 *3.3 Group versus individual behaviours*

238 The great bulk of knowledge of the vertical behaviours of salmon in sea-cages (Table 1) is  
239 based on average values obtained from measurement techniques that integrate information  
240 across large numbers of fish (e.g. echo-sounders; Bjordal et al. 1993), which are often verified  
241 by short-term camera observations of random individuals. Individuals are difficult to follow,  
242 although ultra-sonic and data storage tags are available and have been used in aquaculture  
243 settings (Juell and Westerberg 1993; Bégout Anras et al., 2000; Kristiansen et al. 2004; Cubitt  
244 et al. 2005; Rillahan et al. 2009).

245 Direct comparison of the specific vertical behaviours of individuals and the average group  
246 behaviour has been performed on a long-term data set by Johansson et al. (2009), who used  
247 individual data storage tags and echo-sounders to investigate swimming depths. Average  
248 individual behaviours correlated with group behaviours both in the short (hours) and long  
249 term (days to weeks), as illustrated by the similar diel cyclical movement patterns within  
250 cages or similar warm and cold water avoidances (Johansson et al., 2006; 2009). While  
251 confirming the validity of group-based measurements, the study revealed that a separate level  
252 of spatial and temporal variation in displayed behaviours exists at the level of individuals.  
253 Only 1 of 23 individuals displayed a cyclical rhythm in swimming depth and temperature  
254 across 3 different sub-periods spread over 7 weeks. Behaviours of all other individuals were  
255 inconsistent in either swimming depth or temperature rhythm or both between sub-periods.  
256 When feeding times were excluded, thereby largely ruling out the effects of the trade-off  
257 towards the surface due to feeding motivation, large variation among individuals was still  
258 evident during the day, but reduced at night. Johansson et al. (2009) suggested that this  
259 variation may reflect a more active environmental sampling by salmon during day than night  
260 in order to update information on spatial variation. Such sampling may be difficult to perform  
261 at night due to the limited visibility and high number of fish in the cages leading to a high risk  
262 of collisions with other fish. Further, the higher daytime variation may reflect a general  
263 increase in swimming activity with more trade-offs between different needs during day than  
264 night. Taken together, the large intra- and inter-individual variation suggests the existence of  
265 unsynchronized variability in the motivational status of individual fish making different and  
266 fluctuating multiple trade-offs.

#### 267 **4. Group behavioural responses to environmental variables**

##### 268 *4.1. Natural and artificial light*

269 Groups of Atlantic salmon kept in cages generally display a diurnal swimming depth rhythm  
270 controlled by natural changes in light intensity. Salmon descend at dawn, swim relatively  
271 deep during the day, ascend at dusk and swim close to the surface at night (Bjordal et al.,  
272 1993; Fernö et al., 1995; Oppedal, 1995; Hevrøy et al., 1998; Bégout Anras et al., 2000;  
273 Oppedal et al., 2001a; Juell and Fosseidengen, 2004; Cubitt et al. 2005; Johansson et al.,  
274 2006; 2007; 2009; Dempster et al. 2008; Korsøen et al., 2009). In combination with a slower  
275 average swimming speed (Fig. 1), salmon utilise more of the cage volume at night than during  
276 the day (Oppedal et al., 2001a; Dempster et al., 2008; Korsøen et al., 2009).

277 Early studies indicated that salmon avoid high surface light intensities during spring and  
278 summer and exhibit preferences for specific light intensities (Huse and Holm 1993; Fernö et

279 al. 1995). However, similar light intensity preferences have not been observed in several  
280 subsequent studies (e.g. Oppedal et al., 2001a; 2007; Juell and Fosseidengen, 2004; Johansson  
281 et al., 2007). An explanation for this could be either that the higher precision environmental  
282 monitoring conducted in the later studies has enabled the role of other environmental factors  
283 in vertical distribution to be more clearly distinguished or that light preferences were  
284 overruled by temperature.

285 Distinct changes in the diel and seasonal patterns of vertical distribution of salmon occur  
286 when surface mounted artificial lights are applied to sea-cages (Oppedal et al., 2001a). In  
287 essence, illumination modifies night time behaviour towards the normal daytime schooling  
288 pattern; fish swim at the same depth throughout the diel cycle and maintain daytime  
289 swimming speeds. In commercial-scale cages containing 85000 fish per cage, surface  
290 mounted lights induced movement of the fish towards the surface and resulted in higher  
291 schooling densities and shallower swimming at night compared to the day (Juell et al., 2003).  
292 In a different study conducted at similar commercial densities, surface mounted lights also  
293 caused the group of salmon to ascend but to a lesser extent compared to salmon in cages with  
294 natural dark conditions at night (Juell and Fosseidengen, 2004).

295 Submerged light sources were developed for the aquaculture industry as they reduce loss of  
296 energy from surface reflections, hazards for boat traffic, aesthetic considerations and therefore  
297 provide more effective illumination to the fish. Submerged light sources generally expose the  
298 fish to a wider depth range with illumination, both above and below the deployed light depth  
299 (Juell et al., 2003; Oppedal et al., 2007), compared to surface mounted lights that provide only  
300 downwards illumination (Juell et al., 2003).

301 Salmon display clear attraction to submerged light sources (Juell et al., 2003; Juell and  
302 Fosseidengen, 2004; Oppedal et al., 2007; Dempster et al., 2009a; Fig. 2c-e) and school at  
303 lower densities compared to sea-cages illuminated with surface mounted lights (Juell et al.,  
304 2003; Juell and Fosseidengen, 2004). Night swimming depths suggest that salmon prefer to  
305 distribute in highest densities around the depth of the highest light intensity (Juell et al., 2003;  
306 Juell and Fosseidengen, 2004; Oppedal et al., 2007; Dempster et al., 2009a). As a direct  
307 consequence, lower fish densities occur above and below the depth of peak submerged light  
308 intensity, which spreads salmon more effectively throughout the cage volume compared to the  
309 higher fish densities observed under surface mounted lights where only half as much volume  
310 is available below the peak light intensity (Juell et al., 2003; Juell and Fosseidengen, 2004).  
311 Through the choice of light deployment depth, farmers may thus influence salmon swimming  
312 depths and densities at night (Juell and Fosseidengen, 2004; Oppedal et al., 2007). This is  
313 exemplified by data from a commercial farm (Fig. 2c-e) where the salmon are attracted  
314 towards the light depth at night. Behavioural responses to short-term changes in deployment  
315 depths and light intensity gradients appear rapid, suggesting that swimming depths and fish  
316 densities can be manipulated effectively by selectively positioning underwater lamps (Juell  
317 and Fosseidengen, 2004).

318 Peak light intensity can be stretched over a broad depth range by deploying lights at different  
319 depths, thereby dispersing the fish throughout the cage volume (Juell et al., 2003; Juell and



320 Fosseidengen, 2004). Lamps positioned mid-depth in cages produce a normally distributed  
321 light intensity and cause the fish to distribute themselves on both sides of the lamps, while  
322 lamps closer to the bottom or surface produce a stronger vertical light gradient, possibly  
323 inducing crowding (Juell et al., 2003; Juell and Fosseidengen, 2004; Oppedal et al., 2007).

324 To understand the swimming depth preferences of salmon under artificial lights, the normal  
325 diel behaviour of caged salmon proves informative. Salmon school during day, ascend to the  
326 surface and reduce swimming speeds in response to the fading natural light at dusk, with an  
327 ultimate breakdown of the school structure as light levels fall (reviews; Juell, 1995; Huse,  
328 1998). Schooling behaviour in several pelagic fish species relies on visual contact (Glass et  
329 al., 1986). In contrast to mammals, the eyes of fish rely mainly on a relatively slow  
330 retinomotor response to adapt to changes in light levels (Guthrie, 1993). For example, Ali  
331 (1959) found that adaptation time from light to darkness in Pacific salmon smolts was about  
332 50 minutes. It is thus likely that, at some point during dusk, when the fading natural light is  
333 weaker than the artificial light, the salmon actively seek out suitable light levels so they can  
334 continue to school rather as a preference to waiting for their eyes to adapt and allowing  
335 schooling to break down. Thus, moving towards the artificial light depth maintains their  
336 schooling behaviour (Juell et al., 2003; Juell and Fosseidengen, 2004; Oppedal et al., 2007).

#### 337 *4.2. Temperature*

338 Temperatures within sea-cages positioned in surface waters (0-50 m) vary with depth and  
339 vertical profiles are normally season-dependent (e.g. Oppedal et al., 2001a; 2007; Fig. 2a).  
340 Temperature profiles change from being positively correlated with depth in winter to  
341 negatively correlated with depth in summer, with transitional periods where profiles are more  
342 variable, but often with highest temperatures at mid-cage depths in fjords (e.g. Johansson et  
343 al., 2006; Oppedal et al., 2007).

344 At stratified sites where temperature and other environmental variables have been measured  
345 in high spatial and temporal resolution, salmon clearly positioned themselves vertically in  
346 relation to temperature within sea-cages (Johansson et al., 2006; 2007; 2009; Oppedal et al.,  
347 2007; Dempster et al., 2008; 2009a; Korsøen et al., 2009; Fig. 2). Seasonal changes in the  
348 vertical distribution of salmon have occurred concurrent with temperature shifts, suggesting  
349 that salmon prefer the highest available temperature (<14°C) or avoid colder temperatures  
350 (Oppedal et al., 2001a).

351 Johansson et al. (2006) performed a multivariate analysis to determine which environmental  
352 variables most influenced the vertical distribution of salmon; temperature emerged as the key  
353 environmental factor associated with density and swimming depth. The preferred temperature  
354 range was 16-18 °C within a range of 11-20 °C. Salmon individuals and groups displayed  
355 both avoidance to water warmer than 18 °C and water at the cold end of the temperature  
356 spectrum, indicating active behavioural thermoregulation (Johansson et al., 2006; 2009). In  
357 contrast, in reasonably homogenous environments where temperature varies little with depth,  
358 temperature does not influence the vertical distribution of salmon (Juell et al., 2003; Juell and  
359 Fosseidengen, 2004). Salmon farming is expanding into areas with <4 °C; at present the  
360 literature does not describe behavioural effects at this end of the temperature scale.

