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To  
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Attn Editor

Bergen 03.05.10

**Manuscript for submission in Fisheries Research**

Attached you will find a manuscript intended for evaluation for publication in Fisheries Research.

The manuscript, called "Mortality of mackerel (*Scomber scombrus* L) after pursing and slipping from a purse seine" (written by Irene Huse and Aud Vold), deals with an important aspect of unaccounted fishing mortality in the purse seine fisheries for pelagic species. ICES has documented that the total mortality of the mackerel stock is significantly higher than the reported landings, and concealed sources of mortality must exist. This paper deals with one aspect of this discrepancy. We document for the first time in sea going experiments the unaccounted mortality caused by crowding and subsequent slipping of mackerel in purse seine fisheries. Our investigation reveals that this may indeed be one serious source of mortality in the fisheries for pelagic species. Mortality rates close to 100% were documented when mackerel were slipped after severe crowding in a purse seine nets. The experiments were done onboard commercial fishing vessels in the North Sea in order to mimic true fishing conditions. The methods used were developed during these experiments, and are therefore novel.

The findings in this study are novel, and have not been published elsewhere. It has the full approval of both authors.

We hereby hope that Fisheries Research finds our manuscript worthy for publication.

Yours sincerely



Aud Vold

1

2

3 **Mortality of mackerel (*Scomber scombrus* L.) after pursing and**  
4 **slipping from a purse seine**

5

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10

11



12

13 **ABSTRACT**

14 A new method was used to study the effect of crowding and subsequent slipping from  
15 a purse seine on the mortality of Atlantic mackerel (*Scomber scombrus* L.). Mackerel  
16 were allowed to swim from a purse seine through a transfer channel into two identical  
17 large floating net-pens. One pen was used as a control and was left floating in the sea  
18 without further treatment. The other was used to simulate crowding and slipping. The  
19 volume of the pen was gradually decreased by hoisting the bottom of the pen using a  
20 crane until the fish started to show panic reactions, and this volume was maintained  
21 for 15 min (2006) or 10 min (2007). The volume was then allowed to return to normal  
22 and the net-pens were left to drift freely in the open sea for three to six days. Five  
23 repeat experiments were performed, all of which showed that crowding has a major  
24 effect on survival rates. In all five experiments, mortality was higher among the  
25 crowded fish (80 – 100 % mortality) than the controls (0.1 – 46 % mortality), and the  
26 difference was significant ( $p = 0.01$ ). The experiments demonstrate that excessive  
27 crowding before slipping mackerel from purse seines should be avoided, if possible,  
28 in order to avoid massive fish kills.

29

30 **Keywords:** mackerel, purse seine, slippage, unaccounted mortality

31

32 **1. Introduction**

33 Catch regulation by slipping the whole or parts of a catch has traditionally been used  
34 in pelagic fisheries if catches are too large, or the size and/or quality of the fish are  
35 regarded as unsatisfactory (Stratoudakis and Marçalo, 2002; Borges et al., 2008). This  
36 is particularly the case when there is a large price differential among fish sizes or  
37 qualities (high grading). Until now, little has been known about how pelagic fish are  
38 affected by contact with fishing gears, although some studies of herring (Misund and  
39 Beltestad, 1995; Suuronen et al., 1996a and b), mackerel (Misund and Beltestad,  
40 2000) and sardine (Marçalo et al., 2006, 2007 and 2010) suggest that these species are  
41 highly vulnerable to gear-inflicted injury. ICES has called attention to the fact that  
42 landed catches alone do not explain the total loss from the stock of NE Atlantic  
43 mackerel (ICES, 2007). A study by Simmonds et al. (2010), which performed detailed  
44 analyses of data from landed catches, tagging experiments and egg surveys, estimated

45 that the total fishing related removals lies between 1.6 and 3.4 times the reported  
46 landings, with the most probable estimate being 2.4 times the catch. In addition to  
47 reported landings, real losses include unreported discards, slippage, escape mortality  
48 and undeclared landings. The relative importance of the individual fractions of the  
49 unaccounted mortality is unknown and may differ among fleet segments. The  
50 magnitude of unaccounted mortality is a key problem for marine fisheries  
51 management in terms of waste of the resource and uncertainty in estimating fishing  
52 mortality.

53

54 Lockwood et al. (1983) carried out a comprehensive small-scale study of the effects  
55 of crowding mackerel to various densities and for different durations. They observed  
56 severe mortalities when mackerel were held at densities similar to those in pursing  
57 before slipping. Although these experiments display excellent experimental data on  
58 the relationship between crowding and mortality, the fishing industry would not fully  
59 accept these results, claiming that small-scale experiments do not reflect conditions  
60 during fishing and that the experimental mortality rates cannot be considered valid for  
61 the commercial fishing fleet. The Norwegian fishing industry and fisheries managers  
62 have therefore demanded that these small-scale mortality rates be confirmed using  
63 full-scale fishing experiments in order to improve their credibility in the eyes of the  
64 industry.

65

66 The experiments described in this study are an attempt to meet these requirements. To  
67 carry out full-scale survival experiments in the field is certainly not straightforward  
68 (Suuronen 2005), as they are extremely expensive to execute and are sensitive to a  
69 range of influences such as weather and availability of fish, while time and costs will  
70 almost inevitably limit the number of valid replicates. The sea trials in our study were  
71 carried out under conditions as close as possible to those experienced in commercial  
72 fishing operations. A new method for studying the survival of mackerel caught in a  
73 purse seine and crowded before slipping is described. The method involves minimal  
74 handling of the fish beyond that caused by the catch process itself.

75

## 76 **2. Materials and methods**

77 The experiments were carried out in the North Sea in August/September 2006 and  
78 2007. Two large purse seiners were chartered for the experiments. Circular net-pens,  
79 each with an inner diameter of 12 m, were attached to a stiff frame of double (2006)  
80 or triple (2007) 200 mm polyethylene tubes (Fig. 1). The frame of large plastic tubes  
81 made it possible to work from the pens after they were deployed in the open sea. The  
82 netting material used in the pens was identical to that used in the bunt of many purse  
83 seines. An entrance channel made of the same material was attached to the pen, and  
84 an identical one was attached to the bunt of the purse seine. In 2006 the net-pens were  
85 readied for a pump system built for removal of dead fish from aquaculture net-pens  
86 (Lift Up Akva AS, Eikelandsosen, Norway). As this did not work well, in 2007 it was  
87 replaced with a 30 m-long collecting bag attached to the bottom of the cone (Fig. 1).  
88 A 30 kg weight attached to the end of the bag ensured that the pens kept their shape  
89 while drifting in the sea.