361 Results from small-scale experiments in tanks (e.g. review by Beiting, 1990; Birtwell et al.,  
362 2003) provide supportive evidence that temperature strongly influences fish distributions,  
363 particularly when a gradient is present. Salmon are known to be highly temperature sensitive  
364 (Coutant, 1977; Jobling, 1981). In general, fish presented with thermal gradients occupy  
365 narrow ranges of temperatures, defined as their preferred temperatures (e.g. Fry, 1947;  
366 Johnson and Kelsch, 1998) and such active behaviour is often referred to as behavioural  
367 thermoregulation. Optimising temperature is of great physiological significance for  
368 poikilotherm fish; thermoregulation may improve metabolic processes such as circulation,  
369 food intake, digestion, growth, bioenergetical re-acclimation processes and scope for activity  
370 (e.g. Brett, 1971; Biette and Geen, 1980; Claireaux et al., 1995; 2000). Correlative evidence  
371 exists that preferred temperature ranges match optimum temperatures for growth and  
372 performance for various species (e.g. Jobling, 1981; Kellogg and Gift, 1983).

### 373 4.3. Salinity

374 Many salmonid farming sites either close to shore, within fjords or near the mouths of rivers  
375 are affected by freshwater runoff. Surface waters at these sites may become less saline with  
376 development of a distinct halocline with a brackish layer of variable thickness and salinity  
377 (but often < 20; Plantalech Manel-La et al., 2009) on top and water with typical marine  
378 salinity (> 30) below (e.g. Bjerknes et al., 2003; Johansson et al., 2007).

379 Newly transferred Atlantic salmon smolts show a distinct preference to distribute at the depth  
380 of the halocline, independent of the temperature, for the first 2 months in the sea (Fig. 3).  
381 Similar preferences are shown by salmon smolts migrating out from rivers towards the open  
382 sea (Plantalech Manel-La et al., 2009). This behaviour might form part of the imprinting  
383 necessary for salmon to find their way back to natal rivers as adults or as a strategy to avoid  
384 the risk of infection from sea lice (*Lepeophtheirus salmonis*) (Plantalech Manel-La et al.  
385 2009) which avoid salinities of < 20 (Heuch, 1995). Alternatively, this strategy may be  
386 beneficial as it reduces the amount of energy required for osmoregulation in saltwater, which  
387 is particularly physiologically costly for small salmon (e.g. Smith, 1982).

388 Sutterlin and Stevens (1992) suggested that salinity preferences may be one of three factors  
389 (temperature, salinity and social factors) that regulate the swimming depth of fish in sea-cages  
390 in stratified waters. During the return migration of salmon, which normally occurs during  
391 spring and summer, it could be expected that salmon develop a lower salinity preference prior  
392 to spawning in freshwater (Thorpe, 1988). Thus, observations of salmon gradually ascending  
393 towards the surface throughout spring (Oppedal et al., 2001a; Oppedal et al., 2007) or early  
394 autumn (Johansson et al., 2006; 2009) could be explained by a lowered salinity preference.  
395 However, as the incidence of sexual maturation in the observed groups was < 6%, this  
396 behaviour was unlikely to have resulted from a preference for lower salinities driven by the  
397 sexual maturation cycle. Evidence exists that salinity does not influence non-migratory  
398 salmon (Bakke et al., 1991; Johansson et al., 2006; 2009). In addition, larger fish have greater  
399 osmoregulatory ability than small post-smolts due to reduced relative leakage of water as a  
400 function of their relatively smaller surface area to volume ratio (e.g. Schmidt-Nielsen, 1990).  
401 Accordingly, salinity preferences appear unimportant in determining vertical distributions in

402 sea-cages of > 3 month old, sexually immature post seawater-transferred Atlantic salmon  
403 (Oppedal et al., 2001a; 2007; Johansson et al., 2006; 2007; 2009).

#### 404 *4.4. Dissolved oxygen (DO)*

405 Complex spatial and temporal variations in DO levels exist within sea-cages stocked with  
406 salmon (Johansson et al., 2006; 2007; Vigen, 2008; Stien et al., 2009). Strong vertical  
407 gradients in DO typically coincide with the pycnocline, while fluctuating patterns occur over  
408 days to weeks (Johansson et al., 2006; 2007). Severely hypoxic conditions (30% saturation at  
409 12 °C) have been recorded over periods of up to 1 hour in the centre of a commercial cage  
410 (Fig. 4; reproduced from Vigen, 2008) and were correlated with periods of low water flow  
411 (Vigen, 2008). Seasonal variations in DO levels are also frequently observed at commercial  
412 salmon farms (Fig. 5).

413 Adequate DO levels are a key requirement to ensure fish welfare and development (Kindschi  
414 and Koby, 1994; Van Raaij et al., 1996; Ellis et al., 2002). Pedersen (1987) showed that at 15  
415 °C, growth rates of juvenile rainbow trout decreased if fixed levels of DO fell below 7.0 mg  
416 O<sub>2</sub> l<sup>-1</sup> (70% oxygen saturation) and that trout fed less when fixed levels reached 6.0 mg O<sub>2</sub> l<sup>-1</sup>  
417 (60 % oxygen saturation). A recent study with full-feeding Atlantic salmon held in seawater  
418 at 16 °C and given fluctuating hypoxic saturation levels of 70% led to reduced appetite; 60%  
419 additionally initiated acute anaerobic metabolism and increased skin lesions; 50% additionally  
420 initiated acute stress responses, reduced feed conversion and growth; and 40% additionally  
421 caused impaired osmoregulation and mortalities (Anon, 2008). Growth rates and condition  
422 factors gradually decreased and proportions of fish with skin infections gradually increased in  
423 severity as hypoxia levels rose. Lack of energy from aerobic metabolism for fish within the  
424 hypoxic groups may have led to down-regulation of energy-demanding processes such as feed  
425 uptake, growth and immune function (e.g. review by Wu, 2002). Thresholds levels for the  
426 ability to maintain oxygen uptake rates in full-feeding Atlantic salmon of average size 400 g  
427 held in seawater were found at approximately 60, 40 and 30 % oxygen saturation at 18, 12  
428 and 6 °C, respectively (Torgersen et al., unpublished data). This very recent work was  
429 performed using an adapted protocol from Valverde et al. (2006) with gradually decreasing  
430 oxygen levels in an open-respirometry setup. At oxygen levels where fish have problems  
431 maintaining homeostasis, stress hormones are released, and fish cannot survive for long if  
432 sufficient oxygen levels are not restored.

433 Despite the importance of DO to production parameters and welfare, little specific  
434 information exists to determine how salmon modify their behaviours within sea-cages in  
435 response to sub-optimal DO levels. Kramer (1987) classified the response of fish to increasing  
436 hypoxic conditions as changes in activity and vertical or horizontal habitat changes. Like most  
437 other aquatic animals, fish have the capacity to detect and actively avoid low oxygen levels  
438 (DO<sub>conc</sub> 1-4 mg l<sup>-1</sup>/ DO<sub>sat</sub> 15-60% at 25 °C seawater; Wannamaker and Rice, 2000; Wu, 2002)  
439 and migrate vertically in the water column to avoid hypoxic zones (e.g. Hazen et al., 2009).  
440 However, whether salmon actively avoid depths within sea-cages that have low to  
441 intermediate oxygen levels (DO<sub>conc</sub> 2.5-6 mg l<sup>-1</sup> or DO<sub>sat</sub> 30-75% saturation in 15 °C  
442 seawater) remains unresolved. In an investigation of the environmental parameters

443 influencing the vertical distributions of salmon at 4 commercial sites, a multivariate analysis  
444 indicated that salmon avoided specific depths in the water column where oxygen saturation  
445 levels approached 60% at 15 °C (Johansson et al., 2007). However minimum levels of oxygen  
446 ranging down to 57% saturation at 14 °C in an experimental study of different stocking  
447 densities did not implicate DO as significantly affecting fish densities, possibly due to other  
448 environmental factors exerting greater effect on vertical positioning (Johansson et al., 2006).  
449 Experimental testing is required to reveal the dynamics and hierarchical effects between  
450 hypoxia and other factors.

#### 451 *4.5. Water current velocity*

452 Scant information exists to fully assess the role water currents play in the behaviours of  
453 salmon in sea-cages. In a multivariable analysis, extremely turbulent mean current velocities  
454 of 5-9 cm s<sup>-1</sup> measured outside cages did not affect the relative schooling density of salmon  
455 (Johansson et al., 2006). Currently, the salmon farming industry is developing into more  
456 current-exposed locations (Jensen et al., 2010) and recent development applications in  
457 Norway have been made for the establishment of farms at sites where water currents reach a  
458 maximum of 0.85 m s<sup>-1</sup> (F. Oppedal, pers. obs.). Stronger currents may have the potential to  
459 influence schooling structure, swimming speeds, directions and ultimately depths, thus their  
460 influence on cage-related behaviours requires further understanding.

461 Swimming capacities of salmon vary with size of individuals, temperature, light conditions  
462 and possibly space availability. Estimates of critical swimming velocities ( $U_{crit}$ ) of  $\approx 3$  BL s<sup>-1</sup>  
463 for Atlantic salmon smolts exist (Lijalad et al., 2009) and  $U_{crit}$  of 2.2 BL s<sup>-1</sup> for 800 g  
464 postsmolts (Deitch et al., 2006). However, these are derived from swimming tunnels with  
465 unfed, individual fish and therefore may not be representative of fully-satiated salmon held  
466 under commercial densities. No comparable data exist for larger Atlantic salmon, but  
467 Steinhausen et al. (2008) indicate a  $U_{crit} \approx 1.35$  BL s<sup>-1</sup> for adult (2.2-2.9 kg) sockeye salmon  
468 caught during their homing migration.

469 Normal swimming speeds within cages are below these threshold values for critical  
470 swimming speeds. During the daytime, salmon typically cruise at 0.3-0.9 body length s<sup>-1</sup> (BL  
471 s<sup>-1</sup>) (e.g. review by Juell, 1995; Dempster et al., 2008; 2009a) while night speeds are slower at  
472 0-0.4 BL s<sup>-1</sup> (Korsøen et al., 2009). However, under high current conditions,  $U_{crit}$  values may  
473 be approached or exceeded. If currents exceed  $U_{crit}$  levels, anaerobic capacity is exhausted,  
474 swimming ceases, and the fish will be forced into the net wall. Generally, larger fish should  
475 tolerate higher current speeds due to their larger body size, with smolts being more vulnerable  
476 to high currents despite their higher  $U_{crit}$  levels (Fig. 6).

477 Typically, smolts are set out at 15-25 cm BL (e.g. Oppedal et al., 2006); at these sizes, current  
478 speeds of 45-75 cm s<sup>-1</sup> will cause exhaustion if  $U_{crit} \approx 3$  BLs<sup>-1</sup> (Lijalad et al., 2009). In 800 g  
479 salmon of 56 cm, exhaustion will take place at about 120 cm s<sup>-1</sup> with  $U_{crit} = 2.2$  BL s<sup>-1</sup>  
480 (Deitch et al., 2006). However, in commercial cages lower current speeds probably cause  
481 exhaustion as  $U_{crit}$  levels will be reduced in fully fed fish or fish held in high densities. If  
482 currents approach  $U_{crit}$  levels and differential current speeds exist at different depths in sea-

483 cages (see Lader et al., 2008 for an example), we hypothesize that salmon will modify their  
484 vertical positioning in cages to depths of suitable current speeds.

485 Current speeds may also modify vertical behaviour by modifying the cage culture space  
486 available for swimming. Sea-cages deform in currents, with a consequent change in sea-cage  
487 shape and internal volume (Lader et al., 2008). Current speeds of 0.13 - 0.35 m s<sup>-1</sup> at two full-  
488 scale farms caused cage volume reductions of up to 20- 40% and resulted in the cage bottom  
489 being pushed upwards (Lader et al., 2008). The complex inter-relationships between high  
490 currents, packing densities and swimming speed ability for fish of different sizes, and the  
491 extent of cage deformation, requires resolution to understand the influence of current on the  
492 vertical behaviours of salmon and ensure good welfare under high current conditions.

#### 493 4.6. Sea lice chemotherapeutants

494 Sea lice (principally the salmon louse *Lepeoptheirus salmonis* but also *Caligus* spp.)  
495 infestations are common within sea-cage salmonid farms. Several treatment strategies have  
496 been applied to control sea lice levels over the last decades, including the use of a variety of  
497 chemotherapeutants (Pike and Wadsworth, 1999; Boxaspen, 2006; Brooks, 2009).  
498 Therapeutants may either be administered orally through medicated feed or topically by  
499 bathing fish in enclosed net cages or well boats (e.g. Roth, 2000; Telfer et al., 2006).

500 At present, one of the bathing techniques includes partial or full enclosure of an entire sea-  
501 cage *in situ* with a tarpaulin followed by the addition of the chemotherapeutant for 35-45 min  
502 to kill the sea lice. Recent studies have revealed a clear vertical avoidance reaction to the  
503 addition of chemotherapeutants (Vigen, 2008; Oppedal and Vigen, 2009). Salmon responded to  
504 a controlled experimental addition of cypermethrin (BETAMAX VET, ScanVacc AS, Årnes,  
505 Norway) in a 12 m x 12 m cage with the net bottom raised to approximately 4 m and the  
506 enclosing tarpaulin hanging down to 6 m depth by crowding at three times the stocking  
507 density towards the surface or net-cage bottom when the treatment was added (Fig. 7; Vigen,  
508 2008). The movement and crowding reaction did not appear to be caused by the addition of  
509 the tarpaulin, as fish distributed evenly in cages enclosed by a tarpaulin but without  
510 chemotherapeutant added (Fig. 7; Vigen, 2008). In a full-scale, commercial bath delousing  
511 treatment of a circular cage of circumference 157 m, approximately 35 m deep and tarpaulins  
512 set to 15 m depth, salmon again avoided the surface waters and distributed themselves mainly  
513 below the depth in the water column where the chemotherapeutant deltamethrin (ALPHA  
514 MAX<sup>®</sup>, PHARMAQ AS, Oslo, Norway) was added and present (Fig. 8; from Oppedal and  
515 Vigen, 2009).

516 Partially effective treatments where salmon are not exposed to correct doses of  
517 chemotherapeutants due to their avoidance behaviour may, highly undesirably, increase the  
518 speed of development of resistance of sea lice to treatments. As a variety of  
519 chemotherapeutants are required to maintain susceptible sea lice populations over time, it is  
520 essential that each treatment is carried out optimally (Jones et al. 1992). In general,  
521 observations of behavioural monitoring are scarce during delousing treatments, yet  
522 preliminary results indicate that without knowledge of the vertical behaviours of salmon, the  
523 efficacy of de-lousing treatments may be questionable (Vigen, 2008; Oppedal and Vigen,

524 2009). We therefore contend that a detailed understanding of avoidance reactions and vertical  
525 behaviours of salmon in sea-cages is required to improve the effectiveness of de-lousing  
526 techniques and ensure appropriate animal welfare during treatments.

#### 527 *4.7. Other factors*

528 Turbidity has been suggested as a possible directing factor for swimming depth and density.  
529 Surface layers of turbid water, colouring produced by runoff, and algal blooms reduce both  
530 light intensity and contrast in the water column (Nyquist, 1979). These properties can reduce  
531 the susceptibility of fish to surface predators (Guthrie and Muntz, 1993) and therefore  
532 possibly change the surface avoidance trade-off. However, to date no evidence exists to  
533 suggest turbidity modifies vertical behaviours of salmon in sea-cages. In contrast, the  
534 behaviours of groups of salmon held under low artificial light and natural light intensity in  
535 Oppedal et al. (2001a) suggested that turbidity was unimportant in swimming depth selection.

536 Forced submergence in sea-cages modifies both horizontal and vertical behaviours as salmon  
537 compensate for a loss of buoyancy due to depleted swim bladder volumes. When they cannot  
538 access the surface to refill their swim bladders, salmon increase their swimming speeds up to  
539 1.6 times normal levels and school more tightly (Dempster et al., 2008; 2009a; Korsøen et al.,  
540 2009).

### 541 **5. Behavioural trade-offs to multiple environmental variables**

542 Environments within sea-cages are typically characterised by highly fluctuating levels of  
543 multiple factors in both space and time. Vertical positioning of salmon therefore stems from  
544 active trade-offs among these multiple environmental influences and an array of motivational  
545 factors such as feed and perceived threats. When many fish prefer the same depth strata  
546 within a cage, crowding and social factors must also be taken into account. Salmon must  
547 therefore continuously update and make trade-offs of preferred swimming depths and  
548 densities. The trade-offs made will likely differ among individuals, as their environmental  
549 preferences, motivations and social interactions clearly differ (Sutterlin and Stevens, 1992;  
550 Claireaux et al., 2000; Johansson et al., 2009). As a result, no single strategy is necessarily  
551 optimal or can be expected at any given time, either for individuals or the entire group of fish  
552 (Johansson et al., 2009). Here, we describe and explain the dynamics of trade-offs among  
553 multiple environmental influences from existing examples (Table 1).

#### 554 *5.1. Surface avoidance and feeding motivation*

555 Vertical distribution of salmon in sea-cages can often be explained by a trade-off between  
556 light-induced surface avoidance and attraction to food (Juell et al. 1994; Fernö et al. 1995).  
557 Many fish species migrate downwards as a response to increased light levels at day or with  
558 season (e.g. Fernö et al., 1995). This has been suggested as an evolved trait for avoidance of  
559 surface predators (e.g. birds) and possible avoidance of damaging UV-light in surface waters  
560 (Bullock, 1988; Fernö et al., 1995). However, surface feeding induces a shift in vertical  
561 positioning towards the surface (see Section 3). The degree of response is largely dependent  
562 on feeding motivation, with salmon initially close to the surface at the beginning of a feeding

563 period followed by a descent away from the surface as feeding progresses. The level of  
564 feeding motivation seems to be traded-off against the light avoidance both during feeding and  
565 after or between meals. Further, several long-term studies indicate that the trade-off between  
566 surface light avoidance and swimming depth is modified by a seasonal increase in feeding  
567 motivation, with fish positioning themselves at shallower cage depths as appetite increases  
568 (Fernö et al., 1995; Oppedal et al., 2001a; Juell et al. 2003; Juell and Fosseidengen 2004).  
569 With a regression tree analysis, Johansson et al. (2007) determined that higher fish densities  
570 close to the surface were strongly related to feeding time itself, but traded-off outside feeding  
571 hours. Overall, a clear trade-off exists between surface avoidance and surface feeding  
572 motivation.

### 573 *5.2. Temperature and natural light*

574 Salmon trade-off between light and temperature in sea-cages when preferred levels exist at  
575 different depths. Temperature often dominates the light-temperature trade-off, presumably  
576 because the physiological benefits of maintaining a position in a preferred temperature range  
577 outweigh those associated with optimal light levels. A multiple regression analysis on the  
578 influences of surface light, temperature at 0.2 m and visibility range on fish density in the 0-1  
579 m depth interval indicated that an increase in temperature was the main factor affecting the  
580 ascent in spring, overruling the surface light avoidance (Fernö et al. 1995). Further, Oppedal  
581 et al. (2001a) documented that temperature overruled responses to other factors when surface  
582 waters were warmest, with salmon gathering at the surface, regardless of the typical  
583 avoidance of the high light intensities at the surface in spring and summer during the day. At  
584 night in winter, movement upwards toward the natural low light levels is overruled by the  
585 avoidance of cold surface water (Oppedal et al., 2001a; Korsøen et al., 2009). In both  
586 abovementioned studies, salmon ascended towards the surface at night within the relatively  
587 homogenous temperature layer below the thermocline, but did not ascend further through the  
588 thermocline into the colder overlying waters. These vertical behaviours can be interpreted as  
589 temperature overruling the avoidance of the surface due to high light levels during the day,  
590 and attraction to the surface at night as light levels fall. Finally, Oppedal et al. (2007)  
591 observed that salmon expressed an increasingly stronger temperature preference as the  
592 temperature range in sea-cages increased, displayed as higher swimming densities in the  
593 depth layer of optimal temperature. This trade-off between thermo- and photoregulatory  
594 behaviour may derive from the fact that temperature has more direct impact on physiology  
595 with respect to growth, while schooling may be maintained at a wider range of light  
596 intensities.

### 597 *5.3. Temperature and artificial light*

598 The underlying drivers governing trade-offs between thermo- and photoregulatory behaviour  
599 are likely to be similar whether natural or artificial lights are used. However, the outcome of  
600 trade-offs in terms of where fish position themselves in cages may differ markedly due to the  
601 ability artificial light sources give to manipulate light levels either at the surface or sub-  
602 surface. In studies using surface mounted lights, salmon in coastal waters with homogeneous  
603 temperatures throughout the water column responded at night by attraction towards the

604 artificial illumination of < 10% of normal daylight intensities (Juell et al., 2003). In contrast,  
605 fish in thermally stratified fjord waters responded by swimming in the deep warmer water in  
606 winter and gradually ascended towards the surface as temperatures peaked in shallower  
607 waters as summer approached (Oppedal et al. 2001a). Clearly, this suggested that temperature  
608 modified the effect of artificial surface light. In a following study by Oppedal et al. (2007),  
609 where submerged lights were positioned randomly at 1, 5 or 10 m depths for 2 weeks during  
610 winter, spring and summer, the multiple trade-offs between temperature and light were  
611 elegantly illustrated: i) when warmest temperature (<14°C) and illumination were at the  
612 same depth, salmon swimming depth remained at this depth throughout the diel cycle; ii)  
613 when slightly warmer temperature was at a different depth than illumination, the salmon  
614 preferred the depth with warmest waters during the day and moved towards depths with  
615 greatest illumination at night or iii) displayed a bimodal distribution with some fish preferring  
616 illumination at night while others preferred depths where warmest waters occurred but all fish  
617 still preferred depths with warmest waters during the day; iv) when the vertical temperature  
618 gradient was strong (7 °C), the warmest water was preferred through the diel cycle,  
619 completely overruling illumination. Salmon contained in standard sea-cages with submerged  
620 lights at 7 m depth in late spring/early summer behaved similarly to group ii) in Oppedal et al.  
621 (2007), with a vertical preference for temperature (1-2 °C difference) during the day and  
622 vertical migration to the depth with greatest illumination at night (Dempster et al., 2009a).