90  
91 One purse seine vessel set its net on a suitable sonar record of mackerel and hauled  
92 the net carefully until about half the seine was taken onboard. A purse boat helped to  
93 keep the seine open during hauling. The other seiner was used to carry the equipment  
94 and to help with handling the large net-pens during fish transfer and crowding. The  
95 pens were deployed on the surface when the purse seine had been hauled about half-  
96 way and the presence of fish in the catch had been ascertained. The transfer channels  
97 from the net-pen and the purse seine were then joined to make an escape opening  
98 from the seine into the net-pen. The first vessel continued hauling with extreme  
99 caution until part of the school was swimming calmly (visual inspection) through the  
100 channel and into the net-pen (Fig. 2). The fish did not appear to be particularly  
101 stressed during this procedure and were swimming freely, not touching the net. They  
102 maintained their organized and polarized schooling behaviour and continued circling  
103 calmly inside the pen. As soon as about 10 tonnes of mackerel, estimated visually by a  
104 skilled fisherman, had entered the net-pen, the transfer channel was closed and parts  
105 of the seine were slackened in order to provide more space for the remaining fish.

106

107 Two net-pens were filled with mackerel from each set of the seine: one for the control  
108 and one for the experimental group. The control and experimental pens were

109 alternately filled first in order to avoid any effect of order. Two pairs of pens were  
110 filled with fish during the 2006 experiments and three pairs during 2007. The control  
111 pens were left floating freely in the open sea without further treatment, while the  
112 experimental pens were used for the crowding experiments. In order to simulate the  
113 crowding that occurs during pursing, a rope attached at the midpoint of the conic  
114 bottom of the pen was hoisted up by the crane of the purse seiner until the mackerel  
115 started to evince a panic reaction (Misund, 1994) similar to the flash expansion  
116 behaviour described by Pitcher (1986). At this stage, organized swimming structure  
117 was lost and fish rose rapidly to the surface, where individuals could be seen moving  
118 haphazardly at high speed. The crowding time was chosen to reflect the typical  
119 duration of pursing in the fishing fleet. In 2006, the crowding density was maintained  
120 for 15 min, and in 2007, for 10 min, after which the pens were returned to their full  
121 volume and left to drift freely in the open sea. The pens would then drift at nearly the  
122 same speed as the currents, and maintain their shape even during strong winds and  
123 currents. There was one exception to this treatment: in the first experiment in 2006,  
124 the control and the experiment pen were tied together when left drifting, in order to  
125 facilitate tracking during the observation phase. In 2007, each pen was equipped with  
126 an Argos satellite transmitter (Campbell Scientific Inc., Castillo de San Marcos, FL)  
127 for positioning.

128

129 In order to observe fish behaviour in the pens during the observation phase, a colour  
130 pan and tilt UV camera or a monochrome pan camera was suspended in the middle of  
131 the pens. The pens were inspected twice a day via a video link to the fishing vessel,  
132 which enabled observations to be made at a distance of 50-100 m from the pens with  
133 minimal disturbance of the fish. The original plan was to leave the net-pens drifting in  
134 the sea for 5 days, but due to windy conditions, the first experiment had to be  
135 terminated after only 3.5 days. We also noted that the major mortality occurred during  
136 the first two days, and therefore decided to reduce the observation time to 3 days.  
137 However, weather conditions caused the actual observation periods to vary from 2  
138 days and 15 hours to 5 days and 23 hours. At termination, the collecting bag was  
139 hoisted onboard the vessel, and the number of dead fish counted. The fish that  
140 remained in the net-pens were considered as survivors, and were pumped onboard,  
141 counted and measured.

142

143 Previous experiments have shown that the mortality of mackerel after crowding is  
144 primarily dependent on crowding density and crowding duration (Lockwood et al.,  
145 1983). In our experiments, with one exception (2006B), the fish were crowded to the  
146 point of displaying a panic reaction. Fish density at that point is difficult to estimate  
147 with any accuracy, as no equipment exists that is capable of measuring fish densities  
148 *in situ* in relatively small volumes of water. We therefore calculated the approximate  
149 water volume of the net-pen at maximum crowding retrospectively on the basis of  
150 photographs taken during the experiments. We suggest that the shape of the remaining  
151 volume of water in the net-pen during crowding, when the middle of the bottom was  
152 lifted in the crane of the vessel, had the shape of half a ‘doughnut’ (a semi torus). The  
153 volume (V) and net surface area (S) could then be calculated as  $V = (a-b)(\pi b)^2$ , and  $S$   
154  $= 2b(a-b) \pi^2$ , where  $a$  = the major radius (of the large circle) and  $b$  = the minor radius  
155 (of the circular cross-section).

156

157 In order to combine crowding duration and density, Lockwood et al. (1983) calculated  
158 a Stress Index (SI) as the product of crowding density and crowding duration, and  
159 showed that the relationship between the instantaneous mortality rate and the stress  
160 index was described by a power curve. In order to enable our data to be compared  
161 with those of Lockwood et al., their indices were recalculated from number of fish per  
162  $m^3$  to  $kg\ per\ m^3$ , as the fish in our experiments were substantially larger (465 g against  
163 216 g).

164

### 165 **3. Results**

166 A total of five parallel experiments, each comprising one control and one  
167 experimental pen, were performed in 2006 and 2007. The number of experiments was,  
168 as so often is the case in large-scale experiments, mainly limited by the weather, but  
169 also by the capacity of the vessels to transport and monitor the large and heavy  
170 experimental equipment needed to carry out experiments in the open sea. The method  
171 required manual operations to be performed on the floating net-pens while the transfer  
172 channel was connecting the seine to the pens and during transfer of fish. Windy  
173 conditions with high waves made this operation risky at times.