623 Salmon followed over a production cycle in larger commercial cages display similar patterns  
624 (Fig. 2). When lights were switched on at 7 and 15 m depths, fish avoided the colder surface  
625 area both day and night. Artificial lights at these depths overruled the typical night ascent as  
626 the depths with illumination matched the depths with warmest water. During the spring rise in  
627 temperature at the surface layer, fish choose the warmest temperature during the day and the  
628 illuminated, but slightly colder, deeper waters at night. In summer, the bimodal distribution of  
629 fish during the day indicates that individual fish make different trade-off choices, preferring  
630 either highest temperature or illuminated waters during the day, while all fish prefer  
631 illumination in the short night of summer.

#### 632 *5.4. Multiple trade-offs*

633 During a 50 hr period, a shift in the trade-offs salmon made among differing environmental  
634 influences was documented within two replicate commercial cages (Fig. 9, reproduced after  
635 Figs. 2 and 8, site 4 of Johansson et al., 2007). Temperature was relatively homogenous  
636 among depths at approximately 15 °C. During the afternoon of day 254, salmon  
637 predominantly swam deep in the cage, avoiding light at the surface. From dusk of day 254  
638 and through the night, salmon distributed relatively evenly throughout the water column with  
639 a proportion of the salmon moving towards and staying close to the surface. This may be  
640 interpreted as a reduction in the importance of the daytime surface light avoidance as the  
641 illumination attenuated. On the morning of day 255, the fish descended away from the high  
642 light levels at the surface, but this trade-off was overruled when feeding started as fish  
643 responded to pellets delivered at surface by moving into surface waters (see Section 2.2).  
644 When the hunger level was reduced, the salmon again moved downwards in the water column  
645 to avoid surface light (see Section 2.3). At dusk of day 255, the salmon again distributed



646 evenly as per the previous night, but after midnight all fish descended and avoided the  
647 hypoxic conditions ( $DO < 70\%$ ) which occurred from 0-7 m depth. A strong movement  
648 towards the surface in response to feed occurred when the hypoxic conditions were moderate  
649 at day 256 with fish moving downwards as feeding terminated. This example illustrates the  
650 complex outcomes of trade-offs made by salmon under conditions where light and DO levels  
651 fluctuate against short periods of strong feeding motivation when feed become available.  
652 Feeding motivation overrode light levels and drove vertical behaviours when DO levels were  
653 moderate ( $> 85\%$ ), while hypoxia ( $< 70\%$ ) overrode behaviour driven by low light intensity at  
654 night.

## 655 **6. Conclusions, future research and recommendations for practical implementation of** 656 **knowledge to date**

### 657 *6.1 Need and recommendations for measuring environmental variables in sea-cages*

658 Given the clear environmental driving of salmon behaviour in sea-cages summarised in this  
659 review, we recommend the establishment of environmental monitoring protocols. Without  
660 knowledge of their production environment, farmers will have no capacity to adaptively  
661 manipulate cage environments to improve production. Environmental monitoring  
662 requirements have recently been included in Norwegian legislation (Norwegian Ministry of  
663 Fisheries and Coastal Affairs, 2008) and must be implemented by the Norwegian industry.  
664 Salmon farming industries elsewhere in the world should enact similar guidelines.

665 Ideally, continuous, whole of the water column, real-time monitoring would provide farmers  
666 with the best information on environmental conditions in cages. Whole of the water column  
667 monitoring technologies are under development and may be widely available to the industry  
668 soon (e.g. welfare meter; <http://www.imr.no/welfaremeter/>). In the meantime, as a minimum  
669 environmental sampling strategy for sea-cages, we recommend continuous monitoring of a  
670 temperature and salinity profile at each farm. Temperature and salinity should be monitored at  
671 a minimum of 4 depths in the cage, to adequately capture the top layer, the position of the  
672 thermocline or halocline and the bottom layer within cages. Dissolved oxygen levels should  
673 be measured continuously at a reference point outside of the farm and inside the farm within  
674 the most susceptible cage for low DO levels. Such a cage would be positioned between other  
675 cages, where the least current flow occurs and/or in the cage with highest stocked biomass.  
676 DO measurements should be taken a minimum of one third of the way into the cage and  
677 ideally at the same 4 depths as temperature and salinity. The depths measured must be chosen  
678 depending on the behavioural trade-offs fish exhibit towards environmental variables  
679 (principally feeding, light and temperature preferences) and modified according to seasonal  
680 changes in the outcomes of behavioural trade-offs.

### 681 *6.2. Documenting the effects of sporadic events on vertical behaviours and environmental* 682 *trade-offs in sea-cages*

683 Numerous sporadic, short-term events dramatically alter conditions in sea-cage over time  
684 scales of hours to weeks. Such events include storms (high surface turbulence combined with  
685 increased currents), and jellyfish (e.g. Sammes and Greathead, 2004) and phytoplankton

686 blooms (e.g. Johnsen and Sakshaug, 2000). Salmon may modify their vertical behaviours in  
687 response to these events and the nature and outcome of trade-offs towards environmental  
688 variables may in turn change. Some very limited evidence exists that suggests salmon move  
689 away from the surface and swim deep within cages during stormy weather (Bégout Anras et  
690 al., 2000), and that this behavioural response overrides vertical preferences towards other  
691 environmental variables such as temperature and light. While salmon are known to feed  
692 poorly during phytoplankton blooms and suffer increased mortality in both phytoplankton and  
693 jellyfish blooms, no data exists to assess how or whether salmon adapt their vertical  
694 behaviours or trade off decisions to cope with the modified conditions these blooms create.  
695 Thus, documenting the effects of sporadic events on behaviour should be a priority area for  
696 future research. Such information may provide farmers with the ability to modify the cage  
697 environment to enable salmon to engage in greater coping behaviours.

### 698 *6.3. Welfare perspectives*

699 Fish that experience a wide range of salinity, temperature and DO values may be better  
700 prepared to meet short-term changes and thus spatial variation may not necessarily be  
701 negatively correlated with fish welfare (Johansson et al., 2007). However, environmental  
702 variation might induce a stress response that incurs a physiological cost for the fish. Changes  
703 in environmental conditions will generally lead to a mismatch between physiological states  
704 and the environment, causing reduced maximum oxygen uptake rate and increased oxygen  
705 consumption. For example, temperature variation induces an extra energetic cost measured as  
706 increased oxygen consumption for individuals, with acclimation rates of 20-25 % per day  
707 towards the new temperature (Torgersen et al., 2009). Further, a negative psychological and  
708 physiological impact occurs in salmon exposed to an acute increase in temperature from 8 to  
709 14 °C (Folkedal et al., 2010), as indicated by weaker conditioned responses to the anticipatory  
710 signal of a blinking light to indicate the commencement of feeding (see section 3.2).

711 Recently, several studies have indicated that stressful rearing conditions, including  
712 environmental stressors such as temperature and oxygen, are correlated with increased  
713 susceptibility to diseases and suppressed cytokine expression in fish (Wedemeyer, 1997; Metz  
714 et al., 2006; Fridell et al., 2007; Ndong et al., 2007; Fast et al., 2008; Perez-Casanova, 2008).  
715 For example, outbreaks of pancreas disease caused by the salmonid alpha virus may be stress  
716 related (McLoughlin and Graham, 2007). These findings emphasize that monitoring protocols  
717 for environmental stress are required during salmonid farming in sea-cages to identify when  
718 remedial actions should be taken.

#### 719 *6.3.1. Site-specific environments require specific stocking density limits*

720 Maximum allowable stocking densities are a common tool used to regulate production (e.g.  
721 Norway: 25 kg m<sup>-3</sup>; Norwegian Ministry of Fisheries and Coastal Affairs (2008)). Stocking  
722 density limits have also been discussed in the context of setting limits to ensure acceptable  
723 welfare (FSBI, 2002; Turnbull et al., 2005; Adams et al., 2007; Huntingford and Kadri, 2008;  
724 Turnbull et al., 2008). However, recent reviews have argued that the use of stocking density  
725 alone is insufficient to ensure welfare of farmed salmon (Huntingford and Kadri, 2008;  
726 Turnbull et al., 2008). Stocking density *per se* may not be the overriding factor limiting

727 production. Instead the underlying consequences of low or high levels of social interactions  
728 associated with changes in stocking density or, more importantly, the degradation of water  
729 quality with increasing density may ultimately limit production. A better approach may be to  
730 develop husbandry systems that maximise welfare through monitoring water quality and  
731 observing fish behaviour (Huntingford and Kadri, 2008). Reinforcing this conceptual line of  
732 argument, Dawkins (2004) states that the behavioural patterns of animals will indicate their  
733 social choices and likes or dislikes about their physical environment. Changes in such patterns  
734 with stocking density or degree of crowding will be particularly important in identifying  
735 whether animals want and require more space.

736 A greatly underestimated aspect of the discussion regarding fish welfare in sea-cages is the  
737 actual swimming density of the fish and how it is affected by stocking density. Salmon rarely  
738 disperse evenly throughout the water column and instead congregate at certain depth intervals  
739 in densities from 1.5-20 times the stocking density (Table 1). Deriving generalisations from  
740 studies that have investigated the effects of stocking densities will prove difficult as the  
741 temporal and spatial variability of environmental variables that drive swimming densities to  
742 completely different levels than stocking densities will likely have been present yet  
743 unmeasured. Nevertheless, the great variation in vertical distributions in sea-cages induced by  
744 a changing environment identified in this review demands that preferences and aversions must  
745 be a component in establishing appropriate stocking densities.

746 Competition for depths based on the trade-off preferences of salmon may be one way in  
747 which adverse welfare effects manifest at high stocking density in sea-cages (Ellis et al.  
748 2002). The severity of the effects would then depend on environmental heterogeneity, with  
749 increased severity where heterogeneity limits the volume of preferred space available  
750 (Johansson et al., 2006). For example, normal (7-11 kg m<sup>-3</sup>) compared to high (18-27 kg m<sup>-3</sup>)  
751 stocking densities allowed a greater proportion of caged salmon to occupy the more  
752 favourable, but restricted volume above the pycnocline (Johansson et al., 2006). Thus, high  
753 stocking densities may force more fish into sub-optimal environmental conditions, such as  
754 waters with high temperatures or low DO. Generally, a homogeneous sea-cage environment  
755 will have a higher production capacity compared to a heterogeneous environment, as long as  
756 environmental variables remain within thresholds. However, if threshold limits are  
757 approached, salmon will be better off in environments where they are able to choose based on  
758 their preferences.

759 In summary, better welfare outcomes for salmon in sea-cages could be achieved through  
760 establishing site-specific biomasses and stocking densities linked to the prevailing  
761 environmental conditions at individual sites and revising these between each production  
762 cycle. For such measures to be effective, modern monitoring protocols must be developed and  
763 included within “simple to use” management tools.

#### 764 *6.3.2 Manipulating vertical distributions through feeding regimes and artificial lights*

765 Feeding intensively at the surface to rapidly satiate salmon leads to fish swimming deeper at  
766 preferred depths throughout the day instead of the shallower swimming typically seen in fish  
767 that are hungrier for longer when fed in small batches throughout the day (see Section 3.2).

768 Similarly, fully fed fish swam deeper compared to those fed in a restricted way. A  
769 combination of intensively fed and satiated fish thus leads to greater avoidance of the surface  
770 waters, which generally experience more variable and sub-optimal environmental conditions  
771 and may also lead to fish perceiving a sustained predation risk. Feeding regimes that provide  
772 the fish with the longest possible periods at their preferred environments (Dawkins, 2004)  
773 deeper in the cages may thus improve welfare.

774 Several studies indicate that light deployment depth may be used as a powerful management  
775 tool to attract the fish to optimal depth layers or disperse them to utilise more of the cage  
776 volume (see Section 4.1). Submerged artificial lights are superior to surface mounted artificial  
777 lights in this regard, as surface lights may induce crowding of the fish at night compared to  
778 submerged lights. Acute or chronic crowding may reduce the welfare of the fish through  
779 increased fin erosion (e.g. Latremouille, 2003; Person-Le Ruyet et al., 2008; 2009) or  
780 exposure to periods of suboptimal oxygen levels. Further, deployment of lights to specific  
781 depths may attract the fish away from potential depth-related harmful environmental  
782 conditions such as aluminium toxicity in freshwater runoff, algal and jellyfish blooms,  
783 suboptimal temperatures and oxygen, algae or parasitic infections such as sea-lice (reviewed  
784 by Dempster et al., 2009a).

### 785 *6.3.3 Development of a behaviour-based operational welfare index (OWI)*

786 The development of operational welfare indices (OWIs) to measure fish welfare has been a  
787 focus of the fish farming industry for the last decade (see review by Branson, 2008), yet few  
788 functional OWIs currently exist. Norway, the largest producer of Atlantic salmon (Table 2),  
789 has recently legislated that OWIs must be introduced (The Norwegian Animal Welfare Act,  
790 2004). Despite this, in 2008, approximately 300 million individual Atlantic salmon and  
791 rainbow trout were held in sea-cages in Norway at any given time (Norwegian Fisheries  
792 Directorate, 2009) without any proper measure of their welfare status. Proxy measures of  
793 welfare, based on normal growth rates, the absence of disease outbreaks and low mortalities,  
794 are used as indirect measures. However, these measures (mortality and poor growth rates in  
795 particular) only allow recognition of episodes resulting in poor welfare after the fact and  
796 provide little or no ability for farmers to detect and react to the onset of conditions that lead to  
797 poor welfare. Disease outbreaks are often initiated by poor environmental conditions,  
798 indicating that a more immediate, early-warning OWI could provide a mechanism to  
799 implement cage management strategies to avoid disease (e.g. WEALTH;  
800 <http://wealth.imr.no/>).

801 OWIs must be easy for farmers to use and measurement should be simple or remote. Recent  
802 attempts to use indirect OWIs based solely on environmental measurements (e.g. temperature,  
803 oxygen) appear promising (e.g. welfare meter; <http://www.imr.no/welfaremeter/>). However,  
804 these environment-based measures of welfare are still indirect or rely on measurements of  
805 condition after the event. A new, instantaneous behaviour-based OWI could be based on the  
806 motivations, preferences or aversions of fish.

807 Using the comprehensive knowledge of preferred behaviour of Atlantic salmon in sea-cages  
808 now gathered in this review (Table 1) and Juell et al. (1995), we propose that an OWI based

809 on modelled preferred vertical behaviours and deviations from these behaviours could be  
810 developed. The foundation of the OWI would be swimming depth preferences and packing  
811 densities of fish in sea-cages compared to expected preferred distributions. Normal cage  
812 behaviours at the group- and partly individual-level have been studied in detail (Table 1) and  
813 some of this behaviour has been modelled (Alver et al., 2004; Føre et al., 2009); combined,  
814 these studies provide a solid basis for establishing preferred behaviours under differing  
815 environmental conditions. If an unexpected packing density is observed, then the fish are  
816 choosing to avoid an area of the cage due to an undesirable environment. Calculation of an  
817 index based on deviation from expected behaviour could be used as a welfare index. In  
818 preliminary work, Oppedal et al. (2007) developed an index of preference displaying the  
819 avoidance/preference towards variable environments observed in cages. Further work in this  
820 area could result in the development of a real-time OWI that would allow farmers to respond  
821 to the onset of conditions through cage management techniques.

#### 822 *6.4. Comparing trade-off decisions between surface-based and submerged feeding*

823 Preferred ranges of key environmental variables often occur at conflicting depths in sea-cages,  
824 forcing salmon to make trade-off decisions in their vertical positioning. For example, light  
825 conditions may induce fish to remain at depths where temperature or oxygen conditions are  
826 sub-optimal for growth relative to other depths. In such cases, control over salmon behaviour  
827 may prove beneficial as it enables the farmer to reduce the impact of detrimental culture  
828 conditions. As the addition of food to cages significantly influences salmon behaviour (see  
829 Section 3.2), altering food insertion depths and the time and duration of feeding events may  
830 represent ways in which to steer the fish both in time and space.

831 In all previous studies, feeding motivation has been based on surface feeding and trade-offs  
832 made by the fish have always been towards the surface (see Section 3.2). Submerged feeding  
833 at depths corresponding to the normal swimming depth preferences of salmon, for example in  
834 response to temperature, will likely drive the trade-off towards the environmental factors  
835 salmon themselves choose to be of most importance. Changing the depth position of the fish  
836 towards its preference should therefore enable better welfare (Dawkins, 2004). Keeping the  
837 fish away from the surface may increase growth, as indicated by Thomassen and Lekang  
838 (1993) and may also reduce sea lice infestations (Hevrøy et al., 2003). Therefore, we contend  
839 that studies at commercial-scale with submerged feeding are required to understand the  
840 importance of feeding and depth of feed entrance into sea-cages on the trade-off decisions  
841 made by salmon.

#### 842 *6.5. Manipulating the swimming depths of salmon to reduce encounters with sea lice*

843 Sea lice are a perpetual problem for the salmon farming industry as they impose costs through  
844 reduced growth rates and treatments (Costello, 2009a), and they have been implicated in  
845 declines of wild stocks in Europe and North America (Ford and Myers, 2008). The salmon  
846 louse (*Lepeoptheirus salmonis*) is primarily responsible for infesting farmed salmon, although  
847 *Caligus* spp. epizootics can also occur (Costello et al., 2009b).

848 The biology and genetics of sea lice has been recently reviewed (Boxaspen, 2006). The  
849 infective copepodid stage of the salmon louse is pelagic, strongly phototactic (Bron et al.,  
850 1993), and typically occurs in greatest abundances at shallow depths in coastal waters  
851 (Johannesen, 1978; Costelloe et al., 1996; 1999; McKibben and Hay, 2004). Lice copepodids  
852 in large enclosures in the sea amassed near the surface during the day and dispersed into  
853 deeper layers at night (Heuch, 1995). Larvae actively avoid low salinity waters (Heuch et al.,  
854 1995), resulting in reduced lice abundances on farmed fish in low salinity areas (Revie et al.,  
855 2003; Jones and Hargreaves, 2007; Heuch et al., 2009). Depth, light and salinity preferences  
856 of sea lice larvae provide opportunities for active manipulation of the vertical distributions of  
857 salmon to reduce levels of infestation.

858 Salmon held in 20 m deep cages had approximately 50% less lice coverage compared to  
859 salmon held in 6 m deep cages at the same site, probably as a result of fish swimming in  
860 deeper waters in the 20 m cages away from peak lice abundances in the top few metres (Huse  
861 and Holm, 1993). Manipulative trials where salmon were held in submerged cages at 10-20 m  
862 depth compared to surface cages held from 0-10 m depth (Osland et al., 2001) and in small  
863 submerged cages at 4-8 or 8-12 m depth compared to surface controls at 0-4 m depth (Hevrøy  
864 et al., 2003) also resulted in significantly lower sea lice infestation at the deeper depths. While  
865 infestation rates were increased in small groups of salmon exposed to surface mounted  
866 artificial lights compared to fish held in control cages subject to natural light (Hevrøy et al.,  
867 2003), as part of a longer and larger study (Oppedal et al., 2001a) found that the preferred  
868 swimming depths of salmon were more important than the artificial surface light in  
869 determining lice infestation levels (Hevrøy et al., 2003).

870 Combined, the existing evidence suggests that an opportunity exists to steer fish away from  
871 surface waters where sea lice are in highest abundances. Today's use of submerged artificial  
872 lights, which attract salmon to the deployed light depth, may decrease infestation rates as  
873 salmon avoid the surface layer. Similarly, deployment of lights well below any steep  
874 halocline will also pull fish away from this area of concentrated sea lice larvae and likely  
875 reduce infestation levels. However, whether a phototactic locomotory response will also  
876 initiate vertical movement of larvae to depths at which artificial lights are deployed at,  
877 particularly at night, are unknown. If this were the case, actual infestation rates may be similar  
878 or increased. Rigorous testing is required to determine best light deployment practices.

879 Submerged feeding may also enable salmon to be encouraged away from the surface to depths  
880 where sea lice larvae occur in reduced abundances. Salmon at commercial densities have been  
881 observed to feed effectively through a submerged feeding system with feed input at 6 m depth  
882 (Dempster et al., 2008; 2009a) and 15 m depth (Korsøen et al., 2009). For such a technique to  
883 be effective, however, the trade-offs between both light, feeding motivation and temperature  
884 preferences must be addressed and taken into account so fish are not forced away from  
885 preferred light or temperature levels.