174

175 In experiment 2007A, we obtained mortality estimates only from the control group  
176 (1% mortality), while the experimental pen burst in bad weather because of a heavy  
177 load of dead fish at the bottom of the pen (Table 1). Although mortality estimates  
178 from the experimental group could thus not be obtained, it was obvious that there had  
179 been massive mortality among the crowded fish, while mortality in the control group  
180 was only 1%. The other four parallel groups provided data from both the control and  
181 experimental groups (Table 1 and Fig. 3). The mortality of the crowded fish was  
182 significantly higher than that of the control groups ( $p = 0.01$ , Paired t-test with pooled  
183 SD), although there was considerable variation between the parallel groups. The  
184 number of valid observations was too low to give a reliable estimate of variance.

185

186 Some methodological problems influenced the mortality rates in the two parallel  
187 experiments performed during the 2006 study. The first pair of net-pens launched  
188 (2006A) were linked in order to facilitate tracking during the observation period.  
189 Dead fish rapidly accumulated at the bottom of the experimental pen. The pump  
190 system for removing dead fish turned out to be extremely inefficient. One of the  
191 fishing vessels worked for 13 hours over two days, moored to the pen, trying to  
192 remove the dead fish. The presence of the vessel obviously stressed the fish, not only  
193 in the experimental pen but also in the attached control pen. This affected the survival  
194 rates of both groups, which reached 46 % in the control group and 100 % in the  
195 crowded group. In experiment 2006B, crowding was not complete because the crane  
196 on board the vessel was unable to lift the bottom of the net high enough. Only about  
197 one third of the pen (measured as surface area) was properly dried. In this  
198 experimental run, the two pens were left drifting separately, and this method was  
199 maintained for all subsequent repeats. We also refrained from removing dead fish  
200 during the observation period in order to avoid stressing the fish. Due to the  
201 incomplete crowding, mortality was low (27.9 %) in this experimental group. When  
202 this figure is compared to the mortality of the other replicates, it should be borne in  
203 mind that the crowding density was lower.

204

205 The amount of fish caught in experiment 2007C was larger than in any of the other  
206 replicates. The catch contained about 200 tonnes of mackerel, and its weight caused  
207 the cork line to be drawn below the surface during hauling. This may have further



208 stressed the fish before transfer from the seine to the pens, and thereby raising their  
209 mortality, which was 22% in the control and 99% in the crowded group. Only one  
210 experiment (2007B) was totally without problems. In this parallel, we found a  
211 mortality rate in the control group of only 0.1% after an observation period of almost  
212 five days, while that of the crowded group was 85%. In spite of all methodological  
213 problems, however, there was consistently higher mortality in each experimental  
214 group than in the corresponding control groups, showing that crowding has a  
215 substantial effect on mackerel survival.

216

217 The observation period between the parallels ranged from two and a half to almost six  
218 days (Table 1). This variation was not intentional, but was a result of the windy  
219 conditions during the experiment period, which prevented termination at  
220 predetermined times. The temperature in the upper water layers, where the mackerel  
221 schools were swimming before being caught and where the fish were stored during  
222 the observation phase, varied between 14.9 and 15.8° C, which is in the upper range of  
223 the thermal preference for mackerel (Mendiola et al., 2006). Since the fish were stored  
224 at the same depth interval as their natural swimming depth, temperature is not  
225 expected to have had any detrimental effect on survival.

226

227 There was some variation in the number of fish in the different pens. We tried to  
228 transfer about 10 tonnes of fish from the seine to each net-pen, but we had no other  
229 means of quantifying the biomass of fish swimming through the transfer channel than  
230 visual evaluation by an experienced fisherman. This was not an easy task, and when  
231 the fish were counted at the termination of the experiments, their numbers ranged  
232 from 10,651 to 31,234. There seem to be a tendency for higher fish densities to be  
233 related to higher mortality (Fig. 3), but it is also clear that the mortality in the control  
234 groups was consistently lower than in experimental groups with similar fish densities.  
235 It must also be borne in mind that the number of replicates is low, and that experiment  
236 2006A, which had the highest fish densities and mortality rates, included the groups  
237 that were stressed unintentionally hard when the removal of dead fish from the  
238 experimental pen also stressed the fish in the attached control pen.

239

240

241 The mean individual weights of the fish from each set, as well as the mean weights  
242 and lengths of surviving mackerel from each net-pen were measured (Table 1). Due to  
243 their state of decomposition, dead fish were not measured.

244

245 The fish density during crowding in experiment 2007B, when the fish exhibited panic  
246 behaviour, was estimated to be about 400 fish or 187 kg/m<sup>3</sup>. In experiment 2006B,  
247 when the fish were not fully crowded, fish density was 67 fish or 31 kg/m<sup>3</sup>. The three  
248 other experiments were partly confounded by unintended sources of stress, and have  
249 therefore not been used for calculations of density. The stress indices derived from  
250 these crowding densities and the corresponding crowding times (10 and 15 min)  
251 compared to those found by Lockwood et al (1983) are shown in Figure 4.

252

#### 253 **4. Discussion**

254 Our full-scale experiments onboard fishing vessels confirm what has previously been  
255 documented in small scale experiments (Lockwood et al., 1983): that mackerel are  
256 extremely sensitive to handling stress, and even moderate handling may produce high  
257 mortality. In all five experiments in this study, mortality was significantly higher  
258 among fish that had been crowded to a density at which they displayed panic reactions  
259 for 10 or 15 min, than among unstressed control fish. Even though the number of  
260 replicates was too low (five replicates, of which four gave valid survival estimates) to  
261 give a reliable measure of variability, the evidence was clear that the process of  
262 pursing and slipping mackerel, as often practised by the purse seine fleet (Marçalo et  
263 al., 2007; Stratoudakis and Marçalo, 2002), has a substantial impact on the survival of  
264 the fish after release. The arguments for slipping may be that the encircled catch is too  
265 large, or that the species mix, size or quality is suboptimal. This practise certainly  
266 causes an unknown, but in all likelihood substantial, unaccounted mortality.

267 Ninety percent of Norwegian catches of mackerel, which have ranged from 120,000  
268 to 185,000 metric tonnes per year during the last decade, is caught by purse seine. No  
269 systematic data have been collected on the frequency of slipping, but anecdotal  
270 information indicates that crowding and slipping occur frequently on the fishing  
271 grounds, particularly when the price differential between size groups is large or  
272 schooling densities are high. Norwegian newspapers have often reported that bottom  
273 trawlers operating in the same areas as the purse seine fleet, catch dead and

274 decomposed mackerel, and routine ROV inspections along pipelines crossing the  
275 mackerel fishing grounds have observed dead mackerel scattered on the bottom. A  
276 more thorough understanding of the magnitude of slipping mortality caused by the  
277 purse seine fleet will depend on quantitative studies being performed, although these  
278 would not be easy.