## 886 *6.6. Climate change impacts on salmon aquaculture*

887 Ocean temperatures have risen over the last century (Domingues et al., 2008) and various  
888 scenarios predict global rises in water temperature over the next century of 1-3 °C (e.g. IPCC,

889 2007). If such rises eventuate, longer periods of sub-optimal warm temperatures must be  
890 expected, with higher peak levels than normally seen today. Consequently, many of the  
891 current optimal salmonid farming regions (e.g. southern Norway, U.K., Tasmania, and the  
892 Chiloe Sea, Chile) will be exposed to a range of higher surface water temperatures above  
893 optimal thresholds in the summer months (> 20 °C). Concurrently, sites to the north or south  
894 of these farming regions will become optimal and warmer winter temperatures may lead to  
895 marginally increased growth rates throughout winter.

896 Despite salmonids being among the most intensively investigated fish, temperature thresholds  
897 for large Atlantic salmon and rainbow trout in seawater are poorly known. For salmon smolts  
898 in freshwater, lethal thresholds of 24-32 °C have been determined depending on acclimation  
899 temperatures (Elliott et al., 1991). Similarly, the lethal range for small rainbow trout in  
900 freshwater is 27-29.5 °C (Beitinger et al., 2000). Lower temperature thresholds are likely for  
901 larger fish; a summary of laboratory studies indicated that extended exposures to temperatures  
902 of 21-22.2 °C are lethal for migrating adult salmon in the Columbia River (Gray, 1983).  
903 During short periods of high temperature, thermal stress builds-up and if recovery periods are  
904 insufficient, tolerable cumulative stress levels will be exceeded (Bevelhimer and Bennet,  
905 2000). Well below these critical threshold levels, rises in sea-surface temperatures are likely  
906 to significantly modify production parameters such as growth. The optimum temperature  
907 range for growth of Atlantic salmon in seawater ranges from 14-18 °C (e.g. Johansson et al.,  
908 2009). Field data from the large growth database of Skretting (2009) indicate that growth  
909 declines by 20-25% when temperatures increase from 16 to 20 °C in Atlantic salmon farms  
910 worldwide, while no data above 20 °C is available. Data from Tasmania indicate more severe  
911 effects with negative growth rates above 18 °C (Fig. 10).

912 Increased temperatures will likely increase periods of hypoxia within sea-cages, resulting  
913 from higher demand from the fish and lower solubility of oxygen in warmer water. Hypoxia  
914 will change the nature of environmental trade-offs, driving vertical avoidance behaviours.  
915 During periods of high temperature, fish in thermally stratified waters will move vertically  
916 and crowd in denser schools (e.g. Johansson et al., 2006), possibly escalating hypoxia  
917 problems. Within homogenous waters, fish will be equally exposed to unsuitable  
918 temperatures. If hypoxia results, poor welfare will ensue, resulting in a combination of  
919 increased disease susceptibility, poor growth, feed conversion and generally impaired  
920 performance. We therefore contend that future research on temperature thresholds for both  
921 individuals and groups of large salmonids in seawater is needed for improved site selection  
922 and farm management under a changing climate scenario.

### 923 *6.7. Individual-based measurements*

924 To increase our understanding of the preferences of salmon in sea-cages, future studies should  
925 include a greater focus on individual measurements. This will result in a better understanding  
926 of the coping and motivational mechanisms with environmental cues that drive the behaviour  
927 of fish in production environments (e.g. Sutterlin and Stevens, 1992; Juell, 1995; Johansson et  
928 al., 2007; Johansson et al., 2009). Such parameters would, if possible, include individual feed  
929 intake, growth, physiological status, sex, maturity, swimming speeds, horizontal movements,

930 and parameters that describe the multiple environments they experience including depth,  
931 temperature, salinity and oxygen at high temporal and spatial resolution. This may in turn be  
932 used to better inform studies that rely on group-based measurements, improve production  
933 management and assist in ensuring acceptable welfare across the wide behavioural range  
934 exhibited by individuals within sea-cage production units of tens to hundreds of thousands of  
935 fish.

936

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944



945 **8. References**

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1278 Table 1. Factors affecting swimming depth and densities of Atlantic salmon (*Salmo salar*) in sea-cages. Upper-case letters indicate strong effects,  
 1279 lower-case letters indicate weak effects.

Reference	Factor								Method				Environment/remarks		
	Feed	Light	Temp	Sal	DO	SD	Season	Sub	OFD /SD	Prac. Imp.	Fish size kg	no. fish		SD kg m <sup>-3</sup>	Volume m <sup>3</sup>
Huse and Holm, 1993	f	NL					S			P	1.2-2.5	900	0.5-3	726	4-14 °C at 0.2, 2 and 55 m; Sea lice infestation
Bjordal et al., 1993	F	nl								P	0.4-1.0	3×30000	7-17	1800	
Juell et al., 1994	F	nl								P	0.3	2×4300	1.3	1000	Feeding intensity; Restricted feeding
											1	2×4000	4	1000	
Fernö et al., 1995	F	NL	t				S		5x	P	0.35	2×3475	1.2	1000	4-14 °C at 0.2 and 2 m
Oppedal, 1995 <sup>a</sup>	f	NL/LL	t				s		2x	P	1-2.7	4×4962	3-8.5	1584	
Juell, 1995 (review)	F	NL	t				s			P					0-9 °C at 0-10 m
Hevrøy et al., 1998		NL/LL					S	Sub		P	1.8	6×10	0.2	100	
											1.8-4	4×2300	2-5	2000	Sea lice infestation; 3-14 °C at 0-14 m
Bégout Anras et al., 2000	F	NL							2x	P	1.5	6000	15	1150	
Oppedal et al., 2001	f	NL/LL	T	s			S		10x	P	1.8-4	4×2300	2-5	2000	Strong and calm wind
Juell et al., 2003		LL	t				s		20x	P	0.5-1.1	4×85000	2-7	12500	
Juell and Fosseidengen, 2004	f	NL/LL	t				s		13x	P	0.2-0.7	4×200000	4-7	17500	5-11 °C at 1-15 m
Johansson et al., 2006		NL	T	s	DO	SD	S			P	1.7-2.3	3×8800	7-10	2000	
											1.5-2.2	3×24700	18-27		11-20 °C at 0-12 m
Oppedal et al., 2007		LL	T	s			S		10x	P	0.3-1.4	3×15000	2-9	2000	
Johansson et al., 2007	f	NL	T	s	DO				10x	P	1.3-4.8	8×50000	8-16	12500-21875	2-14 °C at 0-14 m
Dempster et al., 2008		NL	t					SUB	10x	P	1.7-4.4	4×500	0.7	1100	6-14 °C at 0-10 m
Dempster et al., 2009		LL	t					SUB	10x	P	0.4-0.6	4×3800	1-2	1800	
Vigen, 2008		NL			DO				3x	P	2.5	7000	31	576	Delousing treatment
Korsøen et al., 2009		NL	t					SUB	3x	P	3.5-4.6	6×2300	5-7	2000	
Johansson et al., 2009 <sup>b</sup>		NL	t			SD				P	1.5	23	6-32	2000	11-20 °C at 0-12 m

1280 Temp: temperature; Sal: salinity; DO: dissolved oxygen; SD: stocking density; Sub: Submergence; OFD: Observed Fish Density; Prac. Imp.:  
 1281 practical implications; NL: natural light; LL: continuous artificial light; Volume = experimental sea-cage volume.  
 1282 <sup>a</sup> rainbow trout; <sup>b</sup> study on individuals

1283 Table 2 . Worldwide production of salmonids (Atlantic salmon; *Salmo salar* L., and rainbow trout;  
1284 *Onchorhynchus mykiss*) in sea-cages in 2008 (numbers in kilotons live biomass) within major  
1285 production areas. Live body mass recalculated from Kjørnhaug, 2009.  
1286

Country	<i>Salmo salar</i>	<i>O. mykiss</i>
Norway	797	92
Chile	429	194
Great Britain	146	
North America	145	
Faroes	39	
Australia	28	
Total	1584	286

1287



1288 **Figure captions**

1289

1290 Figure 1. Example of variations in mean group swimming speed (body lengths per sec; BL sec<sup>-1</sup>)  
1291 with time of day for Atlantic salmon (*Salmo salar* L.) held in sea-cages during December and  
1292 January. Night is indicated by the dark bar at the top of the figure. Measurements were made using  
1293 underwater cameras and infra-red light sources. Average swimming speeds per cage are shown with  
1294 standard deviations. Data were taken from three 2000 m<sup>3</sup> cages in the control group of Korsøen et  
1295 al. (2009).

1296

1297 Figure 2. Temperature from 10 August 2007 to 30 June 2008 (a) and group schooling densities in 5-  
1298 day periods (b-e) from a representative, commercial salmon cage at Centre for Aquaculture  
1299 Competence in Rogaland, southern Norway, illustrating some of the behavioural drivers discussed  
1300 within the review. Data reproduced from Stien et al. (2009). The cage held 68 000 Atlantic salmon  
1301 (*Salmo salar* L.) of average size 70 g in May and grew to 5.3 kg at harvest in November the year  
1302 after. Cage size was 24 × 24 m wide, 20 m deep until January and 35 m deep thereafter. Stocking  
1303 densities increased from 1.7 to 7.8 kg m<sup>-3</sup>. Continuous artificial underwater light sources were  
1304 applied at 7 and 15 m depth from 20 December until 12 June. A normal seasonal temperature  
1305 pattern with warmest water in the surface layer during summer and coldest during winter was  
1306 observed (a). In August (b), the salmon were attracted to the surface for feeding combined with a  
1307 subgroup deep down that avoided the strong surface light. At night, all fish swam close to the  
1308 surface where temperatures were most favourable. In December (c), a strong diurnal rhythm was  
1309 observed with salmon choosing the slightly warmer deep water and avoiding high surface light  
1310 intensities during the day and ascending towards the surface at night. Following the onset of  
1311 continuous light, the rhythm disappeared and 'daytime' behaviour was observed continuously. In  
1312 April (d), a reversed diurnal rhythm was evident with salmon in the 2-3 °C warmer surface layer  
1313 during the day and descending down to the artificial light sources at night. In June (e), the larger  
1314 salmon (>2.5 kg) avoided the high surface temperatures. The highest observed fish densities (2.6 ×  
1315 stocking density) were seen in April and resulted from a temperature preference and possibly high  
1316 hunger motivation in spring.

1317

1318 Figure 3. Halocline preference in Atlantic salmon (*Salmo salar* L.) post-smolts held in sea-cages at  
1319 Institute of Marine Research, Matre, southern Norway, one month after sea transfer distinguishable  
1320 as peak fish biomass in the middle of the halocline and not at peak temperature. Data reproduced  
1321 from Oppedal et al. (2001b). The vertical biomass distributions (% of biomass with depth) are based  
1322 on echo-sounders in 4 replicate 12 × 12 × 14 m deep cages with approximately 4 × 7800 salmon  
1323 with a mean weight of 113 g at sea-transfer on May 3. Distributions are means ± S.E. of two-hour  
1324 periods before (June 2, am), during (June 2, pm and June 3) and after (June 5) strong freshwater  
1325 runoffs. Corresponding temperature and salinity profiles are taken within the two hour period.  
1326 Similar halocline preferences were still seen in August (not shown).

1327

1328 Figure 4. Extreme oxygen variation in September within a commercial sea-cage compared to  
1329 outside reference (from Vigen, 2008). Cage size was 24 × 24 × 15 m deep and most of the 110 000  
1330 post-smolt Atlantic salmon (*Salmo salar* L.) of ca. 700 g (77 tons) swam in the upper 10 m at 7 to  
1331 15 kg m<sup>-3</sup>. The severe hypoxia is correlated to slack water conditions.

1332

1333 Figure 5. Oxygen fluctuations through a year exemplified by data inside a commercial Atlantic  
1334 salmon (*Salmo salar* L.) cage from 1 March 2008 to 1 March 2009 in the archipelago of Austevoll  
1335 in Hordaland, Western Norway. Data reproduced from Kristiansen and Stien (2010). White areas  
1336 indicate missing data. The cage held approximately 90600 Atlantic salmon at smolt transfer at end  
1337 of October 2007 (55 g) and the salmon grew to 4.3 kg at start of June 2009 when they were

1338 harvested. Cage size was 25 x 35 m wide and 20 m deep. Periods of oxygen super-saturation  
1339 (>100%) occur during the spring algae bloom, while in the autumn there are periods of very low  
1340 oxygen saturation (<50%). Oxygen saturation varies with time and depth.

1341

1342 Figure 6. Fish body length versus water current speed, indicating that smaller fish must swim faster  
1343 (higher body lengths per second) than larger fish to maintain their position in a sea-cage during  
1344 current flow.

1345

1346 Figure 7. Atlantic salmon (*Salmo salar* L.) attempt to avoid delousing chemicals by crowding in the  
1347 1-m surface water layer compared to an even distribution in a control group with only the de-  
1348 lousing skirt present (reproduced from Vigen, 2008). Observed Fish Density (OFD) based on echo  
1349 sounders are given on the colour scale. Skirts were completely set 15 min before (-15 on x-axis) the  
1350 chemical was applied (time 0 min) and removed 45 to 51 min after treatment commenced. The  
1351 control cage (skirt only) had the skirt set at time 0 and removed after 95 min. A commercial dose of  
1352 cypermethrin (BETAMAX VET, ScanVacc AS, Årnes, Norway) was added within 5 min to the  
1353 treatment volume (12 x 12 x 4 m deep) enclosed by the 6 m deep skirt which surrounded the sea-  
1354 cage. The stocking density with net bottom lifted to approximately 4 m during the treatment was 30  
1355 kg m<sup>-3</sup> (7000 fish of 2.5 kg).

1356

1357 Figure 8. Atlantic salmon (*Salmo salar* L.) avoid the volume in a sea-cage where a delousing  
1358 chemical is present (reproduced from Oppedal and Vigen, 2009). The colour scale gives Observed  
1359 Fish Density (OFD) based on echo sounders used during a bath treatment with deltamethrin  
1360 (ALPHA MAX®, PHARMAQ AS, Oslo, Norway) in a commercial cage of circumference 157 m,  
1361 35 m deep using two skirts of 90 m length x 15 m deep. Total biomass was approximately 999 tons  
1362 (196000 fish of 5.1 kg) giving a stocking density of 15 kg m<sup>-3</sup>. The cage net was not lifted during  
1363 treatment. Salmon were starved for 2 days prior to treatment. Feeding started 17 min prior to  
1364 treatment application (-17 on x-axis) to attract the fish towards the surface and into the treatment  
1365 volume. Skirts were set at -65 to -20 min and removed 38 to 85 min after the treatment was applied.  
1366 The deltamethrin treatment was added from 0 to 1 m depth from time -12 to 0 min. Salmon swam  
1367 deeper in the cage during setting of the skirt. Thereafter, a large proportion of the caged population  
1368 were attracted to the surface when feeding commenced. Following chemical distribution, the  
1369 salmon swam at depths below the treatment volume enclosed by the skirt. After skirts were  
1370 removed and water flow through the sea-cage returned, salmon returned to the surface to feed.

1371

1372 Figure 9. Oxygen conditions and observed fish density (OFD) during a 50-hour period in a sea-cage  
1373 (25 x 25 x 25 m deep) containing 146 tons of Atlantic salmon (*Salmo salar* L.) (reproduced from  
1374 Johansson et al., 2007). The vertical distributions show an example of multiple behavioural trade-  
1375 offs made by the salmon between surface light avoidance during the day, surface feed and feeding  
1376 motivation attraction during the day and avoidance of depths in the sea-cage where hypoxia  
1377 occurred during the second night and morning.

1378

1379 Figure 10. The effect of ambient water temperatures on the specific growth rate (SGR) of Atlantic  
1380 salmon (*Salmo salar* L.) illustrated by data from the Skretting growth performance database of  
1381 commercial farmers worldwide (closed squares; Skretting, 2009) where the cold temperature data  
1382 mainly represent East Canada, and Finnmark and Agder in Norway. Growth data from Tasmania  
1383 are shown for temperatures above 14 °C (open triangle). Fish size used for illustration is 1.5 kg  
1384 while negative effects of high temperatures are more pronounced in large compared to small fish.  
1385 The more severe negative effects of high temperature in the Tasmanian data is due to long-term (>3  
1386 months) experience of warm water at water depths experienced by the fish. In comparison, the  
1387 worldwide data set is dominated by short-term high temperature experiences in combination with

1388 unknown temperatures below 6 m depth and to what degree the fish occupy these or the measured  
1389 temperatures.

Figure 1.

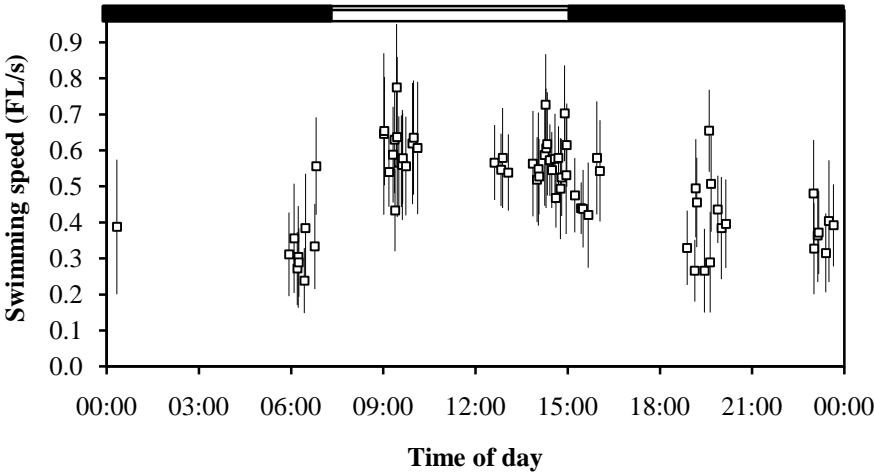


Figure 2.