279

280 Our experiments show that the survival of mackerel after crowding and slipping is  
281 highly dependent on how they are handled during the capture process. If the fish are  
282 kept in the seine for too long, or if they are prevented from swimming freely and  
283 synchronously out of the seine, high mortality can be expected. Similar findings were  
284 found for another pelagic species, *Sardinops sagax*, after a catch was forced over the  
285 headline of a purse seine (Mitchell et al., 2002). This should be taken into account  
286 when regulations for purse seine fisheries for pelagic species are being formulated.  
287 Reducing the unaccounted mortality caused by slipping, will require the development  
288 both of methods for the determination of the quantity, size and quality of pelagic fish  
289 schools prior to setting, and of net designs that permit the rapid release of any  
290 unwanted catch.

291

292 Lockwood et al. (1983) observed high mortalities at densities of 130 fish or 30 kg per  
293 m<sup>3</sup> or more. This is in line with our density estimates, where a mortality of 28% was  
294 found after crowding to 31 kg per m<sup>3</sup>, but the duration of crowding is also important  
295 for fish mortality, and Lockwood et al. showed that mortality correlated with the  
296 product of crowding duration and density (Stress Index). The crowding duration in  
297 our trials was set to 15 min in 2006 and 10 min in 2007. This duration was chosen on  
298 the basis of video documentation of commercial purse seining provided by the  
299 Norwegian coast guard. Our experiments showed that a crowding duration of only 10  
300 minutes may be fatal to mackerel.

301

302 One important factor that affects mortality is fish size (Davis, 2002; Suuronen et al.,  
303 1996a). Small fish are usually more sensitive than larger ones; they are more easily  
304 fatigued and do not have the same ability to swim rapidly or for long periods of time  
305 (Xu et al., 1993; Broadhurst et al., 2006). In our experiments, we have no length or  
306 weight measurements of dead fish, only averages from each set after the fish had been

307 transferred to the net-pens, and from live fish at the end of the experiments. If the  
308 smallest fish die first after contacts with fishing gears, as has previously been found  
309 for other fish species, e.g., herring (*Clupea harengus* L.) (Suuronen et al., 1996a), the  
310 mean size of live fish should increase in the crowded groups relative to the mean of  
311 the total catch, and should also be higher than in the control groups. The data do not  
312 support such a hypothesis, as there were no systematic changes in mean fish size at  
313 the end of the experiment. One explanation may be that the size range of the  
314 individuals in the mackerel schools caught was too narrow to reveal differences of this  
315 sort, and also that the mackerel caught in this experiment were rather large and robust  
316 individuals (weight around 500 g).

317

318 Gear-induced mortality is not necessarily instantaneous (Wassenberg and Hill, 1993;  
319 Sangster et al., 1996). Hours or days may pass from when the fish are damaged until  
320 they die, and survival rates may easily be overestimated if the observation period is  
321 too short. Due to difficult weather conditions, the observation period in our  
322 experiments varied from 2.5 to almost six days. Earlier experiments have shown  
323 (Lockwood et al., 1983) that most mackerel die within three days of exposure to  
324 crowding. The mortality levels in our experiments did not seem to be correlated with  
325 observation time. However, the lack of standardization in observation time is one  
326 argument for supplementing field trials with small-scale experiments, in which factors  
327 such as crowding densities and times, daily mortality rates and follow-up time can all  
328 be standardized.

329

330 The crowding experiments described here were all performed during the hours of  
331 daylight, while commercial purse seine fishing for mackerel in the North Sea often  
332 takes place at night. The proportion of night capture changes from year to year,  
333 depending on the distribution and migration pattern of the fish, among other factors.  
334 Traditionally, mackerel change schooling behaviour in a way that makes them more  
335 easily available for night capture during the autumn, when they occur in the form of  
336 dense shoals at night, producing large catches that increase the risk of having to  
337 regulate catches by slipping. During darkness, the schooling behaviour of mackerel is  
338 disrupted (Blaxter and Parrish, 1965), i.e. the school disperses in the water and the  
339 synchronised orientation vis-à-vis the net disappears. Therefore, it is likely that the

340 fish may be more easily injured by collisions with the net or with other fish during the  
341 hours of darkness (Cui et al., 1991) with a risk of an even higher mortality of  
342 mackerel that are slipped at night than during the day (Olla et al., 2000). Trawl  
343 experiments have indeed shown that the gear induced mortality may be substantially  
344 higher during low light levels than during day-light hours (Suuronen et al., 1995; Olla  
345 et al., 1997).

346

347 In order to obtain a better understanding of mackerel mortality as a function of  
348 crowding time and density, and of the mechanisms that underlie the high mortality,  
349 more thorough experiments should be performed. Davis (2002) suggested that the  
350 complexity of the task means that the problem of fishery-dependent unaccounted  
351 mortality would best be addressed through a combination of laboratory investigations  
352 and field experiments under realistic fishing conditions, as has been successfully done  
353 with sardine (Marçalo et al., 2006, 2007 and 2010). The key stressors can then be  
354 studied individually as well as in interaction. A possibly less resource-intensive  
355 method of studying gear-induced mortality than the full-scale fishing experiments  
356 used today is the reflex impairment method (Davis 2007; Davis and Ottmar 2006).  
357 Once a relationship between reflex impairment and mortality in controlled  
358 experiments has been established, the method can be used to predict mortality during  
359 commercial fishing conditions without the costly interventions used in survival  
360 experiments today.

361

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367

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477

478 Figure legends

479

480 Fig. 1. Construction of net-pen with transfer channel. The dead fish collector was only  
481 used in 2007. In 2006, attempts were made to remove dead fish by pumping.

482

483 Fig. 2. The purse seine and net-pen were connected by a channel for transfer of the  
484 fish.

485

486 Fig. 3. Mortality as a function of fish density (number of fish in the pen) in the net-  
487 pens. Red symbols mark control groups, while blue mark experimental groups. See  
488 text for details about experiment 2006A.

489

490 Fig. 4. Stress indices (fish density ( $\text{kg m}^{-1}$ ) times crowding time) from Lockwood et  
491 al. (1983) (diamonds) and from our own experiments (triangles). The exponential line  
492 is fitted to the data from Lockwood et al..