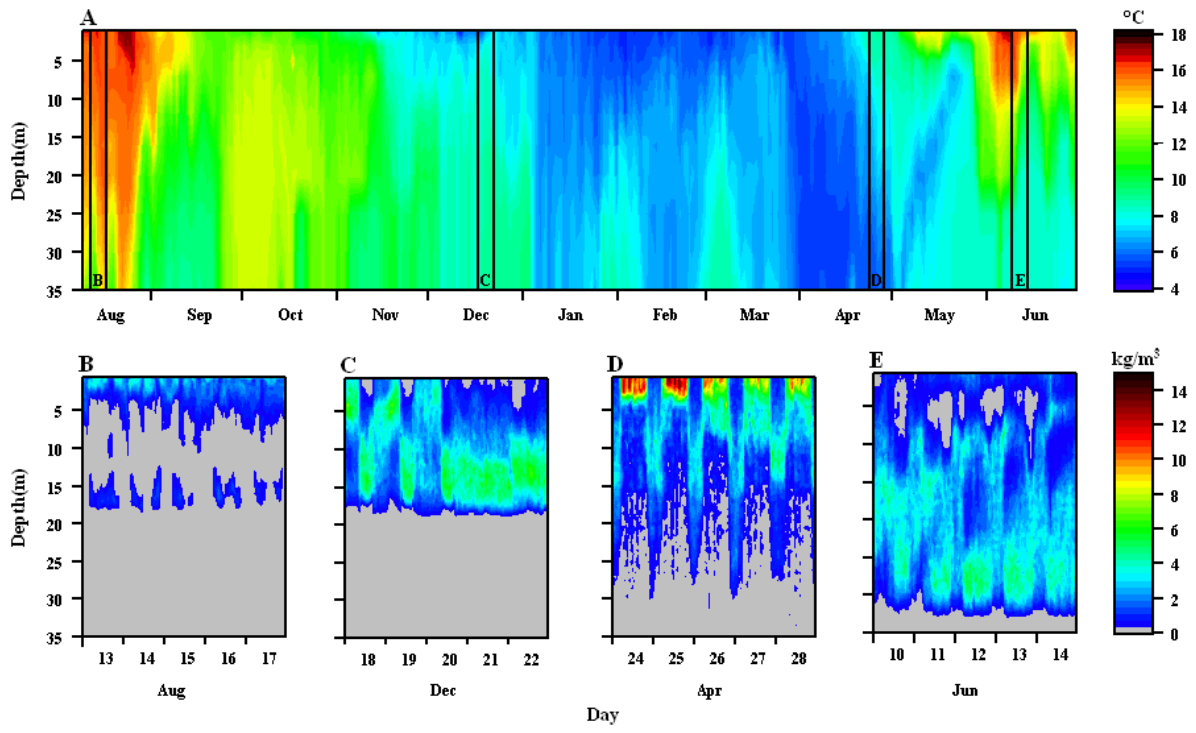


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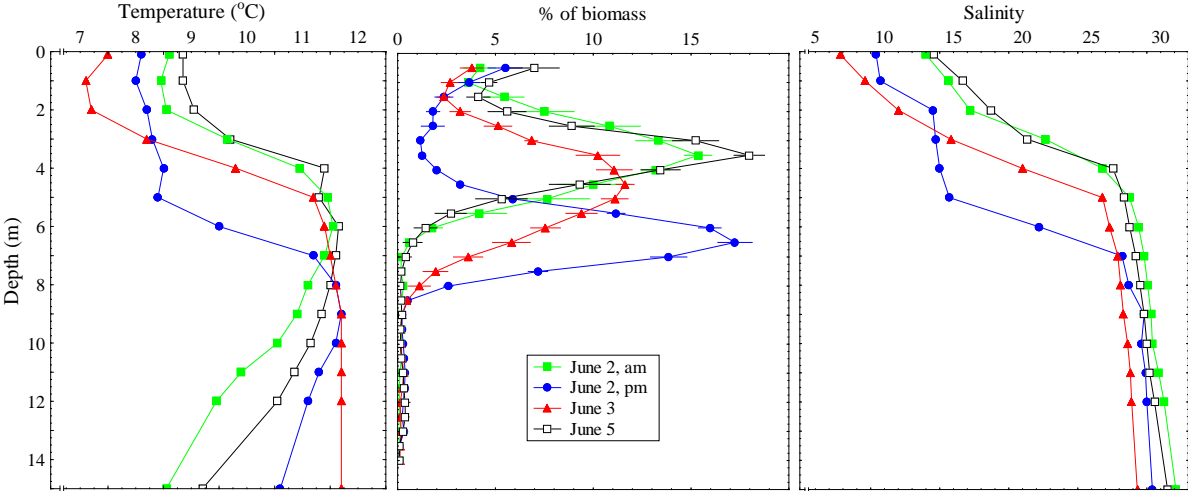
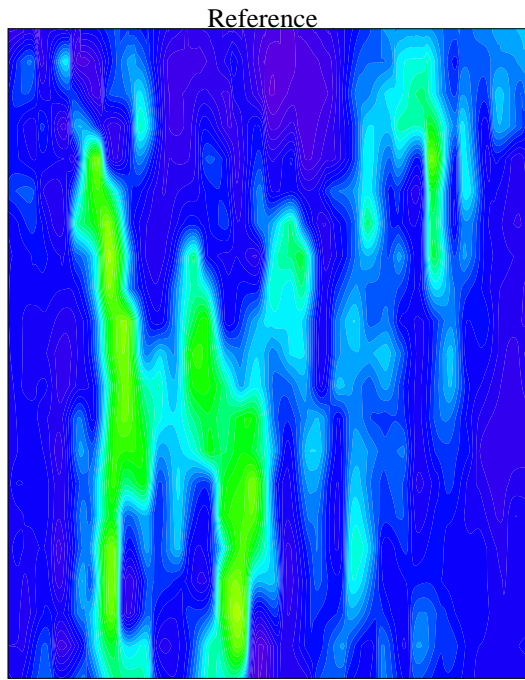


Figure 4.



Cage centre

DO (%)

Figure 5

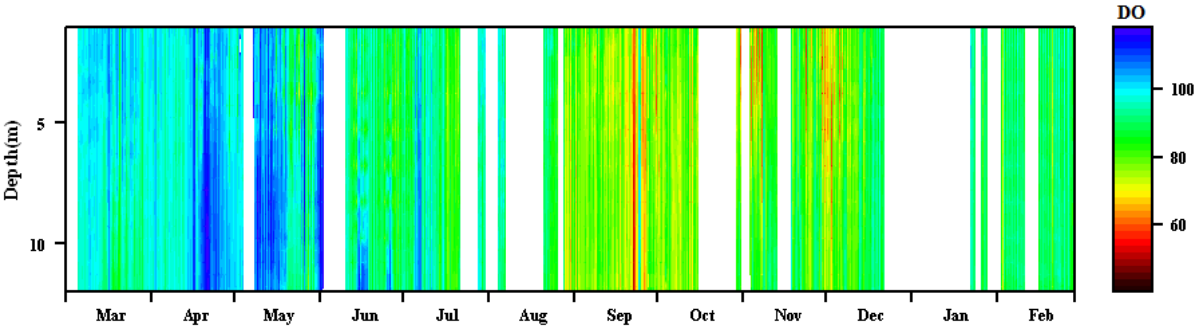




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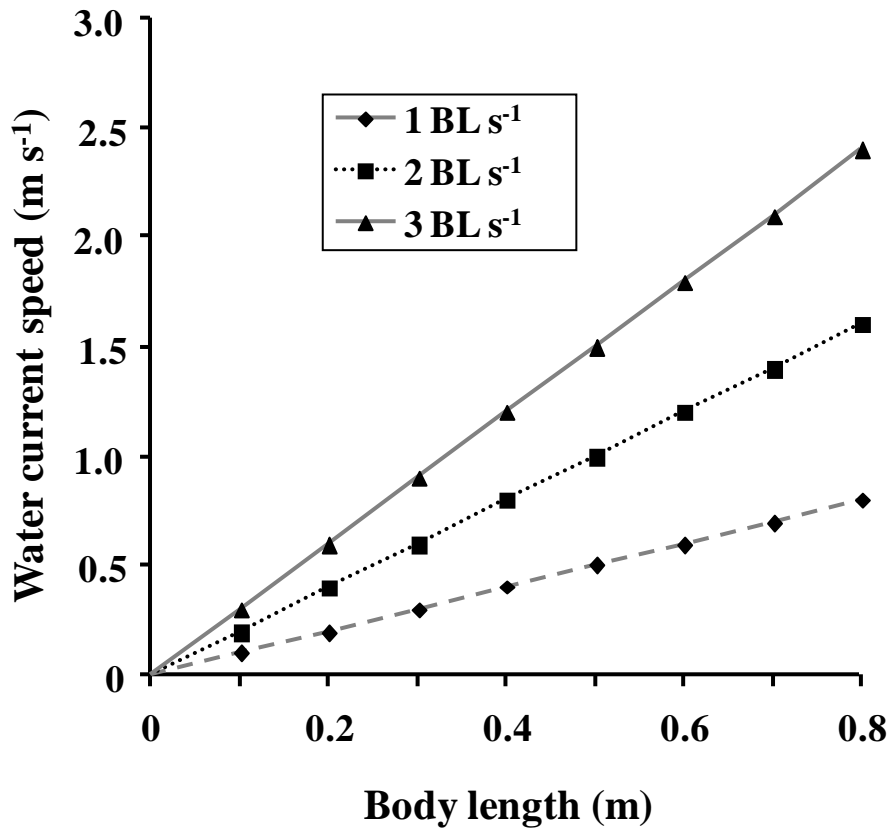


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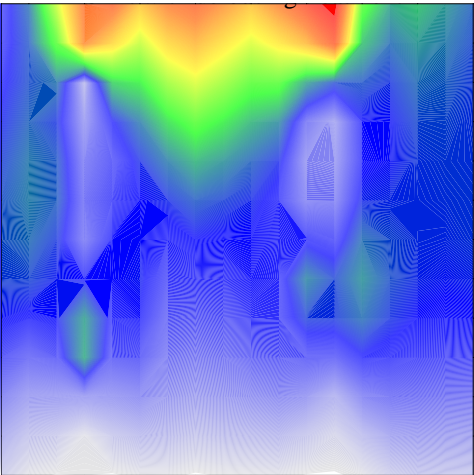


Figure 8.

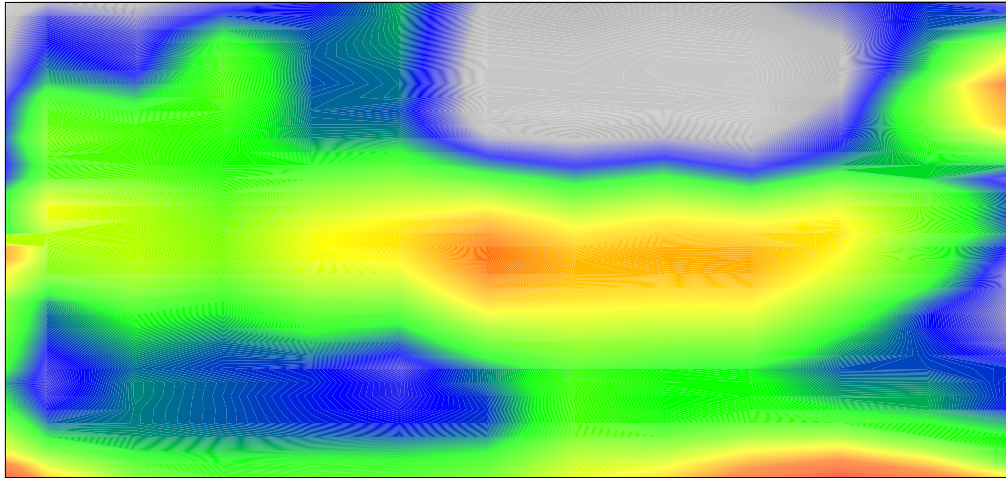


Figure 9.

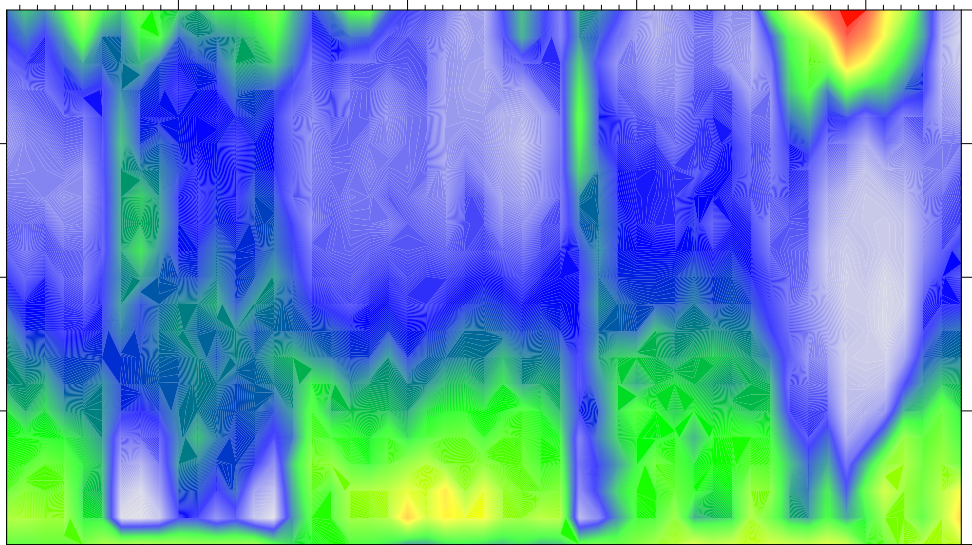


Figure 10.