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Table 1

Table 1. Observed mortality, length and weight measurements of individual mackerel in the experiments performed in 2006 and 2007.

Year	Experiment	Crowding duration (min)	Duration observation phase	Total no of fish	Mortality (%)	Individual weight (g)		Length (cm)	Comments
						Total catch	Alive	Alive	
2006	A	0	3 days 13 hours	28684	46	0.493	0.497	34.1	Pens linked together. Fish stressed by pumping
		15		71294	100		0.477	35.4	
	B	0	3 days 1 hour	17678	0.2	0.465	0.466	35.6	
		15		10651	27.9		0.469	36.3	Only 1/3 of the net dried up
2007	A	0	5 days 23 hours	11887	1	0.462	0.521	36.9	
		10		?					Pen torn in bad weather due to much dead fish
	B	0	4 days 20 hours	15231	0.1	0.468	0.473	36.2	
		10		19740	83.5		0.504	36.6	
	C	0	2 dys 15 hours	14543	22.2	0.495	0.457	35.8	15 min stop in hauling, seine collapsed, cork down
		10		31234	99.2		0.405	35.1	15 min stop in hauling, seine collapsed, cork down

Figure 1

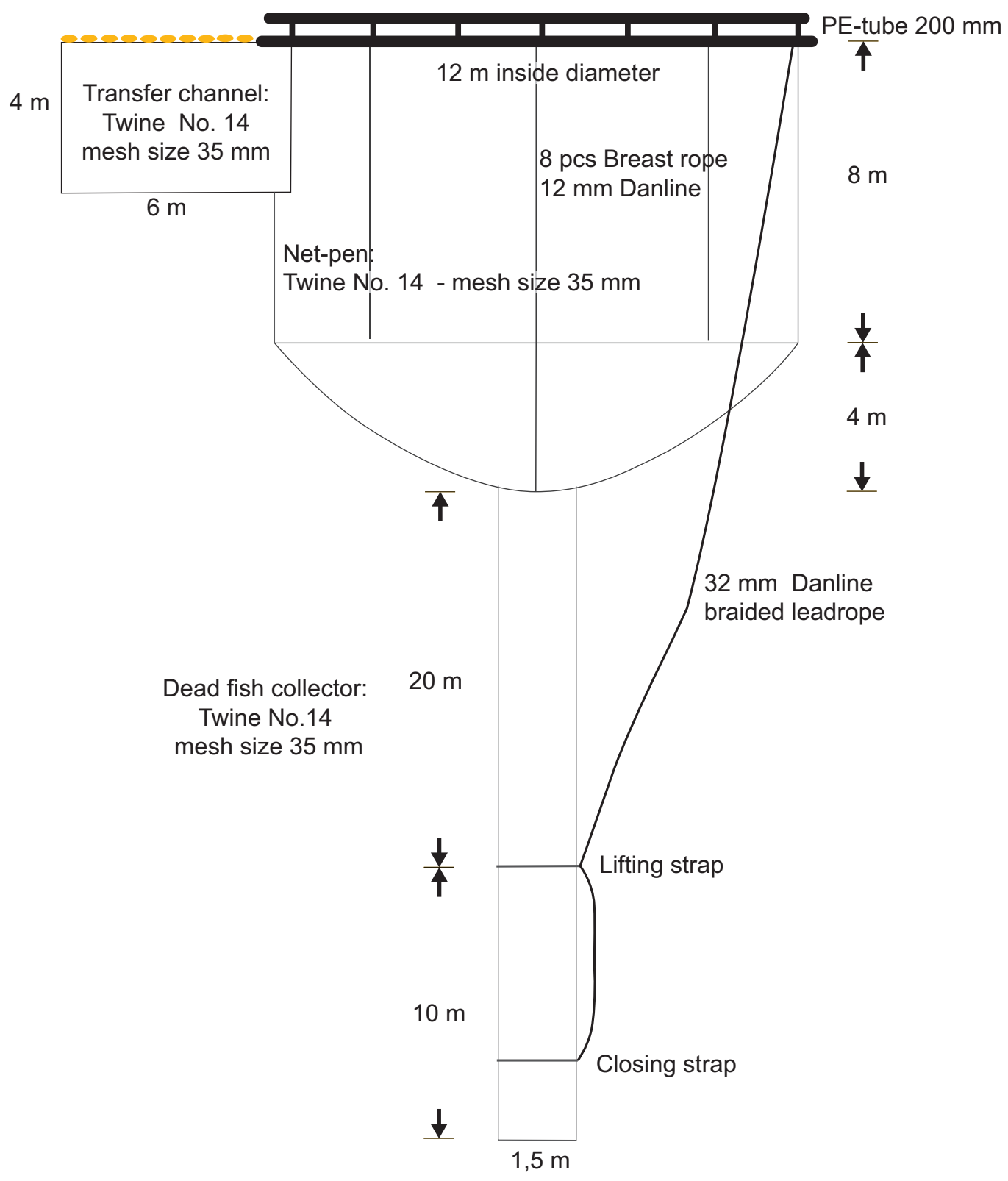




Figure 3

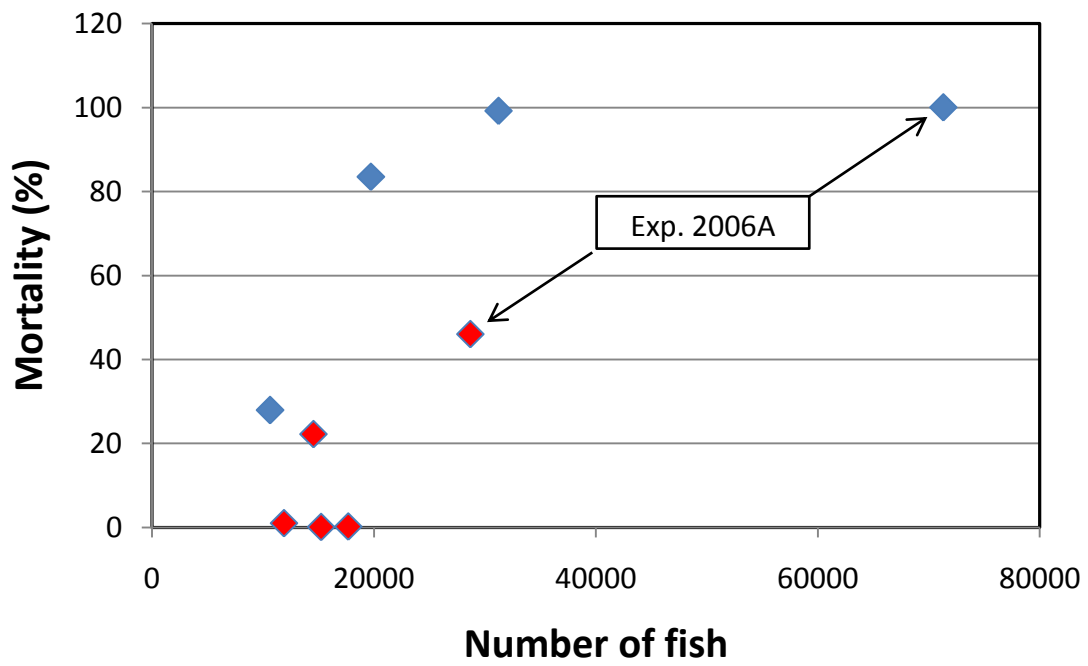


Figure 2

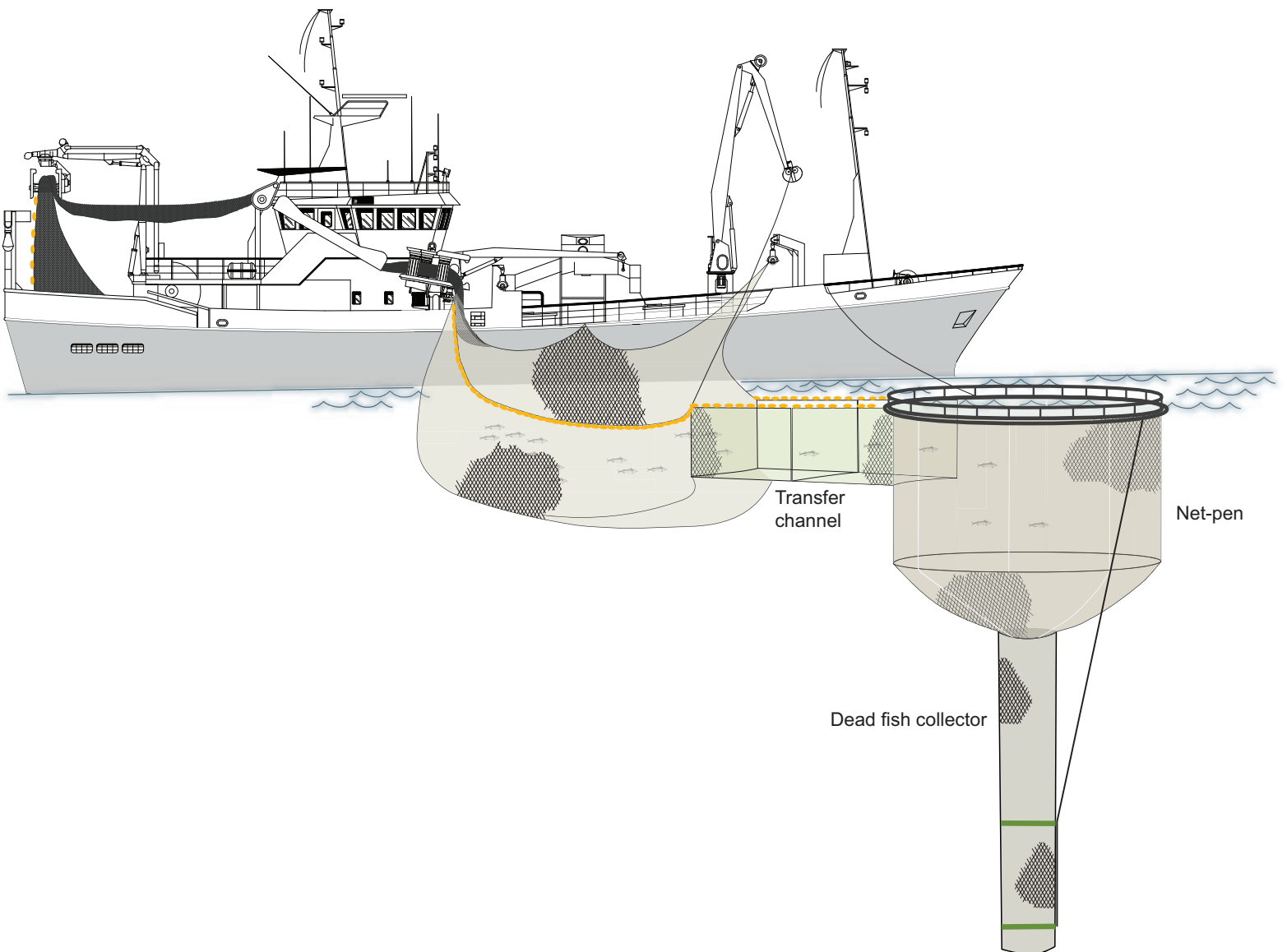
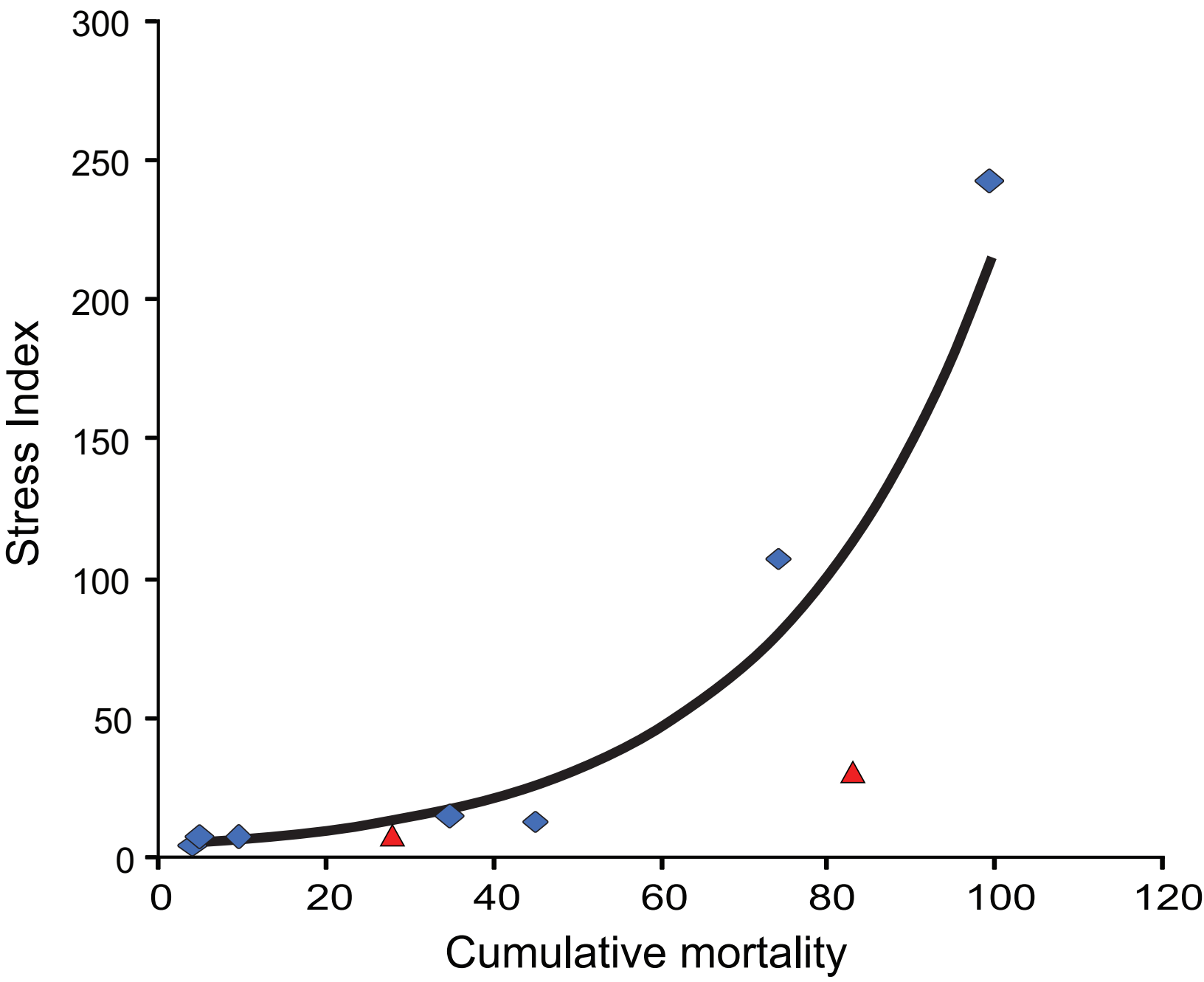


Figure 4



## REVISION NOTES

The authors wish to thank the reviewers for excellent revision of the manuscript. Their comments are clear and relevant, and by taking their suggestions into account, we feel that the quality of the manuscript is significantly improved.

Below you will find the reviewers notes (*in italics*), added how the authors have dealt with their comments.

### REVIEWER 1:

*Line 5: Please add the family name of the second author.*

- The name of the second author is correctly written in the manuscript. She changed her name from Aud Vold Soldal to Aud Vold two years ago.

*Line 25-26: Please consider whether it would be better and more realistic to recommend that excessive crowding before slipping should be avoided in order to avoid massive fish kills. After all, it is the crowding density and duration, and the overall handling of fish, that is causing the mortality, not necessarily the slipping. Please also compare to what you are saying on lines 279-282; a rapid release technique might indeed be one solution?*

- The reviewer's comments are indeed valid. It is the handling, and not the slipping per se that is the problem. We have changed the sentence to comply to his/hers notes.

*Line 43: I believe the wording "total mortality" is not quite correct here. Perhaps a better wording would be "total fishing related removals" or "total fishing mortality"? Total mortality includes natural mortality and that is not what you mean.*

- Again, the reviewer's comments are correct, and we have changed the text as he suggests.

*Line 83: Please say a few more words about this pump: how it was like and how did it operate?*

- The authors feel that adding too much detail about this pump system is a waste of journal space, as it was only tested in the first experiment and found unfit for further use. We have, however, added a few words, and also given reference to the dealer so that readers may track the system if desired.

*Line 84: Did you observe the 'behaviour' of the net-pen and the 30 m collecting bag in strong current? Did they stay in shape? Did the bag have a weight under, or how did it maintain the proper shape?*

- This is also a valid point. We suppose that the reviewer concern is a possible collapse of the net-pens in strong currents that would damage/stress the fish. However, this would have been a major problem if the pens were anchored to bottom. Our pens were drifting freely with the currents in order to prevent mis-shaping. We have added a few words about this in the text.

*Line 94: How do you know that fish were swimming calmly?*

- By visual inspection – also added to the text.

*Line 108: Is the rope that was attached to the midpoint of the conic bottom of the net-pen presented in Figure 1 or Figure 2? On the basis of text on lines 107-109 it is not clear enough where the rope was attached.*

- We have added a better description of the attachment point in the Materials and Methods chapter.

*Lines 135-137: The sentence on these lines is not clear enough. Please try to make it clearer. Please also note that the word "torus" is not very common and readers may not understand its meaning.*

- We have tried to solve this problem by comparing a torus to a doughnut, and also to give a bit more detailed description of how the volume is calculated.

*Line 141: What are the two factors referred here?*

- This omission is an oblivion from the authors side. "Crowding duration" and "crowding density" are now added to the manuscript.

*Line 144 and many subsequent lines: Cubic metre is m<sup>3</sup> not m-3.*

- This error is corrected

*Line 157: It is not necessary to state that results are shown in Table 1 and Figure 3. It is better to describe the most important results and add the table or figure in parentheses after the sentence, e.g. (Table 1, Fig. 3).*

- We have changed the wording to comply with the reviewer's suggestion.

*Line 176 and subsequent lines: How the survival rates were calculated? How did you treat those fish that were alive but likely to die in the near future (did you register them as live or dead)? In survival experiments there are often fish that are still alive after a few days caging but are about to die soon because of injury. This issue could have been addressed in the materials and methods.*

- Again a valid comment from the reviewer. We have added a section in the Material and methods chapter about estimating mortality.

*Line 184: I do not think the incomplete crowding was relevant for the control group because they were not crowded; please rephrase the sentence.*

- This is changed according to the reviewer's suggestions.

*Line 203: What was the predetermined time?*

- We initially aimed for 5 days, but as the first experiments had to be terminated after about 3 days due to bad weather conditions (and also because the major mortality happened within the first couple of days), this was what we aimed for thereafter. But as may be seen from table 1, we were not able to fulfill this goal either. This was also due to weather conditions. This is now described in the Material and methods chapter.

*Line 205: What does the word "upper quartile" mean here, not clear.*

- Upper quartile is replaced with a more general description

*Line 215: Please do not say what figure 3 shows. Instead, describe the results/observations and refer then to the figure (Fig. 3).*

- Changed according to reviewer's comments

*Lines 216-219. Please try to make the very long and complex sentence on these lines a bit shorter and a bit clearer. It also appears a bit speculative for the results-section.*

- We have reworded this to make it clearer

*Line 224: Please do not say what Table 2 shows; instead, write out the observation and then refer to the table (Table 2).*

- Changed according to reviewer's comments

*Lines 229-230, Lines 285-286. I believe the correct expression = kg/m<sup>3</sup>*

- Changed according to reviewer's comments

*Line 234: ". shown in Figure 4". Please correct this! The readers are not that interested what you show in figure, they are more interested to read about your main observations.*

- Again, the reviewer is right, and we have changed the text according to reviewer's comments

*Lines 249-254: These sentences pretty much repeat what is said in the introduction although here is mentioned also the discarding. This type of repetition is not necessary and not useful. Please check if you could combine these sections is one.*

- The repetitive text in the Discussion chapter is removed.

*Lines 265-267: This sentence would benefit if there would be a reference or at least the source of information.*

- Of course the reviewer is right in his opinion, but the information mentioned in the text is anecdotal, often given as some lines in the daily newspapers etc. We have tried to solve this by mentioning 'Norwegian newspapers\*' in the text.

*Line 297: Chopin and Arimoto (1995) in fact do not specifically address in their review the issue of fish size in relation capture-induced stress and mortality. This issue is much more thoroughly addressed for instance by Broadhurst et al. (2006) in their review, and in many other more recent papers.*

- This is a relevant comment. We have changed the references according to referee's suggestions.

*Lines 303-307: The size of fish is an important biological factor affecting mortality of fish that are in contact with a fishing gear. Several studies have demonstrated negative correlation between length and skin injury or mortality (reviewed e.g. by Broadhurst et al. 2006). Smaller individuals are less able to avoid tactile stressors and have less endurance to make sustained swimming inside*

*a gear. I believe the size range of fish in your experiment is too narrow to make any strong conclusions regarding the size-related mortality. And the fish in your experiments were relatively large. If there had been fish less than 100 g, then the situation may well have been different, and you may have seen even higher mortalities.*

- It seems that the reviewer and the authors are fully in line here. We have already suggested that the reason for not finding any size dependency in mortality is that the size range in the mackerel schools caught is too narrow to reveal such differences. We have added some words about the mackerel in our experiments being large and robust.

*Line 313: . varied from 2.5 to almost six days (not five).*

- This was a typing error that is now corrected.

*Line 314: Lockwood et al investigated only mackerel. Is that what you mean by the sentence and the wording "most fish"? Broadhurst et al (2006) made a review where many species are addressed. Please consider rephrasing the word "most fish".*

- By 'most fish' we mean mackerel. This is now changed.

*Lines 330-333: The availability of light is an important environmental factor potentially affecting gear-and handling-induced mortality on fish, and therefore should be addressed properly here. Low light level may indeed be a cause of a high gear-induced mortality for pelagic fish. This has been demonstrated at least in one full-scale study made with vendace (*Coregonus albula*); significantly more juvenile vendace died after gear contact at night than during the day (Suuronen et al. 1995). Olla et al. (1997), on the other hand, demonstrated in laboratory experiments that a reduction in light intensity affected the ability of walleye Pollock and sablefish to avoid contact with meshes and their subsequent physical damage and stress.*

- Again, this is a very relevant point raised by the reviewer, and the effect of night fishing with purse seines is something that the authors are really worried about. We have extended the discussion of this topic with 3-4 lines to comply with the reviewer's comments.

*Figure 1: Mesh size is usually written as "mesh size", and not as "meshsize". What does the "EK" mean?*

- Meshsize is changed to mesh size, and EK removed from the figure

*Figure 2. This is a really beautiful and well-designed illustration!*

- Thank you, very much. It is drawn by IMR's excellent technician Anne Britt Tysseland.

*References:*

*Broadhurst, M. K., Suuronen, P. & Hulme, A. 2006. Estimating collateral mortality from towed fishing gear. Fish and Fisheries 7: 180-218.*

Olla, B.L., Davis, M.W. & Schrek, C.B. 1997. Effects of simulated trawling on sablefish and walleye Pollack: the role of light intensity, net velocity and towing duration. *Journal of Fish Biology* 50: 1181-1194.

Suuronen, P., Turunen, T., Kiviniemi, M. & Karjalainen, J. 1995. Survival of vendace (*Coregonus albula* L.) escaping from a trawl cod end. *Can. J. Fish. Aquat. Sci.* 52 (12): 2527-2533.

- Very good suggestions for extension of the reference list. They are added to the list and referenced in the text. The reference list is also updated with recent publications by Simmonds (2010) and Marcalo et al. (2010).

## REVIEWER 2.

Reviewer 2 has mainly given comments on language and grammar, which are accepted by the authors (who are not English spoken by birth).

On page 11 in the manuscript he/she gives a comment on the number of replicates in our experiments, which is valid. We have deleted a short section to comply with these comments.

Table 2 is corrected according to the reviewer's guidelines.

## ASSOCIATE EDITOR (ANDRE PUNT)

The editorial suggestions have been accepted.

"Significant" has been replaced with "substantial" in all cases where it does not mean statistical significance.

Comment 3: Line 25, P=0.19. This is an unfortunate typing error. In the Results chapter it is written "The mortality of the crowded fish was significantly higher than that of the control group (p = 0.01, Paired t-test .....)". We have therefore changed the text in the Abstract accordingly.

Fig. 4. The line is now explained in the figure text.

Anon. 2008 is deleted.

Table 1 and 2 is merged into one Table (Table 1).

Bergen 30.06.2010

Aud Vold